# The Asymptotic Geometry of Genus-g Helicoids

#### J. Bernstein

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 $\label{eq:constraint} \begin{array}{c} \mbox{Introduction} \\ \mbox{Ends of Elements in $\mathcal{E}(e,g)$} \\ \mbox{Shapes of Embedded Minimal Disks} \\ \mbox{Proof of the Description of $\mathcal{E}(1,g)$} \end{array}$ 

### Outline





#### Shapes of Embedded Minimal Disks



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## Shapes of Complete Minimal Surfaces

#### Question

Can we classify complete, (properly) embedded minimal surface in  $\mathbb{R}^3$  of finite topology?

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Can we classify the asymptotic geometry of these surfaces?

#### Theorem

(B.-Breiner, Collin, Meeks-Rosenberg,...). Let  $\Sigma$  be a complete, properly embedded minimal surface of finite topology then each end is asymptotic to a plane, a helicoid or half of a catenoid.

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#### Why are we interested in such a question?

- Very classical problem, yet requires very sophisticated modern techniques.
- Physical motivations...
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# Minimal Surfaces

A brief introduction.

Let  $\mathcal{E}(e, g)$  be the space of complete, embedded minimal surfaces in  $\mathbb{R}^3$  of genus g and with e ends.

#### Definition

In  $\mathbb{R}^3$  an immersed (oriented) surface  $\Sigma$  is minimal if:

- $\frac{d}{dt}|_{t=0}Area(\Sigma_t) = 0$  for all smooth compactly supported variations of  $\Sigma = \Sigma_0$ ; or
- The mean curvature of  $\Sigma$ ,  $H_{\Sigma}$ , vanishes identically  $(\Rightarrow |A|^2 = -2K)$ ; or
- The coordinate functions x<sub>1</sub>, x<sub>2</sub>, x<sub>3</sub> restrict to harmonic functions on Σ; or
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### **Constructing Examples**

#### How do we get some examples of elements of $\mathcal{E}(e, g)$ ?

- Write down an explicit parameterization.
- Use the Weierstrass representation.
- Variational methods.
- Gluing constructions.

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### Weierstrass Representation

Weierstrass representation: given triple (M, g, dh) of a Riemann surface, a meromorphic function and holomorphic one-form, can cook up a minimal immersion  $F : M \to \mathbb{R}^3$  with  $F^* dx_3 = \text{Re } dh$  and with Gauss map determined by g.

$$F(p) = \operatorname{Re}\left(\int_{p_0}^{p} \left(\frac{1}{2}\left(g - \frac{1}{g}\right), \frac{1}{2i}\left(g + \frac{1}{g}\right), 1\right) dh\right)$$

For *F* to be a well defined immersion on *M* need:

• g dh and  $g^{-1} dh$  to be holomorphic.

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$$\int_{[\nu]} g dh - \overline{\int_{[\nu]} g^{-1} dh} = \int_{[\nu]} \operatorname{Re} dx_3 = 0 \quad \forall [\nu] \in H^1(M).$$

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### Classical Example: Catenoid



# Catenoid (Euler, 1744). In $\mathcal{E}(2,0)$ and of finite total curvature.

Images courtesy Matthias Weber, http://www.indiana.edu/~minimal.

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### **Classical Example: Helicoid**



Helicoid (Meusnier, 1776) In  $\mathcal{E}(1,0)$  and of infinite total curvature.

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### Classical Example: Scherk's Surface



Scherk's Surface (Scherk, 1835) In  $\mathcal{E}(1,\infty)$  and of infinite total curvature.

### Modern Example: Costa Surface



Costa Surface (Costa, '83). Proven embedded by Hoffman and Meeks in '84. In  $\mathcal{E}(3, 1)$  and of finite total curvature.

### Modern Example: Genus-One Helicoid



Genus-One Helicoid (Hoffman, Karcher and Wei, '93). Proven embedded by Hoffman, Weber and Wolf in '04. In  $\mathcal{E}(1, 1)$  and of infinite total curvature.

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# Strategy for Classifiying the Ends

The close interaction between complex analysis and the geometry of a minimal surfaces gives a general procedure for trying to classify the asymptotic geometry:

- Step 1: Get some weak control on the asymptotic geometry.
- Step 2: Use this to bound the Weierstrass data of the end.
- Step 3: As the Weierstrass data holomorphic, get finer understanding.
- Step 4: Use Weierstrass representation together with embeddedness for further refinement.

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### **Classical Result**

#### A classical result of Osserman provides a good example of this.

#### Theorem

(Huber '58, Osserman, '64) Let  $\Sigma$  be a complete minimal surface with finite total curvature in  $\mathbb{R}^3$  then  $\Sigma$  is conformal to a finitely punctured Riemann surface and its Gauss map extends holomorphically to each end. Thus, if the surface is embedded each end is asymptotic to a plane or half a catenoid.

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# Complete Surfaces with 2 Ends

# For $\mathcal{E}(e, g)$ when $e \ge 2$ barrier constructions imply finite total curvature.

#### Theorem

(Meeks and Rosenberg, '93) If  $\Sigma \in \mathcal{E}(e, g)$  and  $e \ge 2$  then  $\Sigma$  is conformal to a punctured compact Riemann surface and at least e - 2 of the ends are asymptotic to a plane or a catenoid.

#### Theorem

(Collin, '97) If  $\Sigma \in \mathcal{E}(e, g)$  and  $e \ge 2$  then  $\Sigma$  has finite total curvature and so is conformal to a punctured compact Riemann surface and each end is asymptotic to a plane or a catenoid.

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# Surfaces with One End

- Complete minimal surfaces with one end must have infinite total curvature or be the plane.
- Thus, Huber and Osserman's result cannot be applied and so no a priori knowledge about conformal type of the end. Some results with additional assumptions on the asymptotic behavior. (cf. Hoffman-McCuan, Hauswirth-Pérez-Romon)
- General results only achieved by applying a new theory developed by Colding and Minicozzi. Their work has (among other things) led to the complete classification of the asymptotic geometry.

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# Conformal and Asymptotic Properties of $\mathcal{E}(1,g)$

### Elements of $\mathcal{E}(1,0)$ are completely classified.

#### Theorem

(Meeks and Rosenberg, '04) The only elements of  $\mathcal{E}(1,0)$  are planes and helicoids.

Completely understand asymptotics of elements of  $\mathcal{E}(1,g)$ :

#### Theorem

(B. and Breiner, '08) Every element of  $\mathcal{E}(1, g)$ , g > 0, is conformal to a once-punctured compact genus g Riemann surface and is asymptotic to a helicoid.

Thus, may call any such an element a genus-g helicoid.

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### **Incomplete Surfaces**

Interesting to consider each end individually (i.e. as a surface with compact boundary and one end).

One expects similar arguments except:

- Weak asymptotics less straightforward.
- Subtleties involving the flux arise.
- Good example is recent work of Meeks and Pérez.

Indeed, they consider ends with infinite total curvature. If the flux is zero around boundary the surface is asymptotic to a helicoid. If non-zero it is asymptotic to a certain family they construct.

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# Shapes of Embedded Disks

# Let $0 \in \Sigma \subset B_R \subset \mathbb{R}^3$ be an embedded minimal disk with $\partial \Sigma \subset \partial B_R$ .

#### Theorem

(Colding and Minicozzi, '04) There exist constants  $C, \Omega > 1$  so if  $\Sigma$  is as above and  $|A|^2(0) > CR^{-2}$  then the component of  $B_{R/\Omega} \cap \Sigma$  containing 0 is the union of two multi-valued graphs that spiral together.

The previous theorem can be interpreted as saying  $\Sigma$  looks (away from the boundary) like a distorted helicoid. This distortion can be quite great, and so in principle description is *qualitative*.

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# Colding and Minicozzi's Examples

#### Tight Spiraling

Colding and Minicozzi also give an example of a sequence of disks  $0 \in \Sigma_i$  with  $\partial \Sigma_i \subset \partial B_1$ that have uniformly bounded curvature away from the origin but have curvature blowing up at the origin.



### **Bent Helicoids**

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Meeks and Weber have constructed examples of "bent" helicoids, where the multi-valued graphs have axis an arbitrary  $C^{1,1}$  curve.

#### Image



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# Sketch of Colding and Minicozzi's Argument

• First focus on points of large curvature:

#### Definition

- Suppose (y, s) is such a pair in  $\Sigma$ , far from  $\partial \Sigma$ , then near y a small multi-valued graph,  $\Sigma_0$ , forms on the scale s
- $\Sigma_0$  can be extended, as a multi-valued graph, in  $\Sigma$  to  $\partial \Sigma$ .
- Points of Σ "between the sheets" of Σ<sub>0</sub> form a second multi-valued graph.
- The existence of these graphs implies existence of blow-up pairs above and below *y*.
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# Sketch of Colding and Minicozzi's Argument

• First focus on points of large curvature:

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# Some Applications

#### This description of disks has some powerful consequences:

- Curvature bounds for embedded minimal disks that are close to, but on one side of, a plane. Effective version of the strong half-space theorem.
- Compactness theory for embedded minimal disks that requires no curvature or area bounds.
- Chord-arc bounds for embedded minimal disks, i.e. uniform relationship between intrinsic and extrinsic length. Used to settle Calabi-Yau conjecture for embedded minimal disks.

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Description of  $\mathcal{E}(1, g)$ 

We now discuss the proof of:

#### Theorem

Every element of  $\mathcal{E}(1,g)$  is conformal to a once-punctured compact genus g Riemann surface and is either a plane or is asymptotic to a helicoid.

For simplicity we will focus on the case g = 0 and indicate how one generalizes.

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# Strategy

Recall our strategy for understanding the ends:

- Step 1: Get some weak control on the asymptotic geometry.
- Step 2: Use this to bound the Weierstrass data of the end.
- Step 3: As the Weierstrass data holomorphic, get finer understanding.
- Step 4: Use Weierstrass representation together with embeddedness for further refinement.

## Step 1: Initial Decomposition

# Due to distortions, Colding and Minicozzi's description alone does not suffice.

However, for complete  $\Sigma$ , it can be refined:

#### Theorem

Let  $\Sigma$  be a non-flat, complete, properly embedded minimal disk. There exist disjoint sets  $\mathcal{R}_A$  and  $\mathcal{R}_S$  with  $\Sigma = \mathcal{R}_A \cup \mathcal{R}_S$  and an  $\epsilon_0 > 0$  so, after a rotation in  $\mathbb{R}^3$ ,  $\mathcal{R}_S$  is the union of two strictly spiraling multi-valued graphs and, on  $\mathcal{R}_A$ ,  $|\mathbf{n} \cdot \mathbf{e}_3| \le \epsilon_0$ .

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# Proof of the Decomposition

#### Proof.

- Multi-valued minimal graphs over unbounded annuli eventually strictly spiral.
- Colding and Minicozzi's work allows one to construct the strictly spiraling region *R<sub>S</sub>* in Σ.
- Their work also gives understanding of |A| in  $\mathcal{R}_A$ .
- Harmonicity of coordinate functions  $\Rightarrow \nabla_{\Sigma} x_3 \neq 0$ .
- ∇<sub>Σ</sub> x<sub>3</sub> ≠ 0 and understanding of |A| in R<sub>A</sub> ⇒ uniform lower bound on |∇<sub>Σ</sub> x<sub>3</sub>| in R<sub>A</sub>.

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## Step 2: Conformal Structure of $\Sigma$

### The decomposition determines the conformal type of $\Sigma$ :

- z = x<sub>3</sub> + ix<sub>3</sub><sup>\*</sup> is a holomorphic coordinate on Σ. We claim it is onto, that is Σ is "conformally" once-punctured (i.e. have Huber's result).
- $\nabla_{\Sigma} x_3 \neq 0 \iff \mathbf{n} : \Sigma \to \mathbb{S}^2 \setminus (0, 0, \pm 1) \Rightarrow$  stereographically projecting gives a holomorphic map  $g : \Sigma \to \mathbb{C} \setminus \{0\}$ .
- $\exists h \text{ so } e^h = g$ . Note,  $|\text{Re } h| \leq \gamma_0$  on  $\mathcal{R}_A$ .
- Idea: know *h* "almost" surjective whereas know *z* injective.
- Indeed: *h* injective on subset  $\Omega$  of  $\mathcal{R}_S$  and maps subset *onto* two closed half-planes.

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# Step 3 and 4: Concluding Uniqueness

#### We now understand conformal type of the end.

- As *h* is injective on  $\Omega$  have:  $h \circ z^{-1} : \mathbb{C} \to \mathbb{C}$  linear, i.e.  $g(p) = e^{\alpha z(p) + \beta}, \alpha, \beta \in \mathbb{C}$ .
- However, as dh = dz the Weierstrass representation  $\Rightarrow$  $g(p) = e^{i\lambda z(p)}$  for  $\lambda \in \mathbb{R}$  when  $\Sigma$  is embedded.

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Can we classify  $\mathcal{E}(1,1)$ ? Does it have a unique element (modulo rigid motions and homotheties)?

Can show any element of  $\mathcal{E}(1,1)$  admits an orientation preserving involutive symmetry (induced by rotation about a line).

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