

Construction of complete (hyperbolic) minimal surfaces in \mathbb{R}^3

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Definition

- An open Riemann surface M is said to be **hyperbolic** if it carries non-constant negative subharmonic functions.
- Otherwise, M is said to be **parabolic**.

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- **Jorge-Meeks 1983** Complete minimal surfaces of **finite total curvature** are **properly immersed** in \mathbb{R}^3 .

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Conjecture (Meeks-Sullivan)

Proper minimal surfaces of finite topology are parabolic.

Conjecture (Schoen-Yau 1985)

Minimal surfaces properly projecting into a plane are parabolic.

Conjecture (Calabi 1966)

A complete minimal surface has no *bounded* coordinate function.

- Jorge-Xavier 1980 There exists a complete minimal surface contained in a slab of \mathbb{R}^3 .

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- **Jorge-Xavier 1980** There exists a complete minimal surface contained in a **slab** of \mathbb{R}^3 .
- **Weierstrass Representation** $X = (X_j)_{j=1,2,3} : M \rightarrow \mathbb{R}^3$
conformal minimal immersion

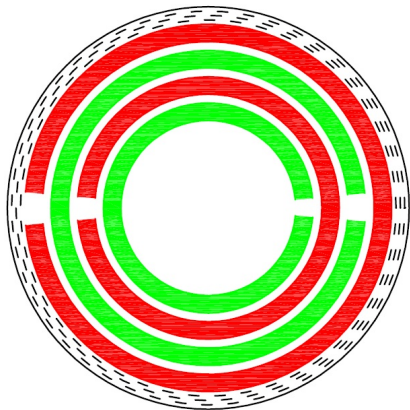
$$X = \Re \int \left(\frac{1}{2} \left(\frac{1}{g} - g \right) \Phi_3, \frac{i}{2} \left(\frac{1}{g} + g \right) \Phi_3, \Phi_3 \right)$$

$$\Phi_3 = \partial_z X_3 \text{ (holomorphic 1-form)}$$

$$g = \text{st. proj. Gauss map (meromorphic function)}$$

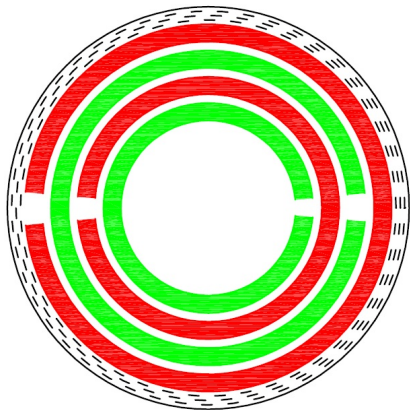
$$ds^2 = \frac{1}{4} \left(\frac{1}{|g|} + |g| \right)^2 |\Phi_3|^2$$

- **Jorge-Xavier 1980** There exists a complete minimal surface contained in a **slab** of \mathbb{R}^3 .



- $M = \mathbb{D}$
- $\Phi_3 = dz$
- $|g|$ bigger and bigger in the compact sets
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- **Runge's Theorem 1948 (1885)** A holomorphic function on a **Runge** compact subset of an open Riemann surface M can be uniformly approximated by holomorphic functions on M .

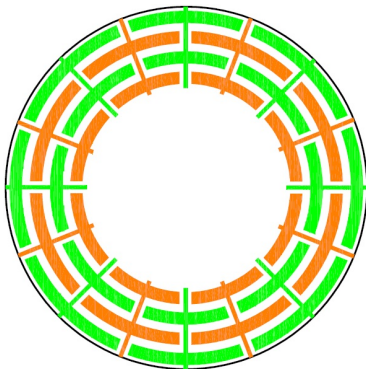
Conjecture (Calabi 1966)

A complete *minimal* surface can not be *bounded*.

Conjecture (Hadamard 1898)

A complete *negatively curved* surface in \mathbb{R}^3 can not be *bounded*.

- **Nadirashvili 1996** There exists a complete negatively curved minimal surface contained in a **ball** of \mathbb{R}^3 .



Question (Yau 2000)

- Which *topological types* admits a complete bounded minimal surface?
 - Are there complete minimal surfaces *properly immersed in a ball*?
-
- López-Martín-Morales 2002 There are complete **bounded** minimal surfaces of **arbitrary finite topological type**. (**Period Problem**.)
 - Morales 2003 There exists **proper hyperbolic** minimal disc in \mathbb{R}^3 . (Counterexample to Meeks-Sullivan's conjecture.)
 - AA, Ferrer, López, Martín, Morales Many examples.

- Ferrer-Martín-Meeks 2009 Any open surface admits a complete proper minimal immersion in any domain of \mathbb{R}^3 which is either convex (possibly all \mathbb{R}^3) or smooth and bounded.

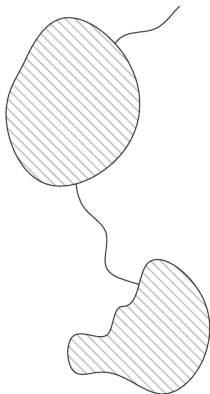
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- Tools
 - Nadirashvili's technique for the completeness.
 - Morales' technique for the properness.
 - The Bridge Principle for minimal surfaces for the arbitrary topological type.

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- Conformal structure?

The Approximation Lemma

- Given an open Riemann surface \mathcal{M} , a compact subset $S \subset \mathcal{M}$ is said to be **admissible** iff
 - S is **Runge**,
 - $M_S := \overline{S^\circ}$ consists of a finite collection of pairwise disjoint compact regions in \mathcal{M} with analytical boundary,
 - $C_S := \overline{S} - M_S$ consists of a finite collection of pairwise disjoint analytical Jordan arcs.

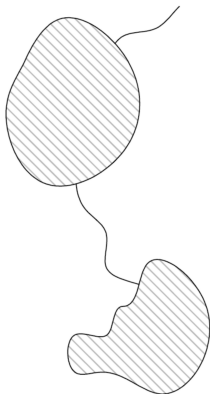


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- Let \mathcal{M} be an open Riemann surface and $S \subset \mathcal{M}$ be an admissible subset.

A **smooth** map $X = (X_j)_{j=1,2,3}$ is said to be a **generalized conformal minimal immersion (GCMI)** iff

- $X|_{C_S}$ is an immersion, and
- $X|_{M_S}$ is a conformal minimal immersion.



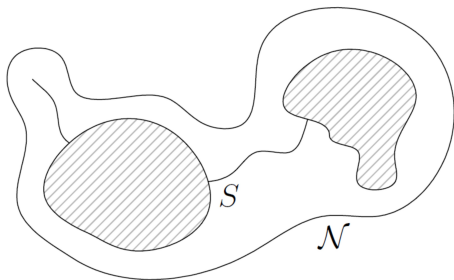
Lemma (The Approximation Lemma) (AA-López 2009)

Let \mathcal{N} be an open Riemann surface of finite topology, and let S be a connected *admissible* compact subset in \mathcal{N} . Let

$X = (X_1, X_2, X_3) : S \rightarrow \mathbb{R}^3$ be a *GCMI* on S .

Then X can be *uniformly approximated* on S by a sequence of *conformal minimal immersions*

$Y(n) = (Y(n)_1, Y(n)_2, Y(n)_3) : \mathcal{N} \rightarrow \mathbb{R}^3$.



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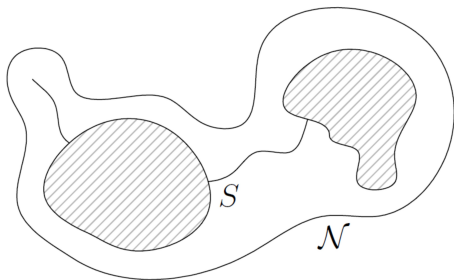
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Moreover, we can choose the third coordinate function

$Y(n)_3 = X_3 \forall n$ provided that X_3 extends harmonically to \mathcal{N} and $\partial_z X_3$ never vanishes on C_S .



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- **Mergelyan-Bishop's Theorem 1958** Let M be an open Riemann surface, let $K \subset M$ be a compact *Runge* set and let $f : K \rightarrow \mathbb{C}$ be a continuous function which is holomorphic in K° . Then f can be uniformly approximated on K by functions holomorphic in M .
- **Implicit Function Theorem.**

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- **Global properties?**

Completeness

Theorem (AA-Fernández-López 2009)

Let M be an open Riemann surface and let $u : M \rightarrow \mathbb{R}$ be non-constant harmonic function.

Then there exists a conformal complete minimal immersion $Y = (Y_1, Y_2, Y_3) : M \rightarrow \mathbb{R}^3$ with $Y_3 = u$.

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Proof. Construct a sequence of conformal minimal immersions

$$X_n = (X_{n,1}, X_{n,2}, X_{n,3}) : M_n \rightarrow \mathbb{R}^3 \text{ with}$$

- 1 $\|X_n - X_{n-1}\| < \epsilon_n$ on M_{n-1} .
- 2 $X_{n,3} = u|_{M_n}$.
- 3 $\text{dist}_{M_n}(P_0, \partial M_n) > n$. ($P_0 \in (M_1)^\circ$.)

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- Consider in $M_n^\circ - M_{n-1}$ a Jorge-Xavier's type labyrinth K_n and apply the AL to the map $X : M_{n-1} \cup K_n \rightarrow \mathbb{R}^3$ given by
 - $X|_{M_{n-1}} = X_{n-1}$
 - $X|_{K_n}$ has Weierstrass data

$$\Phi_3 = \partial_z u, \quad |g| \text{ large enough.}$$

Q.E.D.

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Q.E.D.

- **Completeness** does not influence the underlying conformal structure of immersed minimal surfaces (López 2009).

- Fujimoto 1988 The Gauss map of a complete non-flat minimal surface in \mathbb{R}^3 can not omit more than 4 points in S^2 .
- The Gauss map of a conformal complete non-flat minimal immersion $X : \mathbb{C} \rightarrow \mathbb{R}^3$ can not omit more than 2 points in S^2 . (Picard's Theorem.)

- **Fujimoto 1988** The Gauss map of a complete non-flat minimal surface in \mathbb{R}^3 can not omit more than 4 points in S^2 .
- The Gauss map of a conformal complete non-flat minimal immersion $X : \mathbb{C} \rightarrow \mathbb{R}^3$ can not omit more than 2 points in S^2 . (**Picard's Theorem.**)

Corollary

Let M be an open Riemann surface.

Then there exists a conformal complete non-flat minimal immersion $Y : M \rightarrow \mathbb{R}^3$ whose Gauss map omits 2 values of S^2 .

Proof. Take a harmonic function $u : M \rightarrow \mathbb{R}$ such that $\partial_z u$ never vanishes on M and apply the theorem above. **Q.E.D.**

Corollary

A necessary and sufficient condition for an open Riemann surface to admit a conformal complete minimal immersion into a slab of \mathbb{R}^3 is to carry non-constant bounded harmonic functions.

Proof. Take a non-constant bounded harmonic function $u : M \rightarrow \mathbb{R}$ and apply the theorem above. **Q.E.D.**

Properness

Theorem (AA-López 2009)

Let M be an open Riemann surface and let $\theta \in (0, \frac{\pi}{4})$.

Then there exists a *conformal* minimal immersion

$Y = (Y_1, Y_2, Y_3) : M \rightarrow \mathbb{R}^3$ such that

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- 3 $X_{n,3} + \tan(\theta)|X_{n,1}| > n - 1$ on $M_n - M_{n-1}$.

Q.E.D.

- **Hoffman-Meeks 1990** The only proper minimal surfaces in \mathbb{R}^3 contained in a half-space are planes.

Corollary (Schoen-Yau's Conjecture)

Any open Riemann surface admits a conformal minimal immersion in \mathbb{R}^3 properly projecting into a plane.

Proof. Observe that $(Y_1, Y_3) : M \rightarrow \mathbb{R}^2$ is proper.

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- **Properness** (in \mathbb{R}^3) does not influence the underlying **conformal structure** of immersed minimal surfaces.
- Can “**plane**” be changed by “**convex domain in \mathbb{R}^2** ” + **complete**?

The Calabi-Yau Problem in \mathbb{C}^3

- Let \mathcal{N} be an open Riemann surface. A **null curve** in \mathbb{C}^3 is a **holomorphic immersion** $F = (F_1, F_2, F_3) : \mathcal{N} \rightarrow \mathbb{C}^3$ such that

$$(dF_1)^2 + (dF_2)^2 + (dF_3)^2 = 0.$$

- $F : \mathcal{N} \rightarrow \mathbb{C}^3$ **null curve** $\Leftrightarrow \Re(F), \Im(F) : \mathcal{N} \rightarrow \mathbb{R}^3$ **conformal minimal immersions**. Furthermore,

$$ds_F^2 = 2ds_{\Re(F)}^2 = 2ds_{\Im(F)}^2.$$

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- Calabi-Yau problem in \mathbb{C}^3** Are there complete bounded null curves in \mathbb{C}^3 ?
- Martín-Umehara-Yamada 2009** There exists a complete bounded null curve with the conformal type of the disk.

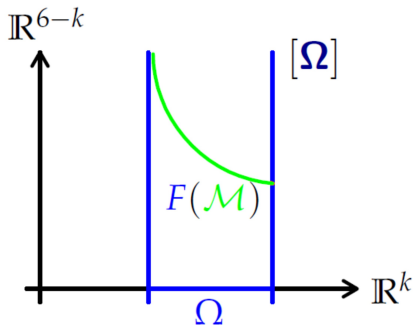
- $k \in \{2, 3, 4, 5, 6\}$.
- $\Omega \subset \mathbb{R}^k$ convex domain.
- $[\Omega] = \Omega \times \mathbb{R}^{6-k} \subset \mathbb{C}^3 \equiv \mathbb{R}^6$.

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Theorem (AA-López 2010)

Let M be an open *orientable* surface.

Then there exists an open Riemann surface $\mathcal{M} \cong M$ and a complete null curve $F : \mathcal{M} \rightarrow [\Omega] \subset \mathbb{C}^3$ *properly projecting* into Ω .

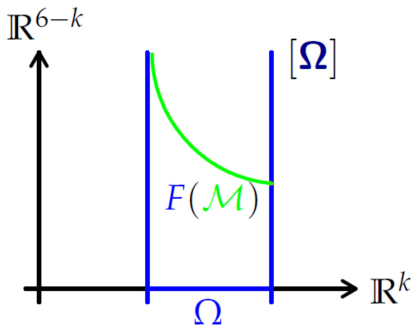


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- Let M be an open orientable surface.

Corollary (Calabi-Yau Problem in \mathbb{C}^3)

Let Ω be a convex domain in \mathbb{C}^3 .

Then there exists an open Riemann surface $\mathcal{M} \cong M$ and a proper complete null curve $F : \mathcal{M} \rightarrow \Omega$. Furthermore, if $\Omega = \mathbb{C}^3$ then \mathcal{M} can be prescribed.

Proof. $k = 6$.

Q.E.D.

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Q.E.D.

Corollary

There exists a bounded complete minimal surface $X : M \rightarrow \mathbb{R}^3$ such that all its associate surfaces are well defined and bounded.

Proof. Choose Ω bounded.

Q.E.D.

- **Calabi-Yau problem in \mathbb{H}^3** Are there complete bounded CMC-1 surfaces in \mathbb{H}^3 ?
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Corollary (Calabi-Yau Problem in \mathbb{H}^3)

There exists a complete *bounded* CMC-1 immersion $X : M \rightarrow \mathbb{H}^3$.

Proof. Use a transformation in explicit coordinates due to **Martín-Umehara-Yamada** that applies complete null curves in $\mathbb{C}^3 - \{z_3 = 0\}$ into complete bounded CMC-1 surfaces in \mathbb{H}^3 . **Q.E.D.**

- Bourgain 1993 There are no complete bounded null curves in \mathbb{C}^2 .
- Calabi-Yau problem in \mathbb{C}^2 Are there complete bounded complex curves in \mathbb{C}^2 ?
- Jones 1979 A simply connected one.
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Corollary (Calabi-Yau Problem in \mathbb{C}^2)

Let Ω be a *convex domain in \mathbb{C}^2* .

Then there exists an open Riemann surface $\mathcal{M} \cong M$ and a *proper complete holomorphic immersion $F : \mathcal{M} \rightarrow \Omega$* .

Furthermore, if $\Omega = \mathbb{C}^2$ then \mathcal{M} can be prescribed (**Bishop 1961**).

Proof. $k = 4$.

Q.E.D.

Corollary (Calabi-Yau Problem in \mathbb{R}^3) (Ferrer-Martín-Meeks 2009)

Let Ω be a *convex domain in \mathbb{R}^3* .

Then there exists a *proper complete minimal immersion*

$X : M \rightarrow \Omega$.

Proof. $k = 3$.

Q.E.D.

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Proof. $k = 3$.

Q.E.D.

Corollary (Original Aim)

Let Ω be a *convex domain* in \mathbb{R}^2 .

Then there exists a *complete minimal immersion* $X : M \rightarrow \mathbb{R}^3$
properly projecting into Ω .

Proof. $k = 2$.

Q.E.D.

- Let \mathcal{M} be an open Riemann surface and $S \subset \mathcal{M}$ an admissible subset. A smooth map $F = (F_1, F_2, F_3) : S \rightarrow \mathbb{C}^3$ is said to be a **generalized null curve** iff
 - $\sum_{i=1}^3 (dF_i)^2 = 0$,
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Lemma (The Approximation Lemma)

Let \mathcal{N} be an open Riemann surface of finite topology, and let S be a connected **admissible** compact subset in \mathcal{N} . Let $F = (F_1, F_2, F_3) : S \rightarrow \mathbb{C}^3$ be a **generalized null curve**.

Then F can be **uniformly \mathcal{C}^1 -approximated** on S by a sequence of **null curves** $H_n : \mathcal{N} \rightarrow \mathbb{C}^3$.

Moreover, we can choose the third coordinate $(H_n)_3 = F_3 \forall n$ provided that F_3 **extends holomorphically** to \mathcal{N} and dF_3 never vanishes on C_S .

To construct a **complete proper null curve** $F : \mathbb{D} \rightarrow \mathbb{B} \subset \mathbb{C}^3$ we apply recursively the following technical result.

Lemma

Let M be a simply connected compact region in \mathbb{D} with $0 \in M^\circ$, let $\xi > 0$, $\rho > 0$, $n \in \mathbb{N}$, and $X : M \rightarrow \mathbb{C}^3$ be a null curve satisfying

$$\rho - \xi < \|X(P)\| < \rho \quad \forall P \in \partial M.$$

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Then, there exists a simply connected compact region M' with $M \subset (M')^\circ \subset M' \subset \mathbb{D}$ and a null curve $Y : M' \rightarrow \mathbb{C}^3$ such that

$$\textcircled{1} \quad \left(\rho + \frac{1}{n^2}\right) - \epsilon < \|Y(P)\| < \rho + \frac{1}{n^2} \quad \forall P \in \partial M',$$

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- 2 $\|Y - X\| < \epsilon/2^n$ on M ,

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- 3 $\rho - \xi < \|Y(P)\| \quad \forall P \in M' - M^\circ$,
- 4 $\text{dist}_{(M', Y)}(0, \partial M') > \text{dist}_{(M, X)}(0, \partial M) + \frac{\rho}{n}$.

Rough sketch of the proof

1. Split ∂M in a family of Jordan arcs $\alpha_1, \dots, \alpha_k$ so that $\forall P \in \alpha_i$,

$$\rho - \xi < \|y\| \quad \forall y \in X(P) + \Pi_i, \quad (1)$$

$$\|X(P) - y\| > \frac{\rho}{n} \quad \forall y \in X(P) + \Pi_i \text{ with } \|y\| \geq \rho + \frac{1}{n^2}, \quad (2)$$

where \mathbf{P}_i is the initial point of α_i and $\Pi_i = X(\mathbf{P}_i)^\perp$.

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2. Consider M_0 a simply connected compact region with $M \subset (M_0)^\circ \subset M_0 \subset \mathbb{D}$ and pairwise disjoint Jordan arcs $\gamma_1, \dots, \gamma_k$ such that $\gamma_i \subset M_0 - M^\circ$ connects \mathbf{P}_i and $\mathbf{Q}_i \in \partial M_0$, and $S = M \cup (\cup_{i=1}^k \gamma_i)$ is an admissible compact set on \mathbb{D} .

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3. Extend X to a generalized null curve $X : S \rightarrow \mathbb{C}^3$ so that
- On the first half of γ_i , the projection of X in the direction of $X(\mathbf{P}_i)$ has length $> \rho/n$, and X satisfies (1) and (2).
 - X on the second half of γ_i is a segment in the direction of $X(\mathbf{P}_i)$ and

$$\rho + \frac{1}{n^2} < \|y\| \quad \forall y \in X(\mathbf{Q}_i) + \mathbf{n}_i.$$

- The coordinate of X in the direction of $X(\mathbf{P}_i)$ does **all the work** on $\gamma_i \cup \alpha_i \cup \gamma_{i+1}$ at this moment.

4. Approximate $X : S \rightarrow \mathbb{C}^3$ by a null curve $X_0 : M_0 \rightarrow \mathbb{C}^3$ satisfying the same properties as X on S .

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5. Label Ω_i as the closed disc in M_0 bounded by $\alpha_i, \gamma_i, \gamma_{i+1}$ and a piece of ∂M_0 . Consider K_i a proper compact disc on $\Omega_i - \gamma_i \cup \alpha_i \cup \gamma_{i+1}$ so that just the coordinate of X_0 in the direction of $X(\mathbf{P}_i)$ does all the work on $\Delta_i = \overline{\Omega_i - K_i}$.

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6. Consider a generalized null curve $Z : \overline{M_0 - \Delta_i} \rightarrow \mathbb{C}^3$ such that

- $Z|_{\overline{M_0 - \Omega_i}} = X_0$.
- $Z|_{K_i} = w + X_0$, where $w \in \mathbb{R}^3$ is large enough so that

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- $\langle Z - X_0, X(\mathbf{P}_i) \rangle = 0$.

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7. Approximate Z by a null curve $Y : M_0 \rightarrow \mathbb{C}^3$ with $\langle Y - X_0, X(\mathbf{P}_i) \rangle = 0$, and shrink M_0 .

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- $M = \mathbb{D} \longrightarrow M =$ arbitrary topology:

- Use the Approximation Lemma as a Bridge Principle for null curves to complicate the topology little by little.

- Antonio Alarcón, Isabel Fernández and Francisco J. López, *Complete minimal surfaces and harmonic functions*. Comment. Math. Helv., in press.
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Thank you very much
for your kind attention!