## Willmore spheres in Riemannian manifolds

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NOTATION:

• (M,g) 3-d Riemannian manifold (later also dim $(M) \ge 3$ )

- $\Sigma$  closed (compact,  $\partial \Sigma = \emptyset$ ) 2-d surface
- $f: \Sigma \hookrightarrow M$  immersion,  $\mathring{g}$  induced metric on  $\Sigma$

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• 
$$A_{ij} = II$$
 fundamental form of  $f(\Sigma)$ 

• 
$$H = \frac{1}{2}A_{ij}\dot{g}^{ij} = \frac{k_1+k_2}{2}$$
 mean curvature

►  $A_{ij}^{\circ} = A_{ij} - H \mathring{g}_{ij}$  = traceless II fundamental form

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Question

Which are the best immersions f?

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How it is possible to relax the definitions in order to get existence?

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$$\begin{split} W(f) &:= \int_{f(\Sigma)} |H|^2 = \text{Willmore functional} \rightsquigarrow \text{"generalized minimal"} \\ E(f) &:= \int_{f(\Sigma)} |A|^2 = \text{Energy functional} \rightsquigarrow \text{"generalized totally geodesic"} \\ W_{cnf}(f) &:= \int_{f(\Sigma)} |A^\circ|^2 = \text{Conf. Willmore funct.} \end{split}$$

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$$W(f) = W_{cnf}(f) + 2\pi\chi_E(\Sigma) = \frac{1}{2}E(f) + \pi\chi_E(\Sigma)$$

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## Conformal invariance

### Theorem (Weiner '78)

W<sub>cnf</sub> is conformally invariant, i.e.

 $\forall u \in C^{\infty}(M) \text{ called } g[u] := e^{2u}g \Rightarrow W_{cnf}(f)[u] = W_{cnf}(f)$ 

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### Remark

W is conformal invariant in  $\mathbb{R}^3$  but not in a general manifold  $\Rightarrow$  $W_{cnf}$  is the "correct" Willmore functional from a conformal point of view.

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- Works by Bernard, Bryant, Hélein, Heller, Kilian, Mazzeo, Montiel, Pedit, Pinkall, Ritoré, Ros, Rosenberg, Schätzle, Schmidt, Schygulla, Topping, Urbano etc.

## In manifolds?

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#### Perturbative setting

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Ambient manifold:  $(M,g) = (\mathbb{R}^3, g_{\epsilon})$  where  $(g_{\epsilon})_{\mu\nu} := \delta_{\mu\nu} + \epsilon h_{\mu\nu}$ ,  $h_{\mu\nu}$  is symmetric (2,0) tensor field.

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IDEA: for  $\epsilon = 0$  the ambient manifold is  $\mathbb{R}^3 \Rightarrow$  the round spheres form a 4-d manifold of critical points $\rightarrow$  use a perturbative method lying on a Lyapunov-Schmidt reduction.

Theorem [M.(Math. Zeit. '10)] Assume

 $\begin{array}{l} - \exists \bar{p} \in \mathbb{R}^3 \text{ such that } R_1(\bar{p}) \neq 0, \\ - \text{ Said } \|h(p)\| := \sup_{|\nu|=1} |h_p(\nu, \nu)| \\ i) \lim_{|p| \to \infty} \|h(p)\| = 0. \\ ii) \exists C > 0 \text{ and } \alpha > 2 \text{ s.t. } |D_\lambda h_{\mu\nu}(p)| < \frac{C}{|p|^{\alpha}} \quad \forall \lambda, \mu, \nu = 1 \dots 3. \end{array}$ 

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$$W(S_{p,\rho}) = 4\pi - \frac{2\pi}{3}R(p)\rho^2 + O_p(\rho^3)$$

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 Related perturbative results, under area constraint, by Lamm-Metzger-Schulze and Lamm-Metzger

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► ⇒ In Berger spheres: a minimizing sequence either converges to a totally umbilical surface (but this does not exist by S-T) or it shrinks to a point ⇒ minimization cannot be performed → Perturbative approach, saddle type critical points.

#### Existence of generalized totally umbilic spheres

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Theorem(Carlotto-M.'13) Let  $g_{\varepsilon} = g_0 + \varepsilon h$  be a Riemannian metric on  $\mathbb{S}^3$  for some analytic, symmetric (0, 2)-tensor h. There exists  $\overline{\varepsilon} \in \mathbb{R}_{>0}$  such that if  $\varepsilon \in (-\overline{\varepsilon}, \overline{\varepsilon})$  then there exist embedded critical points for the conformal Willmore functional  $W_{conf} = \int |A^{\circ}|^2$  in metric  $g_{\varepsilon}$ . More precisely, every Willmore surface we construct is a normal graph over a totally umbilic sphere in  $(\mathbb{S}^3, g_0)$  via a smooth

function  $w_{\varepsilon}$  converging to 0 in  $C^{4,\alpha}$  norm as  $\varepsilon \to 0$ .

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Remark. The critical points we construct are saddle points for  $W_{conf}$ . Moreover, a standard *bumpy-metric argument* shows that (in case ( $\mathbb{S}^3, g_{\varepsilon}$ ) *does not* have constant sectional curvature) these are *generically non-degenerate* of index exactly 4.

Corollary(Carlotto-M.'13) Let  $g_{\varepsilon} = g_0 + \varepsilon h$  be a left-invariant metric on  $SU(2) \cong \mathbb{S}^3$ . There exists  $\overline{\varepsilon} \in \mathbb{R}_{>0}$  such that if  $\varepsilon \in (-\overline{\varepsilon}, \overline{\varepsilon})$  then for every  $p \in \mathbb{S}^3$  there exists an embedded critical 2-sphere for the conformal Willmore functional (in metric  $g_{\varepsilon}$ ) passing through p. As a result, under these assumptions the conformal Willmore functional  $W_{conf}$  has uncountably many distinct critical points.

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Remark By Souam-Toubiana and Manzano-Souam we know that on (non round) left invariant metrics on  $\mathbb{S}^3$  there exist NO totally umbilical surface, on the other hand we produce uncountably many GENERALIZED totally umbilical surfaces.

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- ▶ Question: can we find at least generalized totally geodesic immersions (i.e. minimizers of  $E := \frac{1}{2} \int |A|^2$ )?

Theorem [Kuwert- M.- Schygulla '11] Let (M, g) be a compact 3-dimensional Riemannian manifold and assume:

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b) there exists a minimizing sequence for *E* of smooth immersions  $f_k : \mathbb{S}^2 \hookrightarrow M$  with  $\sup_k Area(f_k) < \infty$ .

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Corollary. If (M, g) is a compact 3-dimensional Riemannian manifold with strictly positive sectional curvature, then there exists a smooth minimizer of  $E = \frac{1}{2} \int |A|^2$  among smooth immersed spheres; i.e. there exists a generalized totally geodesic immersion.
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- Analogous existence result for the minimization of  $W_1 := \int |H|^2 + 1$
- ▶ The case (*M*, *g*) non compact is studied by M.-Schygulla '12.

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- the sequence may degenerate: f<sub>k</sub> may shrink to a point or μ may be 0; excluded by a blow up procedure using assumption a).

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•  $\Rightarrow \mu$  is a candidate minimizer and we have to prove regularity i.e. this measure is associated to a smooth immersion of a sphere

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- Use the equation+bootstrap  $\Rightarrow$  smoothness of the immersion.

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# Parametric approach 1: possibly branched lipschitz immersions

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For any *possibly branched lipschitz immersion* we can define almost everywhere the Gauss map

$$\vec{n}_{\vec{\Phi}} := \star_h \frac{\partial_{x_1} \vec{\Phi} \wedge \partial_{x_2} \vec{\Phi}}{|\partial_{x_1} \vec{\Phi} \wedge \partial_{x_2} \vec{\Phi}|} \in \wedge^{m-2} T_{\vec{\Phi}(x)} M^m$$

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Definition: [M., Rivière '11] A possibly branched lipschitz immersion  $\vec{\Phi} \in W^{1,\infty}(\mathbb{S}^2, M^m)$  is called "weak, possibly branched, immersion" if the Gauss map satisfies

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The space of "weak, possibly branched, immersions" of  $\mathbb{S}^2$  into  $M^m$  is denoted  $\mathcal{F}_{\mathbb{S}^2}.$ 

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 $\rightarrow$  right functional space where defining  $W, W_{conf}, E, \dots, E_{max}$ 

 $\begin{array}{l} \mbox{Proposition:}[\mbox{Toro,Müller-Sverak- Hélein,Rivière}] \\ \mbox{Let } \vec{\Phi} \in \mathcal{F}_{\mathbb{S}^2} \mbox{ then } \exists \Psi : \mathbb{S}^2 \rightarrow \mathbb{S}^2 \mbox{ bilipschitz homeomorphism such } \\ \mbox{that } \vec{\Phi} \circ \Psi \mbox{ is weakly conformal : almost everywhere on } \mathbb{S}^2 \end{array}$ 

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where  $(x_1, x_2)$  are local arbitrary conformal coordinates in  $\mathbb{S}^2$  for the standard metric. Moreover  $\vec{\Phi} \circ \Psi$  is in  $W^{2,2} \cap W^{1,\infty}(\mathbb{S}^2, M^m)$ .

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Remark: We don't ask conformality from the beginning for variational reasons

Theorem[M., Rivière '11] Let  $\vec{\Phi}_k \in \mathcal{F}_{S^2}$  be conformal such that  $\limsup_{k \to +\infty} \int_{\mathbb{S}^2} \left[ 1 + |D\vec{n}_{\vec{\Phi}_k}|_h^2 \right] dvol_{g_k} < +\infty \quad \liminf_{k \to +\infty} diam(\vec{\Phi}_k(\mathbb{S}^2)) > 0.$ (4)

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Then, up to subsequences in k,  $\exists \Psi_k : \mathbb{S}^2 \to \mathbb{S}^2$  bilipschitz homeomorphism,

$$\begin{split} \vec{\Phi}_k \circ \Psi_k &\longrightarrow \vec{f}_{\infty} \in W^{1,\infty}(\mathbb{S}^2, M^m) \quad \text{strongly in } C^0(\mathbb{S}^2, M^m). \end{split}$$
(5) Moreover  $\exists (f_k^i)_{i=1\cdots N} \subset \mathcal{M}^+(\mathbb{S}^2)$ , for every  $1 \leq i \leq N$  $\exists b^{i,1} \cdots b^{i,N^i}$  such that  $\vec{\Phi}_k \circ f_k^i &\longrightarrow \vec{\xi}_{\infty}^i$  weakly in  $W^{2,2}_{loc}(\mathbb{S}^2 \setminus \{b^{i,1} \cdots b^{i,N^i}\}),$ where  $\vec{\xi}_{\infty}^j \in \mathcal{F}_{\mathbb{S}^2}$  is conformal.

Theorem[M., Rivière '11] Let 
$$\vec{\Phi}_k \in \mathcal{F}_{S^2}$$
 be conformal such that  

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Remark: related compactness, independently, by Chen-Li (2011)

Proposition[M., Rivière '12] Let  $\vec{\Phi} \in \mathcal{F}_{\mathbb{S}^2}$ , then W is Fréchet differentiable for normal  $W^{1,\infty} \cap W^{2,2}$  pertubations supported away from the branched points:  $spt(\vec{w}) \subset \mathbb{S}^2 \setminus \bigcup_{i=1}^N b^i$ .

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where 
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Remark: Regularity for all critical points  $\rightarrow$  suitable for saddle points

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## Application 2: Willmore spheres under area constraint

Theorem[M., Rivière '12] Let  $(M^m, h)$  be a compact Riemannian manifold and fix any A > 0.

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Remark: the theorem extends to arbitary area the analogous perturbative result of Lamm-Metzger proved for infinitesimal area constraint (for small area there is just one sphere) Theorem[M., Rivière '12]

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Remark: the theorem extends to arbitary area the analogous perturbative result of Lamm-Metzger proved for infinitesimal area constraint (for small area there is just one sphere) Let (M<sup>3</sup>, g) be a Berger sphere with positive sectional curvature (or more generally a left invariant metric on S<sup>3</sup> with positive sectional curvature) and let Σ be minimizer of ∫ |A|<sup>2</sup> among smooth immersed 2-spheres.

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   Under which conditions (e.g. curvature bounds in the ambient manifold) just one sphere is better?

## The articles

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# IITHANK YOU FOR THE ATTENTION!!

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