

# Min-max Theory in Geometry

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# Definitions

- $(M^{n+1}, g)$  closed  $(n + 1)$ -Riemannian manifold with  $n \leq 6$ ;
- $\mathcal{Z}_n(M) = \{\text{all oriented hypersurfaces in } M\}$ ;
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## Question

Do minimal hypersurfaces exist?

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**Theorem (Pitts, '81  $2 \leq n \leq 5$ , Schoen-Simon, '81  $n = 6$ )**

Assume  $\mathbf{L}[\Phi] > \sup_{x \in \partial X^k} \text{vol}(\Phi(x))$ .

There is smooth embedded minimal  $n$ -hypersurface  $\Sigma$  (with multiplicities) so that

$$\mathbf{L}[\Phi] = \text{vol}(\Sigma).$$



## One dimensional cycles ( $k = 1$ )

- $f : M \rightarrow [0, 1]$  Morse function;
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## Application 2 (Simon-Smith '82)

Every  $(S^3, g)$  admits an embedded minimal sphere.

## One dimensional cycles ( $k = 1$ )

### Application 3 (Colding-Minicozzi, '06)

Assume  $(M^3, g)$  has  $\pi_3(M) = \mathbb{Z}$  (e.g, if  $M$  is simply connected).

Ricci flow starting at  $g$  will have a finite time singularity.

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### Application 4 (Marques-M., '11)

Assume  $(M^3, g)$  has  $Ric(g) > 0$  and scalar curvature  $R \geq 6$ .

Then

$$\mathbf{L}[\Phi_1] \leq 4\pi$$

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### Application 5 (X. Zhou., '12)

Assume  $(M^{n+1}, g)$  has  $Ric(g) > 0$  and  $2 \leq n \leq 6$ .

Then  $\mathbf{L}[\Phi_1]$  is attained by either an index one minimal embedded hypersurface or a stable non-orientable embedded hypersurface with multiplicity two.

# One, two, and three dimensional cycles ( $k = 1, 2, 3$ )

Consider

$$\Phi_1 : \mathbb{RP}^1 \rightarrow \mathcal{Z}_1(\mathbb{S}^2, \mathbb{Z}_2), \quad [a_0, a_1] \mapsto \partial\{a_0 + a_1 x_1 < 0\} \cap \mathbb{S}^2$$

$$\Phi_2 : \mathbb{RP}^2 \rightarrow \mathcal{Z}_1(\mathbb{S}^2, \mathbb{Z}_2), \quad [a_0, a_1, a_2] \mapsto \partial\{a_0 + a_1 x_1 + a_2 x_2 < 0\} \cap \mathbb{S}^2$$

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**Theorem (Lusternik–Schnirelmann, '47)**

$[\Phi_1], [\Phi_2], [\Phi_3]$  are all homotopically distinct in  $\mathcal{Z}_1(\mathbb{S}^2, \mathbb{Z}_2)$

**Application 1 (Lusternik–Schnirelmann, '47, Grayson, '89)**

Every  $(\mathbb{S}^2, g)$  admits three distinct simple closed geodesics.

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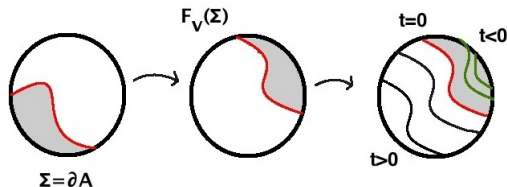
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**Application 2 (Jost, '89)**

Every  $(\mathbb{S}^3, g)$  admits four distinct embedded minimal spheres.

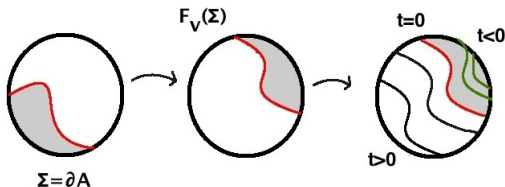
## Five dimensional cycles ( $k = 5$ )

- $B^4 = \text{unit 4-ball} = \text{Conf}_+(S^3)/SO(4)$ ;
- $\Sigma$  embedded smooth surface of  $S^3$ ;
- $\Phi_5 : B^4 \times [-\pi, \pi] \rightarrow \mathcal{Z}_2(S^3)$ ,  $\Phi_5(v, t) = \partial\{\text{signed dist}(x, F_v(\Sigma)) < t\}$ .



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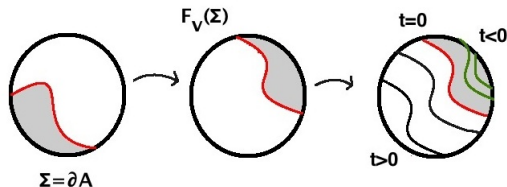


### Theorem (Marques-N., '12)

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### Application (Marques-N., '12)

If  $\tilde{\Sigma} \subset \mathbb{R}^3$  compact and embedded has positive genus then

$$\int_{\tilde{\Sigma}} |H|^2 d\mu \geq 2\pi^2.$$

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$$G : S^1 \times S^1 \rightarrow S^4, \quad G(s, t) = \frac{\gamma_1(t) - \gamma_2(s)}{|\gamma_1(t) - \gamma_2(s)|},$$

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### Application (Agol-Marques-N., '12)

If  $(\gamma_1, \gamma_2)$  has  $|lk(\gamma_1, \gamma_2)| = 1$ , then Mobius cross energy of  $(\gamma_1, \gamma_2) \geq 2\pi^2$ .

## $k$ -dimensional cycles ( $k \in \mathbb{N}$ )

We say  $\Phi : \mathbb{R}\mathbb{P}^k \rightarrow \mathcal{Z}_n(M; \mathbb{Z}_2)$  is a  $k$ -sweepout if for every curve  $\gamma$

$0 \neq [\gamma] \in \pi_1(\mathbb{R}\mathbb{P}^k) \implies \Phi \circ \gamma : S^1 \rightarrow \mathcal{Z}_n(M; \mathbb{Z}_2)$  is a sweepout.

### Example 1

With  $f : M \rightarrow \mathbb{R}$  Morse function set

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### Example 2 (Conjectural)

With  $\phi_0, \dots, \phi_k$  linearly independent eigenfunctions of Laplacian consider

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## k-dimensional cycles ( $k \in \mathbb{N}$ )

Consider for every  $k \in \mathbb{N}$

$$\omega_k(M) := \inf_{\{\Phi \text{ is a } k\text{-sweepout}\}} \sup_{x \in \mathbb{R}\mathbb{P}^k} \text{vol}(\Phi(x)).$$

### Theorem (Gromov, '87 – Guth, '07)

For every  $(M^{n+1}, g)$  there is  $C$  so that for all  $k \in \mathbb{N}$

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There are infinitely many distinct embedded smooth minimal hypersurfaces.

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- (Franks, '92, Bangert '93)  $(S^2, g)$  admits infinitely closed geodesics
- (Yau's Conjecture, '82)  $(M^3, g)$  admits infinitely many distinct smooth minimal surfaces.

# Sketch of proof

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**4:** finitely many minimal hypersurfaces + **2** + **3**  $\implies \omega_k(M)$  grows linearly.

Contradiction with Gromov-Guth Theorem!