# Minimal Surfaces in the Heisenberg Space

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arXiv: 1504.05239 [math.DG] (2015)

 -, R. Sa Earp, E. Toubiana: Minimal Graphs in Nil3: existence and non-existence result

arXiv:1508.01724 [math.DG] (2015)

# Contents

- Nil(τ**)**
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- It represents one of the eight Thurston geometries, that are ℝ<sup>3</sup>, ℍ<sup>3</sup>, S<sup>3</sup>, ℍ<sup>2</sup> × ℝ, S<sup>2</sup> × ℝ, *Nll*<sub>3</sub>, *PSL*<sub>2</sub>(ℝ), *Sol*<sub>3</sub>.

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- We are able to prove the analogous of some of our results in *Nil*<sub>3</sub>(*τ*) also in ℝ<sup>3</sup>, ℍ<sup>2</sup> × ℝ, *PSL*<sub>2</sub>(ℝ) (by direct computation with the suitable metric or by Daniel correspondence).

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- We are able to prove the analogous of some of our results in *Nil*<sub>3</sub>(*τ*) also in ℝ<sup>3</sup>, ℍ<sup>2</sup> × ℝ, *PSL*<sub>2</sub>(ℝ) (by direct computation with the suitable metric or by Daniel correspondence).
- $Nil_3(\tau)$  is also known as an  $\mathbb{E}(\kappa, \tau)$  space, with  $\kappa = 0$ .

A model for  $\mathit{Nil}_3(\tau)$  is  $\mathbb{R}^3$  endowed with the Riemannian metric

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- The fibers of the submersion are geodesic and coincide with the integral curves of the Killing vector field ∂<sub>3</sub> = ∂/∂x<sub>0</sub>.
- A global orthonormal frame is  $E_1 = \partial_1 \tau x_2 \partial_3$ ,  $E_2 = \partial_2 + \tau x_1 \partial_3$ ,  $E_3 = \partial_3$ .

# Isometries in $\textit{Nil}_3(\tau)$

## ISOMETRIES IN $Nil_3(\tau)$

• A set of generators of the isometry group of  $Nil_3(\tau)$  is

$$\begin{aligned} \varphi_1(x_1, x_2, x_3) &= (x_1 + c, x_2, x_3 + \tau c x_2) \\ \varphi_2(x_1, x_2, x_3) &= (x_1, x_2 + c, x_3 - \tau c x_1) \\ \varphi_3(x_1, x_2, x_3) &= (x_1, x_2, x_3 + c) \\ \varphi_4(x_1, x_2, x_3) &= ((\cos \theta) x_1 - (\sin \theta) x_2, (\sin \theta) x_1 + (\cos \theta) x_2, x_3) \\ \varphi_5(x_1, x_2, x_3) &= (x_1, -x_2, -x_3) \end{aligned}$$

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- Let Γ be a curve in the x<sub>1</sub>-x<sub>2</sub> plane. Let φ be any isometry of *Nil*<sub>3</sub>(τ).
- The curve φ(Γ) is not contained in the x<sub>1</sub>-x<sub>2</sub> plane in general. The projection π(φ(Γ)) of such curve on the x<sub>1</sub>-x<sub>2</sub> plane is obtained from the curve Γ by an isometry on the Euclidean x<sub>1</sub>-x<sub>2</sub> plane.

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- If Γ is convex, then π(φ(Γ)) is convex, for any isometry φ of *Nil*<sub>3</sub>(τ).

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$$2H(u):=\operatorname{div}\left(\frac{Gu}{\sqrt{1+\|Gu\|^2}}\right)=0,$$

where the divergence and the norm are computed in  $\mathbb{R}^2$ , and Gu is a vector field on  $\Omega$  given in coordinates by  $Gu = \nabla u + Z$  where  $Z = \tau x_2 \partial_1 - \tau x_1 \partial_2$  and  $\nabla u$  is the gradient of u in  $\mathbb{R}^2$ .

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Developing the divergence, one gets the following equation

$$\left(1 + (u_2 - \tau x_1)^2\right)u_{11} - 2(u_1 + \tau x_2)(u_2 - \tau x_1)u_{12} + \left(1 + (u_1 + \tau x_2)^2\right)u_{22} = 0$$

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### EXAMPLES OF MINIMAL SURFACES IN $Nil_3(\tau)$ .

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$$u_{c}(x_{1}, x_{2}) = \tau x_{1} x_{2} + \frac{\sinh(c)}{4\tau} \left[ 2\tau x_{2} \sqrt{1 + 4\tau^{2} x_{2}^{2}} + \operatorname{arcsinh}(2\tau x_{2}) \right]$$

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- **5**  $C_{\alpha}$  is invariant by rotation of angle  $\pi$  around all the axis.

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#### EXISTENCE ON BOUNDED DOMAINS

For finite boundary data, on **bounded** domain the more general existence result is established for convex boundary and piecewise continuous boundary data. Before this result, there were existence result with more restrictive assumptions (L. Alias, M. Dajczer, J.H. De Lira, -, H. Rosenberg, R. Sa Earp, E. Toubiana).

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- These results are in contrast with the R<sup>3</sup> case, where a minimal solutions with zero boundary value on a wedge of angle < π is zero (H.Rosenberg, R. Sa Earp).</p>

#### **GRAPHS ON UNBOUNDED DOMAINS**

#### **THEOREM** (-, R. SA EARP, E. TOUBIANA)

• Let  $\Omega \subset \mathbb{R}^2$  be an unbounded, convex domain different from an half-plane. Let  $\varphi$  be a continuous function on  $\Gamma = \partial \Omega$  except at a discrete set of points where  $\varphi$  has left and right limit. Then there exists a minimal extension u of  $\varphi$  over  $\overline{\Omega}$ . Moreover the boundary of the graph of u contains the vertical segments between the left and the right limits of  $\varphi$  at the discontinuity points.

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- Let  $\Omega$  be a half-plane and let  $\Gamma = \partial \Omega$ . Let  $\varphi$  be a bounded function on  $\Gamma$ , continuous except at a discrete set of points where  $\varphi$  has left and right limit. Then there exists a 1-parameter family of minimal extensions u of  $\varphi$  over  $\overline{\Omega}$ . Moreover the boundary of the graph of u contains the vertical segments between the left and the right limits of  $\varphi$  at the discontinuity points.

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- Let  $\Omega$  be a half-plane and let  $\Gamma = \partial \Omega$ . Let  $\varphi$  be a bounded function on  $\Gamma$ , continuous except at a discrete set of points where  $\varphi$  has left and right limit. Then there exists a 1-parameter family of minimal extensions u of  $\varphi$  over  $\overline{\Omega}$ . Moreover the boundary of the graph of u contains the vertical segments between the left and the right limits of  $\varphi$  at the discontinuity points.
- In the half-plane case, we can relax the assumption on φ. For example, if the half plane is x<sub>2</sub> > 0 : φ(x<sub>1</sub>, 0) = cx<sub>1</sub> for |x<sub>1</sub>| > n.

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- 4 Schauder:  $C^{1,\beta}$  implies  $C^{2,\alpha}$ .

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- 2 Prove that there is a subsequence of  $u_n$  converging to a minimal solution u on  $\Omega$  on any compact subset of  $\Omega$ .
- 3 In order to get convergence, it is enough to prove that the sequence  $u_n$  is uniformly bounded in the  $C^{2,\alpha}$  topology on any compact subset of  $\Omega$ .
- 4 Schauder:  $C^{1,\beta}$  implies  $C^{2,\alpha}$ .
- **5** Ladyzhenskaya-Ural'ceva:  $C^1$  implies  $C^{1,\beta}$ .

# • $C^1$ follows from

Theorem (H. Rosenberg, R. Souam, E. Toubiana) Let  $\Omega \subset \mathbb{R}^2$  be a relatively compact domain and let  $u : \Omega \longrightarrow \mathbb{R}$  satisfy the minimal surface equation. Then, for any positive constant  $C_1$ ,  $C_2$ , there exists a constant  $\alpha = \alpha(C_1, C_2, \Omega)$  such that for any  $p \in \Omega$  with  $d(p, \partial\Omega) \ge C_2$  and  $|u| < C_1$  on  $\Omega$ , we have

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- Uniform height estimates implies convergence
- Then one has to use barrier in order to prove that boundary data are right.

#### Geometric idea of the proof in the halfplane case

Assume that the half-plane is

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For any  $n \in \mathbb{N}$ , consider the strip

$$\Omega_n = \{ (x_1, x_2) \in \mathbb{R}^2 \mid d < x_2 < n \}$$

and the continuous function

$$\varphi_n(p) = \begin{cases} \varphi(p), \ p = (x_1, d) \\ an + b, \ p = (x_1, n) \end{cases}$$

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• Exhaust  $\Omega_n$  by rectangles

$$\mathcal{R}_{n,k} = \{(x_1, x_2) \in \mathbb{R}^2 \mid |x_1| < k, \ d < x_2 < n\}$$

and define  $\varphi_{n,k}(p) = \varphi_n(p)$  for  $p \in \partial \Omega_n \cap \partial \mathcal{R}_{n,k}$ , and it is monotone on the vertical sides of  $\mathcal{R}_{n,k}$  that is  $\{(x_1, x_2) \in \overline{\mathcal{R}}_{n,k}, x_1 = \pm k\}.$ 

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- One proves that all the solutions u<sub>n</sub> and u take the right boundary value at continuity points using the technique of barriers, and at the discontinuity points, by hand.
- The existence of one parameter family of solutions is achieved by changing the slope of the initial plane that one uses as supersolution.

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### A NON EXISTENCE RESULT

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- The proof uses horizontal catenoids and geometric maximum principle.

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- An Open Problem

### Two types of area growth of a graph G in $Nil_3( au)$

■ Let  $p_0 \in G$  and let  $B(p_0, R)$  be a geodesic ball in  $Nil_3(\tau)$  of radius R centered at  $p_0$ . Let  $\alpha > 0$  and assume

$$\liminf_{R\to\infty}\frac{\operatorname{Area}(G\cap B(\rho_0,R))}{R^\alpha}>0, \ \left(\limsup_{R\to\infty}\frac{\operatorname{Area}(G\cap B(\rho_0,R))}{R^\alpha}<\infty\right)$$

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Then we say that G has cylindrical area growth of order at least (at most)  $\alpha$ .

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■ Daniel examples: u(x<sub>1</sub>, x<sub>2</sub>) = x<sub>1</sub>f(x<sub>2</sub>) where f is a C<sup>2</sup> function on ℝ. Extrinsic (and cylindrical): cubic. Intrinsic: ???. Conformal type: parabolic.

# Geodesic balls in $\textit{Nil}_3( au)$

### LEMMA (M. MANZANO, -)

Given R > 0, let  $B_R(0)$  be the geodesic ball in  $Nil_3(\tau)$  centered at the origin and let  $D_R(0) = \{(x_1, x_2) \in \mathbb{R}^2 : x_1^2 + x_2^2 < R^2\}.$ 

• If  $R \leq \frac{\pi}{2\tau}$ , then  $B_R(0) \subset D_R(0) \times ] - R, R[$ .

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, then  $B_R(0) \subset D_R(0) \times ] - \frac{\pi^2 + 4\tau^2 R^2}{4\tau \pi}, \frac{\pi^2 + 4\tau^2 R^2}{4\tau \pi} [.$ 

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- For the proof, we write the explicit equations of the geodesics in *Nil*<sub>3</sub>(τ) and we estimates their maximal height in the ball B<sub>R</sub>(0).
- For *R* small there were results by C. Jang, J. Park, K. Park (2010).

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  - The at least part in (C) is based on

 $\operatorname{area}(\Sigma \cap C_R(x_0))) \geq \operatorname{area}(\Sigma_0 \cap C_R(x_0)).$ 

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The at most in (E) part comes form

$$\operatorname{area}(\Sigma \cap B_R(0)) \leq \int_{\Omega(R)} (1 + |Z|) + h(R) \operatorname{length}(\partial \Omega(R)).$$

where  $\Sigma$  is a graph over  $\Omega$  by a function u,  $\Omega(R) = \Omega \cap D_R(0)$ and  $B_R(0) \subset D_R(0) \times [-h(R), h(R)]$  for some positive function h. The at most part in (C) and the at least part in (E) is based on height estimate LATER.

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- The estimate from above on the extrinsic area growth, yields that the intrinsic area growth is at most cubic.
- As a byproduct of the previous inequality: in ℝ<sup>3</sup>, the intrinsic area growth is at most quadratic.

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- As far as we know, there is no example with more than quadratic height growth.

(Manzano, -) Let  $\Sigma$  be an entire minimal graph in  $Nil_3(\tau)$ , given by a function  $u \in C^{\infty}(\mathbb{R})$  and let  $r = \sqrt{x_1^2 + x_2^2}$ . Then

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#### **CONJECTURE**

The height growth of an entire minimal graph in  $Nil_3(\tau)$  is at most quadratic.

Sketch of the proof of the Height Growth

The proof relies on a gradient estimate for entire space like graphs in Lorentz-Minkowski space L<sup>3</sup>, with constant mean curvature, related to our graphs by the Calabi-type correspondence (M. Manzano, H. Lee).

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- The Calabi-type correspondence implies that there exists  $v \in C^{\infty}(\mathbb{R}^2)$  such that the graph of v is a space-like surface in  $\mathbb{L}^3$  with constant mean curvature  $\tau$ . Moreover v satisfies  $(1 |\nabla v|^2)(1 + |Gu|^2) = 1$  ( $\nabla v$  in  $\mathbb{R}^2$ ).

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- This yields that  $1 + |Gu|^2 \le A^{-1}(1 + r^2)^2$  and this easily gives that  $|Gu| \le B(1 + r^2)$ . The height growth easily follows.

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But one knows that the cylindrical area growth is at least as  $(R^{\frac{2}{3}})^3$ , that gives the desired estimate.

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If the conjecture is true, then the extrinsic area growth of an entire minimal graph in Nil₃(τ) would be cubic.

#### THEOREM (COLLIN-KRUST TYPE RESULT)

(Manzano, -)

Let  $\Omega \subset \mathbb{R}^2$  be an **unbounded** domain and let  $u \in C^{\infty}(\Omega)$  be a solution in  $\Omega$  of the minimal surface equation in  $Nil_3(\tau)$ , such that  $u_{|\partial\Omega} = 0$ . Denote  $M(r) = \sup_{\rho < r} |u|$ , then

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 The result is sharp because of the plane and the catenoid (there is a previous non sharp result in *Nil*<sub>3</sub>(τ) by C. Leandro and H. Rosenberg).

## Contents

- Nil(τ**)**
- Examples
- Existence
- Area Growth
- Height Growth
- An Open Problem

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- We showed many examples with intrinsic cubic area growth.

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Let  $\Sigma$  be a minimal stable surface in  $Nil_3(\tau)$ . If the angle function  $\nu = \langle E_3, N \rangle$  is such that  $\nu^2 \in L^1(\Sigma)$ , then  $\Sigma$  is a vertical plane.

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The proof depends on the gradient estimate that we got before that prevents Σ to be a graph when ν<sup>2</sup> ∈ L<sup>1</sup>(Σ). Then we use a classification theorem by J. M. Espinar (2013).

# Gracias

#### SUMMARY

Surface	Curvature	Space	EAG	CAG	IAG	CT
Umbrellas	H = 0	$\mathit{Nil}_3(\tau)$	R <sup>3</sup>	R <sup>3</sup>	R <sup>3</sup>	Hyperb.
		$\kappa < 0$	$e^{R\sqrt{-\kappa}}$	$e^{R\sqrt{-\kappa}}$	$e^{R\sqrt{-\kappa}}$	
FMP surfaces	H = 0	$\mathit{Nil}_3( au)$	R <sup>3</sup>	R <sup>3</sup>	R <sup>3</sup>	
Ideal Scherk	$4H^2 + \kappa < 0$	$\mathbb{E}(\kappa,  au)$	$\leq R^2$		$\leq R^2$	Parab.
k-noids		$\mathbb{H}^2(\kappa) imes\mathbb{R}$	( <i>H</i> = 0)			
Entire graphs	H = 0	$Nil_3(\tau)$	$\geq R^2, \leq R^3$	$\geq R^3, \leq R^4$	$\leq \mathit{R}^3$	
	$4H^2 + \kappa = 0$	$\kappa < 0$		$\geq e^{R\sqrt{-\kappa}}$	$\leq R^3$	
	$4H^2+\kappa<0$			$\geq e^{R\sqrt{-\kappa}}$	$\leq Re^{R\sqrt{-\kappa-4H^2}}$	
	H = 0		$\leq Re^{R\sqrt{-\kappa}}$	$\geq e^{R\sqrt{-\kappa}}$	$\leq Re^{R\sqrt{-\kappa}}$	
Graphs with		$\mathbb{R}^3$	$\leq R^2$		$\leq R^2$	
zero boundary	H = 0	$Nil_3(\tau)$	$\leq R^3$		< <b>R</b> <sup>3</sup>	
values		$\kappa < 0$	$\leq Re^{R\sqrt{-\kappa}}$		$\leq Re^{R\sqrt{-\kappa}}$	

TABLE : EAG=Extrinsic Area Growth, CAG=Cylindrical Area Growth, IAG= Intrinsic Area Growth, CT= Conformal Type