

# The h-principle for minimal surfaces in $\mathbb{R}^n$ and null curves in $\mathbb{C}^n$

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# Abstract

Let  $M$  be an open Riemann surface.

**Alarcón and Forstnerič, 2015 (Crelle's Journal, in press):**

Every conformal minimal immersion  $M \rightarrow \mathbb{R}^3$  is isotopic to the real part of a holomorphic null curve  $M \rightarrow \mathbb{C}^3$ .

This is a basic h-principle. We upgrade it to a parametric h-principle:

**Theorem (F. Lárusson & F. Forstnerič, 2016)**

*For any  $n \geq 3$ , the inclusion  $\iota : \mathcal{RN}_*(M, \mathbb{C}^n) \hookrightarrow \mathcal{M}_*(M, \mathbb{R}^n)$  of the space of real parts of nonflat null holomorphic immersions  $M \rightarrow \mathbb{C}^n$  into the space of nonflat conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  satisfies the parametric h-principle with approximation; in particular, it is a weak homotopy equivalence.*

*If  $M$  has finitely generated homology group  $H_1(M; \mathbb{Z})$ , then  $\mathcal{RN}_*(M, \mathbb{C}^n)$  is a strong deformation retract of  $\mathcal{M}_*(M, \mathbb{R}^n)$*

# Areas of mathematics involved in this result

Analogous results hold for several other related spaces of maps to be introduced.

Based on collaboration with **Finnur Lárusson, University of Adelaide**.

The proof brings together four diverse topics from differential geometry, holomorphic geometry, and topology; namely

- the theory of minimal surfaces in  $\mathbb{R}^n$ ,
- modern Oka theory (a branch of complex analysis),
- Gromov's convex integration theory, and
- the theory of absolute neighborhood retracts (to get strong homotopy equivalences for surfaces  $M$  of finite topological type).

# Weierstrass representation of minimal surfaces

Let  $M$  be an open Riemann surface and  $n \geq 3$ . The following are equivalent for a **conformal** immersion  $u = (u_1, \dots, u_n) : M \rightarrow \mathbb{R}^n$ :

- $u$  parametrizes a minimal surface.
- $u$  has identically vanishing mean curvature vector.
- $u$  is harmonic:  $\Delta u = 0$ .
- $\Phi = \partial u = (\phi_1, \dots, \phi_n)$  is a nowhere vanishing holomorphic 1-form satisfying the nullity condition

$$(\phi_1)^2 + (\phi_2)^2 + \dots + (\phi_n)^2 = 0.$$

Conversely, if  $\Phi = (\phi_1, \dots, \phi_n)$  is as above and

$$\int_{\gamma} \Re(\Phi) = 0 \quad \text{for all } \gamma \in H_1(M; \mathbb{Z})$$

then

$$u(p) = u(p_0) + \int_{p_0}^p \Re \Phi, \quad p_0, p \in M,$$

is a conformal minimal immersion  $M \rightarrow \mathbb{R}^n$ .

# The null quadric

$$\mathfrak{Q} = \mathfrak{Q}^{n-1} = \{(z_1, \dots, z_n) \in \mathbb{C}^n : z_1^2 + z_2^2 + \dots + z_n^2 = 0\}.$$

Every conformal minimal immersion  $M \rightarrow \mathbb{R}^n$  ( $n \geq 3$ ) is of the form

$$u(p) = u(p_0) + \int_{p_0}^p \Re(f\theta), \quad p_0, p \in M$$

where  $\theta$  is a nowhere vanishing holomorphic 1-form on  $M$ ,

$$f = 2\partial u/\theta = (f_1, \dots, f_n): M \rightarrow \mathfrak{Q}_* = \mathfrak{Q} \setminus \{0\} \subset \mathbb{C}^n$$

is a holomorphic map and the real periods of  $f\theta$  vanish.

If the **complex periods** of  $f\theta$  vanish, then

$$F(p) = F(p_0) + \int_{p_0}^p f\theta \in \mathbb{C}^n, \quad p_0, p \in M$$

is a **holomorphic null curve** in  $\mathbb{C}^n$  with  $u = \Re F$ . Equivalently:

$$\text{Flux}(u)(\gamma) := \int_{\gamma} \Im(f\theta) = 0 \quad \forall \gamma \in H_1(M; \mathbb{Z}).$$

# A diagram of spaces and maps

$\mathfrak{N}_*(M, \mathbb{C}^n)$ : nonflat holomorphic null curves  $M \rightarrow \mathbb{C}^n$

$\mathfrak{M}_*(M, \mathbb{R}^n)$ : nonflat conformal minimal immersions  $M \rightarrow \mathbb{R}^n$

$$\begin{array}{ccccc} \mathfrak{N}_*(M, \mathbb{C}^n) & \xrightarrow{\phi} & \mathcal{O}(M, \mathfrak{A}_*) & \hookrightarrow & \mathcal{C}(M, \mathfrak{A}_*) \\ \mathfrak{R} \downarrow & & \uparrow \psi & & \\ \Re\mathfrak{N}_*(M, \mathbb{C}^n) & \xrightarrow{\iota} & \mathfrak{M}_*(M, \mathbb{R}^n) & & \end{array}$$

- Our main theorem:  $\iota$  is a **weak homotopy equivalence (WHE)**.
- The projection  $\mathfrak{R} : F \mapsto \Re F$  of a null curve to its real part is clearly a homotopy equivalence.
- The map  $\phi$  is given by  $F \mapsto \partial F / \theta$ , and  $\psi$  is given by  $u \mapsto 2\partial u / \theta$ . Hence, if one of the maps  $\phi$ ,  $\psi$  is a WHE, then so is the other one. **We prove that both of them are WHEs.**
- The inclusion  $\mathcal{O}(M, \mathfrak{A}_*) \hookrightarrow \mathcal{C}(M, \mathfrak{A}_*)$  is a WHE by the **Oka-Grauert principle** (since  $\mathfrak{A}_*$  is an **Oka manifold**).

# Connected components of the space $\mathfrak{M}_*(M, \mathbb{R}^n)$

Recall that  $H_1(M; \mathbb{Z}) \cong \mathbb{Z}^l$  with  $l \in \mathbb{Z}_+ \cup \{\infty\}$ .

The punctured null quadric  $\mathfrak{A}_*^{n-1} \subset \mathbb{C}^n$  is simply connected when  $n \geq 4$ , while  $\pi_1(\mathfrak{A}_*^2) \cong \mathbb{Z}_2$  in view of the two-sheeted universal covering

$$\pi: \mathbb{C}_*^2 = \mathbb{C}^2 \setminus \{0\} \rightarrow \mathfrak{A}_*^2, \quad \pi(u, v) = (u^2 - v^2, i(u^2 + v^2), 2uv).$$

Hence, the path components of the space  $\mathcal{C}(M, \mathfrak{A}_*^2)$  are in one-to-one correspondence with the elements of  $(\mathbb{Z}_2)^l$ , and  $\mathcal{C}(M, \mathfrak{A}_*^{n-1})$  is path connected if  $n \geq 4$ .

## Corollary

*Let  $M$  be a connected open Riemann surface with  $H_1(M; \mathbb{Z}) \cong \mathbb{Z}^l$ . Then the path connected components of  $\mathfrak{M}_*(M, \mathbb{R}^3)$  and  $\mathfrak{N}_*(M, \mathbb{C}^3)$  are in one-to-one correspondence with the elements of  $(\mathbb{Z}_2)^l$ . If  $n \geq 4$  then  $\mathfrak{M}_*(M, \mathbb{R}^n)$  and  $\mathfrak{N}_*(M, \mathbb{C}^n)$  are path connected.*

# Weierstrass representation in dimension $n = 3$

We illustrate the Corollary by a few examples in dimension  $n = 3$ .

Let  $M$  be  $\mathbb{C}_* = \mathbb{C} \setminus \{0\}$  or an annulus, with  $\theta = dz$ .

Since  $\pi_1(\mathfrak{A}_*) = \mathbb{Z}_2$ , there are precisely two homotopy classes of holomorphic maps  $f: M \rightarrow \mathfrak{A}_*$ .

Let  $\pi: \mathbb{C}_*^2 \rightarrow \mathfrak{A}_*$  be the universal covering map as above. Note that  $f$  is nullhomotopic if and only if it factors through  $\pi$ .

Consider the **Weierstrass representation**:

$$f_1 = (1 - g^2)\eta, \quad f_2 = i(1 + g^2)\eta, \quad f_3 = 2g\eta,$$

where  $g$  is meromorphic and  $\eta$  is holomorphic on  $M$ . Assume for simplicity that  $g$  is holomorphic or, equivalently, that  $\eta$  has no zeros.

Then,  $f$  factors through  $\pi$  if and only if  $\eta$  has a square root on  $M$ .

Indeed, if  $\eta$  has a square root then  $f = \pi(\sqrt{\eta}, g\sqrt{\eta})$ ; conversely, if  $f = \pi(u, v)$  for some holomorphic map  $(u, v): M \rightarrow \mathbb{C}_*^2$ , then  $u^2 = \eta$ .



# Examples in dimension $n = 3$

**1. A flat null curve:**  $M = \mathbb{C}_* = \mathbb{C} \setminus \{0\}$  and  $f: \mathbb{C}_* \rightarrow \mathfrak{A}_* \subset \mathbb{C}^3$  is the map  $f(\zeta) = \zeta(1, i, 0)$ . In this case,  $g = 0$  and  $\eta(\zeta) = \zeta$  does not have a square root on  $M$ . Thus, **the flat null curve**

$$F(\zeta) = \frac{1}{2}(\zeta^2, i\zeta^2, 0), \quad \zeta \in \mathbb{C}_*$$

lies in the nontrivial isotopy class.

**2. The catenoid:**  $M = \mathbb{C}_*$ ,  $g(\zeta) = \zeta$ , and  $\eta(\zeta) = 1/\zeta^2$ . Since  $\eta$  has a square root on  $M$ , we are in the **trivial isotopy class**.

The same holds for the **helicoid** which is parameterized by  $\mathbb{C}$ .

**3. Henneberg's surface:**

$$M = \mathbb{C} \setminus \{0, 1, -1, i, -i\}, \quad g(\zeta) = \zeta, \quad \eta(\zeta) = 1 - \zeta^{-4}.$$

On a small punctured disc centered at one of the points  $1$ ,  $-1$ ,  $i$ , or  $-i$ ,  $\eta$  does not have a square root, so we are in the **nontrivial isotopy class**.

On the punctured disc  $\mathbb{D}_* = \mathbb{D} \setminus \{0\}$ , the function  $\eta$  has a square root, so we are in the **trivial isotopy class**.

# Meeks's minimal Möbius strip

## 4. Double sheeted covering of Meeks's minimal Möbius strip:

$$M = \mathbb{C}_*, \quad g(\zeta) = \zeta^2 \frac{\zeta + 1}{\zeta - 1}, \quad \eta(\zeta) = i \frac{(\zeta - 1)^2}{\zeta^4}.$$

Note that  $\eta$  has a square root on  $M$ . Despite the pole of  $g$  at 1, we get a factorization through  $\pi$  and we are in the [trivial isotopy class](#).

Let  $F = u + iv: \mathbb{C}_* \rightarrow \mathbb{C}^3$  be the null curve with this Weierstrass data. Then  $u$  is invariant with respect to the fixed-point-free antiholomorphic involution

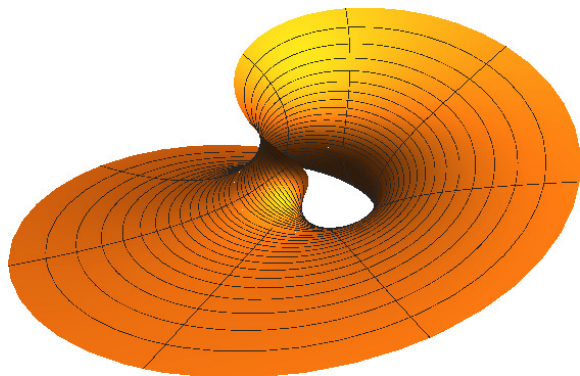
$$\mathfrak{J}(\zeta) = -1/\bar{\zeta} \quad \text{on } \zeta \in \mathbb{C}_*,$$

and hence it induces a conformal minimal immersion  $\mathbb{C}_*/\mathfrak{J} \rightarrow \mathbb{R}^3$ .

This is Meeks's complete (proper) minimal Möbius strip in  $\mathbb{R}^3$  with finite total curvature  $-6\pi$ .

# Meeks's minimal Möbius strip

**W.H. Meeks:** The classification of complete minimal surfaces in  $\mathbb{R}^3$  with total curvature greater than  $-8\pi$ . Duke Math. J. 48 (1981) 523–535



The illustration: © Antonio Alarcón.

# Parametric h-principle for $\mathcal{RN}_*(M, \mathbb{C}^n) \hookrightarrow \mathcal{M}_*(M, \mathbb{R}^n)$

## Theorem

Assume that  $M$  is an open Riemann surface,  $Q \subset P$  are compact Hausdorff spaces,  $D \Subset M$  is a smoothly bounded Runge domain, and  $u: M \times P \rightarrow \mathbb{R}^n$  ( $n \geq 3$ ) is a continuous map satisfying the following:

- (a)  $u_p = u(\cdot, p): M \rightarrow \mathbb{R}^n$  is a nonflat CMI for every  $p \in P$ ;
- (b)  $u_p|_{\overline{D}}: \overline{D} \rightarrow \mathbb{R}^n$  has vanishing flux for every  $p \in P$ ;
- (c)  $\text{Flux}(u_p) = 0$  for every  $p \in Q$ .

Given  $\epsilon > 0$ , there exists a homotopy  $u^t: M \times P \rightarrow \mathbb{R}^n$  ( $t \in [0, 1]$ ) such that each map  $u_p^t := u^t(\cdot, p): M \rightarrow \mathbb{R}^n$  is a nonflat CMI satisfying

- (1)  $u_p^t = u_p$  for every  $(p, t) \in (P \times \{0\}) \cup (Q \times [0, 1])$ ;
- (2)  $|u_p^t(x) - u_p(x)| < \epsilon$  for all  $x \in \overline{D}$  and  $(p, t) \in P \times [0, 1]$ ;
- (3)  $u_p^t|_{\overline{D}}$  has vanishing flux for every  $(p, t) \in P \times [0, 1]$ ;
- (4)  $\text{Flux}(u_p^1) = 0$  for every  $p \in P$ .

# The WHE-principle for $\mathfrak{RN}_*(M, \mathbb{C}^n) \hookrightarrow \mathfrak{M}_*(M, \mathbb{R}^n)$

This is the parametric h-principle with approximation for the inclusion

$$\mathfrak{RN}_*(M, \mathbb{C}^n) = \{u \in \mathfrak{M}_*(M, \mathbb{R}^n) : \text{Flux}(u) = 0\} \hookrightarrow \mathfrak{M}_*(M, \mathbb{R}^n).$$

Assuming that this result holds, we now give:

## Proof of the WHE-principle.

Let  $k \in \mathbb{Z}_+$ . Applying the h-principle with  $P = S^k$  (the real  $k$ -sphere) and  $Q = \emptyset$  shows that the inclusion induced map

$$\pi_k(\mathfrak{RN}_*(M, \mathbb{C}^n)) \longrightarrow \pi_k(\mathfrak{M}_*(M, \mathbb{R}^n)),$$

is surjective.

Applying the h-principle with  $P = \overline{\mathbb{B}}^{k+1}$  (the closed ball in  $\mathbb{R}^{k+1}$ ) and  $Q = S^k = b\mathbb{B}^{k+1}$  shows that the above map is also injective.

Thus, it is an isomorphism for every  $k \in \mathbb{Z}_+$ . □

# Proof of the h-principle for $\mathcal{RN}_*(M, \mathbb{C}^n) \hookrightarrow \mathcal{M}_*(M, \mathbb{R}^n)$

Pick a smooth strongly subharmonic Morse exhaustion function  $\rho: M \rightarrow \mathbb{R}$  and exhaust  $M$  by sublevel sets

$$D_j = \{x \in M: \rho(x) < c_j\}, \quad j \in \mathbb{N}$$

where  $c_1 < c_2 < c_3 < \dots$  is an increasing sequence of regular values of  $\rho$  such that  $\lim_{j \rightarrow \infty} c_j = \infty$  and each interval  $[c_j, c_{j+1}]$  contains at most one critical value of the function  $\rho$ .

We may assume that  $D = D_1$ .

Let  $\epsilon > 0$  be as in the theorem. Pick a sequence  $\epsilon_j > 0$  with  $\sum_{j=1}^{\infty} \epsilon_j < \epsilon$ . Set

$$u_{p,1}^t := u_p|_{\overline{D_1}}, \quad (p, t) \in P \times [0, 1].$$

# The recursive scheme

We recursively construct a sequence of homotopies of CMI's

$$u_{p,j}^t: \bar{D}_j \longrightarrow \mathbb{R}^n, \quad (p, t) \in P \times [0, 1], \quad j \in \mathbb{N}$$

satisfying the following conditions for every  $j = 2, 3, \dots$ :

$$(a_j) \quad u_{p,j}^t = u_p|_{\bar{D}_j} \text{ for } (p, t) \in (P \times \{0\}) \cup (Q \times [0, 1]);$$

$$(b_j) \quad \|u_{p,j}^t - u_{p,j-1}^t\|_{\bar{D}_{j-1}} < \epsilon_j \text{ for all } (p, t) \in P \times [0, 1];$$

$$(c_j) \quad \text{Flux}(u_{p,j}^t|_{\bar{D}_{j-1}}) = \text{Flux}(u_{p,j-1}^t) \text{ for every } (p, t) \in P \times [0, 1];$$

$$(d_j) \quad \text{Flux}(u_{p,j}^1) = 0 \text{ on } \bar{D}_j \text{ for every } p \in P.$$

These conditions imply that the limit

$$u_p^t = \lim_{j \rightarrow \infty} u_{p,j}^t: M \rightarrow \mathbb{R}^n$$

exists and satisfies the conclusion of the theorem.

Indeed, Conditions (1)–(4) follow from  $(a_j)$ – $(d_j)$ , respectively.

# The noncritical case

(a) **The noncritical case:**  $\rho$  has no critical values in  $[c_j, c_{j+1}]$ .

Choose a Runge homology basis  $\mathcal{B} = \{\gamma_i : i = 1, \dots, l\}$  for  $H_1(\overline{D}_j; \mathbb{Z})$ , such that  $\mathcal{B}' = \{\gamma_1, \dots, \gamma_m\}$  for some  $m \in \{0, \dots, l\}$  is a homology basis for  $H_1(\overline{D}; \mathbb{Z})$ .

Then,  $\mathcal{B}$  is also a homology basis for  $H_1(\overline{D}_{j+1}; \mathbb{Z})$ .

Denote by  $\mathcal{P}$  the **period map** associated to  $\mathcal{B}$ :

$$\mathcal{P}(f) = \left( \int_{\gamma_i} f \theta \right)_{i=1, \dots, l} \in (\mathbb{C}^n)^l, \quad f \in \mathcal{A}(\overline{D}_j, \mathfrak{A}_*).$$

Also,  $\mathcal{P}' : \mathcal{A}(\overline{D}, \mathfrak{A}_*) \rightarrow (\mathbb{C}^n)^m$  is the period map with respect to  $\mathcal{B}'$ .



# The noncritical case, continued

Consider the continuous family of nonflat holomorphic maps

$$f_p^t := 2\partial u_{p,j}^t / \theta : \bar{D}_j \longrightarrow \mathfrak{A}_*, \quad p \in P, \quad t \in [0, 1].$$

Conditions on  $u_{p,j}^t : \bar{D}_j \rightarrow \mathbb{R}^n$  imply the following:

$$\Re \mathcal{P}(f_p^t) = 0, \quad (p, t) \in P \times [0, 1];$$

$$\mathcal{P}'(f_p^t|_{\bar{D}}) = 0, \quad (p, t) \in P \times [0, 1];$$

$$\mathcal{P}(f_p^1) = 0, \quad p \in P.$$

We embed  $f_p^t$  as the core  $f_p^t = f_{p,0}^t$  of a **period dominating spray**

$$f_{p,\zeta}^t : \bar{D}_j \longrightarrow \mathfrak{A}_*, \quad \zeta \in B \subset \mathbb{C}^N, \quad p \in P, \quad t \in [0, 1],$$

i.e., the period map

$$B \ni \zeta \longmapsto \mathcal{P}(f_{p,\zeta}^t) = \left( \int_{\gamma_i} f_{p,\zeta}^t \theta \right)_{i=1, \dots, l} \in (\mathbb{C}^n)^l$$

is submersive at  $\zeta = 0$  for every  $(p, t) \in P \times [0, 1]$ .

## The noncritical case, continued

Since  $\mathfrak{A}_*$  is an **Oka manifold** and  $\bar{D}_j$  is a deformation retract of  $\bar{D}_{j+1}$ , the **parametric Oka property** allows us to approximate the spray  $f_{p,\zeta}^t: \bar{D}_j \rightarrow \mathfrak{A}_*$  by a holomorphic spray

$$g_{p,\zeta}^t: \bar{D}_{j+1} \longrightarrow \mathfrak{A}_*, \quad (p, t) \in P \times [0, 1], \quad \zeta \in rB$$

for some  $r \in (1/2, 1)$ . If the approximation is sufficiently close, the implicit function theorem gives (in view of the period domination property of the spray  $f_{p,\zeta}^t$ ) a continuous map

$$\zeta: P \times [0, 1] \longrightarrow rB \subset \mathbb{C}^N,$$

vanishing on  $(P \times \{0\}) \cup (Q \times [0, 1])$ , such that the homotopy of holomorphic maps

$$\tilde{f}_p^t := g_{p,\zeta(p,t)}^t: \bar{D}_{j+1} \longrightarrow \mathfrak{A}_*, \quad (p, t) \in P \times [0, 1]$$

satisfies the following period conditions:

$$\mathcal{P}(\tilde{f}_p^t) = \mathcal{P}(f_p^t), \quad (p, t) \in P \times [0, 1].$$

# The noncritical case, conclusion

Assume that the set  $\bar{D}_j$  (and hence  $\bar{D}_{j+1}$ ) is connected.

Choose a point  $x_0 \in \bar{D}_j$  and set for  $(p, t) \in P \times [0, 1]$ :

$$u_{p,j+1}^t(x) := u_{p,j}^t(x_0) + \int_{x_0}^x \Re(\tilde{f}_p^t \theta), \quad x \in \bar{D}_{j+1}.$$

Then,  $u_{p,j+1}^t: \bar{D}_{j+1} \rightarrow \mathbb{R}^n$  is a continuous family of conformal minimal immersions satisfying conditions  $(a_{j+1})$ – $(d_{j+1})$ .

In particular,

$$\mathcal{P}(\tilde{f}_p^1) = \mathcal{P}(f_p^1) = 0 \text{ for } p \in P$$

and hence  $u_{p,j+1}^1$  has vanishing flux.

If  $\bar{D}_j$  is disconnected, we apply the same argument on the components.

# The critical case

**(a) The critical case:**  $\rho$  contains a unique critical point  $x_0 \in D_{j+1} \setminus \bar{D}_j$ . Then,  $\bar{D}_{j+1}$  deformation retracts onto a compact set  $S = \bar{D}_j \cup E$ , where  $E \subset M \setminus D_j$  is an embedded arc attached with both endpoints to  $\bar{D}_j$ .

It suffices to construct an isotopy  $u_{\rho,j+1}^t$  satisfying  $(a_{j+1})-(d_{j+1})$  on a neighborhood of  $S$  and apply the noncritical case to extend it to  $\bar{D}_{j+1}$ .

**The key to the proof is to find smooth extension of the map  $f_\rho^t = 2\partial u_{\rho,j}^t / \theta: \bar{D}_j \rightarrow \mathfrak{A}_*$  across the arc  $E$  with the correct integral in order to ensure the required period conditions on  $S = \bar{D}_j \cup E$ .**

This is accomplished by the following lemma whose proof uses a parametric version of Gromov's [convex integration lemma](#).

**Gromov, 1973**; cf. **D. Spring**: Convex integration theory. Solutions to the h-principle in geometry and topology. Birkhäuser/Springer Basel AG, Basel, 2010.

# An application of Gromov's convex integration lemma

## Lemma

Let  $Q \subset P$  be compact Hausdorff spaces and let  $\sigma: P \times [0, 1] \rightarrow \mathfrak{A}_*$  be a continuous map. Consider  $\sigma_p = \sigma(p, \cdot): [0, 1] \rightarrow \mathfrak{A}_*$  as a family of paths in  $\mathfrak{A}_*$  depending continuously on the parameter  $p \in P$ . Set

$$\alpha_p = \int_0^1 \sigma_p(s) ds \in \mathbb{C}^n, \quad p \in P.$$

Given a continuous family  $\alpha_p^t \in \mathbb{C}^n$  ( $p \in P$ ,  $t \in [0, 1]$ ) such that

$$\alpha_p^t = \alpha_p \quad \text{for all } (p, t) \in (P \times \{0\}) \cup (Q \times [0, 1]),$$

there exists a homotopy of paths  $\sigma_p^t: [0, 1] \rightarrow \mathfrak{A}_*$  ( $p \in P$ ,  $t \in [0, 1]$ ) satisfying the following conditions:

- (i)  $\sigma_p^t = \sigma_p$  for all  $(p, t) \in (P \times \{0\}) \cup (Q \times [0, 1])$ ;
- (ii)  $\sigma_p^t(0) = \sigma_p(0)$  and  $\sigma_p^t(1) = \sigma_p(1)$  for all  $p \in P$  and  $t \in [0, 1]$ ;
- (iii)  $\int_0^1 \sigma_p^t(s) ds = \alpha_p^t$  for all  $p \in P$  and  $t \in [0, 1]$ .

# Main idea of the proof

Gromov's 1-dimensional Convex Integration Lemma (1973):

Let  $\Omega$  be an open connected set in a Banach space  $B$ . Fix a path  $\sigma_0 : [0, 1] \rightarrow \Omega$ , and let  $\Gamma$  be the set of all paths  $\sigma : [0, 1] \rightarrow \Omega$  which are homotopic to  $\sigma_0$  with fixed ends  $\sigma(0) = \sigma_0(0)$ ,  $\sigma(1) = \sigma_0(1)$ . Then,

$$\mathfrak{J}(\Gamma) := \left\{ \int_0^1 \sigma(s) ds : \sigma \in \Gamma \right\} = \text{Co}(\Omega).$$

The main idea is to approximate the integral  $\int_0^1 \sigma(s) ds$  by Riemann sums  $\sum_{i=1}^N \sigma(s_i) \delta_i$ , which are convex combinations of points on the path.

We can represent any given vector  $\alpha \in \text{Co}(\Omega)$  as  $\alpha = \sum_{i=1}^N p_i \delta_i$  with  $p_i \in \Omega$  and  $\sum_{i=1}^N \delta_i = 1$  for some big  $N$ . Construct a path  $\sigma \in \Gamma$  which spends approximately the time  $\delta_i$  at  $p_i$  for each  $i$ . Then,

$$\int_0^1 \sigma(s) ds \approx \sum_{i=1}^N p_i \delta_i = \alpha.$$

This shows that  $\mathfrak{J}(\Gamma)$  is open, convex, and dense in  $\text{Co}(\Omega)$ ; hence it equals  $\text{Co}(\Omega)$ . A similar argument applies in the parametric case.

# How is this is lemma used?

We take  $B = \mathbb{C}^n$ . The convex hull of the null quadric equals  $\mathbb{C}^n$ :  $\text{Co}(\mathfrak{A}) = \mathbb{C}^n$ . Hence we can choose numbers  $0 < r < R$  such that

$$\{\alpha_p^t \in \mathbb{C}^n : p \in P, t \in [0, 1]\} \subset \text{Co}(\mathfrak{A}_{r,R})$$

where

$$\mathfrak{A}_{r,R} = \{z \in \mathfrak{A} : r \leq |z| \leq R\}.$$

Let  $\Omega \subset \mathbb{C}^n$  be a thin tubular neighborhood of  $\mathfrak{A}_{r,R}$ . We apply Gromov's lemma with the pair  $\Omega \subset \text{Co}(\Omega)$  to get a deformation  $(\sigma_p^t)$  which enjoys properties (i), (ii), and with (iii) replaced by an approximate condition

$$\left| \int_0^1 \sigma_p^t(s) ds - \alpha_p^t \right| < \epsilon, \quad p \in P, t \in [0, 1].$$

The small error is caused by projecting the paths from  $\Omega$  to  $\mathfrak{A}_{r,R}$ .

This is applied on a segment  $I \subset E$  of the arc  $E$ . The error is corrected by using period dominating sprays on another disjoint segment  $I' \subset E$ .

# Absolute neighbourhood retracts (ANR)

Much of basic algebraic topology is developed for CW complexes, for example **Whitehead's theorem**: A weak homotopy equivalence between CW complexes is a homotopy equivalence.

Spaces of maps usually are not CW complexes, but sometimes they can be shown to have the homotopy type of a CW complex by showing that they are ANR. Whitehead's theorem clearly holds for spaces with the homotopy type of a CW complex.

A metric space  $X$  is ANR if whenever it is embedded as a closed subspace of a metric space  $Y$ , some nbhd of  $X$  in  $Y$  retracts onto  $X$ . There are several other nontrivially equivalent characterisations.

One is useful in practice: the **Dugundji-Lefschetz characterisation**. Assuming that  $M$  is a surface with finitely generated  $H_1(M; \mathbb{Z})$ , the DL-characterisation can be verified for our mapping spaces by using the parametric h-principle with approximation that we proved.



# Conclusion

Let  $M$  be a connected open Riemann surface and  $n \geq 3$ .

$$\begin{array}{ccccc} \mathfrak{N}_*(M, \mathbb{C}^n) & \longrightarrow & \mathcal{O}(M, \mathfrak{A}_*) & \hookrightarrow & \mathcal{C}(M, \mathfrak{A}_*) \\ \downarrow & & \uparrow & & \\ \mathfrak{RN}_*(M, \mathbb{C}^n) & \hookrightarrow & \mathfrak{M}_*(M, \mathbb{R}^n) & & \end{array}$$

These spaces all have the same weak homotopy type, and when  $M$  has finite topological type even the same strong homotopy type, as the space  $\mathfrak{H}$  of continuous maps from a wedge of circles to  $\mathfrak{A}_*$ .

$\mathfrak{R} : \mathbb{C}^n \rightarrow \mathbb{R}^n$  gives  $\mathfrak{A}_*$  the structure of a fibre bundle, whose fibre is  $S^{n-2}$ , over  $\mathbb{R}^n \setminus \{0\}$ , which is homotopy equivalent to  $S^{n-1}$ .

**Thus the structure of  $\mathfrak{H}$  can be understood in terms of spheres and their loop spaces. The homotopy groups of  $\mathfrak{H}$  can be calculated in terms of homotopy groups of spheres.**