

ON THE ENTROPIES OF HYPERSURFACES WITH POSITIVE H

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The talk is organized as follows

A question

Stability of $\Delta + V$

Entropies

Comparison of volume entropy of M & $\text{Spec}(\Delta_M)$

Comparison of extrinsic and intrinsic volume of submanifolds

Total curvature entropy of cmc hypersurfaces

A question

Question (doCarmo1980's)

Is a noncompact complete stable constant mean curvature hypersurface of \mathbb{R}^{n+1} , for $n \geq 3$, necessarily minimal ?

Some positive answers

- ▶ $n = 2$: [DaSilveira89], [LopezRos89], [Palmer86]...
- ▶ $n = 3, 4$: [Cheng06], [ElbertNelliRosenberg07] , using a Bonnet-Myers's type method.

we give a positive answer to do Carmo's question if:

- ▶ The volume entropy of M is zero (the ambient manifold being arbitrary).
- ▶ The hypersurface M has bounded curvature and is properly embedded (the ambient manifold being a simply-connected manifold with bounded geometry and with zero volume entropy)
- ▶ The entropy of the total curvature of M is zero and $n \leq 5$ (the ambient manifold being a space-form).

Stability of Schrödinger operators

Quadratic form associated to $L := \Delta_M + V$

$$Q(f, f) := - \int_M f L f = \int_M |\nabla f|^2 dv - \int_M V f^2 dv \quad f \in C_0^\infty(M)$$

Let $\Omega \subset M$ be rel. compact.

$$i_{L\Omega} := \#\text{neg. eigenvals of } Lu + \lambda u = 0, u|_{\partial\Omega} = 0$$

$$\text{Index}(L) := \sup_{\Omega \subset M} i_{L\Omega}$$

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Let $\Omega \subset M$ be rel. compact.

$$W_{iL\Omega} := \#\text{neg. eigenvals of } Lu + \lambda u = 0, u|_{\partial\Omega} = 0, \int_M u dv = 0$$

$$W\text{Index}(L) := \sup_{\Omega \subset M} W_{iL\Omega}$$

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$$\text{Index}(L) := \sup_{\Omega \subset M} i_{L\Omega}$$

M is **stable** (w.r.t. L) if $\text{Index}(L) = 0$.

M is **weakly stable** if $\text{WIndex}(L) = 0$.

M has **finite index** if $\text{Index}(L)$ or $\text{WIndex}(L)$ is finite [BarbBer00].

M has finite index iff $M \setminus K$ is stable for some K .

[FischerColbrie85],[Devyver11]

Stability of Schrödinger operators

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When $V = |A|^2 + \text{Ric}_{\mathcal{N}}(\nu, \nu)$, and $M \subset \mathcal{N}$ has cmc, $Q(f, f)$ is the second derivative of the volume in the direction of $f\nu$, and $\text{Index}(M)$ measures the $\#$ of linearly independent normal deformations with compact supp. of M that decrease area.

[BarbosadoCarmoEschenburg88]

Entropies

Definition

- ▶ Entropy of w where w is a positive non-decreasing function.

$$\mu_w := \limsup_{r \rightarrow \infty} \left(\frac{\ln w(r)}{r} \right).$$

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- ▶ Volume entropy of M

$$\mu_M := \mu_{w_1}$$

$w_1(r) := |B_p^M(r)|$, volume of a geodesic ball in M of rad. r around a fixed $p \in M$.

Entropies

Definition (2)

- ▶ Extrinsic volume entropy of $M \subset \mathcal{N}$

$$\mu_M^{\mathcal{N}} := \mu_{w_2}$$

$$w_2(r) := |B_p^{\mathcal{N}}(r) \cap M_p|_M$$

(M_p is the connected component of $M \cap B_p(r)$ containing p)

- ▶ Total p -curvature entropy of $M \subset \mathcal{N}, p > 0$:

$$\mu_{\mathcal{T}_p} := \mu_{w_3}$$

$w_3(r) := \int_{B_p(r)} |A_0|^{2p} dv_M$ where A_0 is the traceless shape operator.

Bottom of the essential spectrum of Δ_M

Definition

If $M' \subset M$ unbounded

$$\lambda_0(M') := \inf_{\Omega \subset M'} \lambda_0(\Omega) = \inf_{\substack{f \in C_0^\infty(M') \\ f \neq 0}} \left(\frac{\int_{M'} |\nabla f|^2}{\int_M f^2} \right).$$

$$\lambda_0^{\text{ess}}(M) := \sup_{K \subset M} \lambda_0(M \setminus K)$$

Volume entropy & essential spectrum

Theorem ([Brooks-Cheeger])

If M has infinite volume, then

$$\frac{h_M^2}{4} \leq \lambda_0(M) \leq \lambda_0(M \setminus K) \leq \lambda_0^{\text{ess}}(M) \leq \frac{\mu_M^2}{4}$$

where K is any compact subset of M and $h_M = \inf_{\Omega} \frac{|\Omega|}{|\text{int}(\Omega)|}$ (where Ω runs over all compact codimension one submanifolds of M dividing M into two components and $\text{int}(\Omega)$ denotes the bounded component).

Stable ends with suffic. large H have exp. volume growth

A positive answer to the question when $\mu_M = 0$

Theorem

There is no complete, noncompact, finite index $M \subset \mathcal{N}$, provided H satisfies $nH^2 + \text{Ric}(\nu, \nu) \geq \varepsilon > \frac{\mu_M^2}{4}$ for some positive ε .

Corollary

If M is CMC hypersurface of finite index immersed in a space of constant curvature k then $n(H^2 + k) \leq \frac{\mu_M^2}{4}$.

\implies *if $H^2 + k > 0$, the volume growth of M is exponential.*

Related results : [Higuchi], [Karp], [BarbosadoCarmo], [doCarmoZhou]...

Stable ends with suffic. large H have exp. volume growth

Proof.

M has finite index $\iff \exists K \subset M$ such that $M \setminus K$ is stable.

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$$0 \leq Q(f, f) = \int_{M \setminus K} |\nabla f|^2 - (|A|^2 + \text{Ric}(\nu, \nu))f^2 \quad \forall f \in C_0^\infty(M \setminus K).$$

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But

$$|A|^2 + \text{Ric}(\nu, \nu) \geq nH^2 + \text{Ric}(\nu, \nu) \geq \varepsilon > \frac{\mu_M^2}{4} \text{ (or } \lambda_0^{\text{ess}}(M)) \implies$$

$$0 \leq \int_{M \setminus K} |\nabla f|^2 - \varepsilon \int_{M \setminus K} f^2$$

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$$0 \leq \int_{M \setminus K} |\nabla f|^2 - \varepsilon \int_{M \setminus K} f^2$$

Hence $\lambda_0(M \setminus K) \geq \varepsilon > \frac{\mu_M^2}{4}$. This contradicts Brooks Theorem \square

Stable ends with suffic. large H have exp. volume growth

Let us find a bound for the H of a sub. with finite index, that is independent of M

Last result is not satisfying.

Remark

As extrinsic distance is bounded by intrinsic distance

$$B_p^M(R) \subset M \cap B_p^{\mathcal{N}}(R) \implies |B_p^M(R)|_M \leq |M \cap B_p^{\mathcal{N}}(R)|_M$$

Hence

$$\mu_M \leq j_M := \inf_{\{\mathcal{N}:M \text{ isometrically immersed in } \mathcal{N}\}} \mu_M^{\mathcal{N}} \quad (1)$$

Volume comparison

In the whole section we will suppose that :

Curvatures of M and \mathcal{N} are bounded : $|R_{M,\mathcal{N}}|, |\nabla R_{M,\mathcal{N}}| \leq c_1$.

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Claim:

Suppose M is prop. embedded in a \mathcal{N} with $\pi_1(\mathcal{N}) = \{1\}$ and s.t. M and \mathcal{N} are of bounded curvature. If $H \geq \epsilon > 0$ then there is a constant c , depending on c_1 such that

$$|B_p^M(R)| \leq |B_p^{\mathcal{N}}(R) \cap M|_M \leq c |B_p^{\mathcal{N}}(R)|_{\mathcal{N}}$$

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$$|B_p^M(R)| \leq |B_p^{\mathcal{N}}(R) \cap M|_M \leq c |B_p^{\mathcal{N}}(R)|_{\mathcal{N}}$$

$$\implies \mu_M \leq \mu_M^{\mathcal{N}} \leq \mu_{\mathcal{N}}$$

Extrinsic upperbounds for $\lambda_0^{\text{ess}}(M)$

M is prop. emb. in \mathcal{N} and $H \geq \epsilon > 0$

$$\mu_M \leq \mu_M^{\mathcal{N}} \leq \mu_{\mathcal{N}} \xrightarrow{\text{Brooks}} \lambda_0^{\text{ess}}(M) \leq \frac{\mu_{\mathcal{N}}^2}{4}$$

Example

$\text{Ric}_{\mathcal{N}} \geq 0$: Bishop comparison theorem $\implies \mu_{\mathcal{N}} = 0$.

$\implies \lambda_0^{\text{ess}}(M) = \lambda_0(M) = h_M = 0$ (and discrete $L^2 \text{ spec}(\Delta) = \emptyset$).

$\text{Ric}_{\mathcal{N}} \geq -nk$: (k is a positive constant). BCT $\implies \mu_{\mathcal{N}} \leq n\sqrt{k}$

$\implies \lambda_0^{\text{ess}}(M) \leq \frac{n^2 k}{4}$ (Compare with [Karp85]).

Upperbounds for the Cheeger constant are similarly obtained.

Properly embedded stable ends with bounded curvature

Theorem

There is no complete, noncompact, finite index M prop. emb. in a s.c \mathcal{N} where M and \mathcal{N} have bounded curvature provided

$H \geq \varepsilon > 0$ and $nH^2 + \text{Ric}(\nu, \nu) > \frac{\mu_{\mathcal{N}}^2}{4}$ for some positive ε .

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Proof.

$$\mu_M \leq \mu_M^{\mathcal{N}} \leq \mu_{\mathcal{N}} \xrightarrow{\text{Brooks}} \lambda_0^{\text{ess}}(M) \leq \frac{\mu_{\mathcal{N}}^2}{4} \xrightarrow{\text{finite index}} \lambda_0^{\text{ess}}(M) > \frac{\mu_{\mathcal{N}}^2}{4},$$

contradiction. □

Properly embedded stable cmc ends of bounded curvature in \mathbb{R}^n are minimal

Theorem

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Corollary

If M is a prop. emb. CMC hypersurface of bounded curvature in a space form of curvature $k \geq 0$ of finite index, then $k = 0$ and M is minimal.

A uniform bound for the mean curvature of prop. emb. stable cmc ends of bounded curvature in \mathbb{H}^n

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Corollary

If M is a prop. emb. CMC hypersurface of bounded curvature in a space form of curvature $-k < 0$ of finite index, then $H^2 \leq \frac{kn}{4} + k$

Definition of Halftubes

We consider the normal exponential map of M defined by

$$\begin{aligned} \exp : M \times \mathbb{R} &\longrightarrow \mathcal{N} \\ (p, r) &\mapsto \exp_p(r\nu) \end{aligned}$$

Let

$$T^+(r_0) := \exp(M \times (0, r_0))$$

be the **halftube** in \mathcal{N} around M of radius r_0 .

Embedded halftube theorem

Theorem

Let M be prop. embedded in a s.c. \mathcal{N} , where M and \mathcal{N} are spaces of bounded curvature. Suppose $H \geq \epsilon > 0$.

If $\partial M = \emptyset$, there exists an embedded half-tube $T^+(\rho)$, $\rho > 0$ contained in the mean-convex side of M .

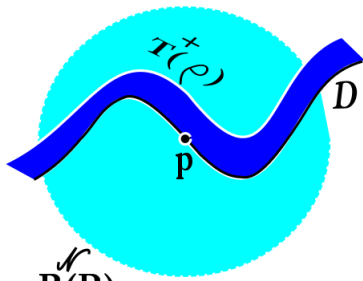
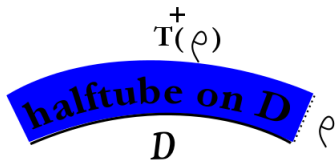
If ∂M is compact, then there exists a compact subset K of M and an embedded half-tube $T^+(\rho')$, $\rho' > 0$ of $M \setminus K$ contained in the mean-convex side of M

See also [MeeksTinaglia]

Embedded halftube theorem \implies volume comparison

Weyl tube formula [Gray82] & expansion w.r.t. $\rho \implies$

$$T^+(D) \sim \rho |D|_M \text{ (fig 1)}$$

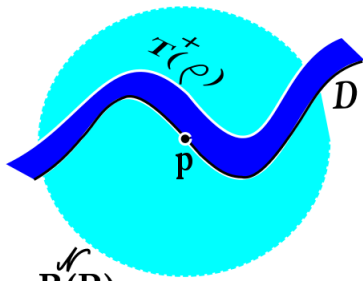
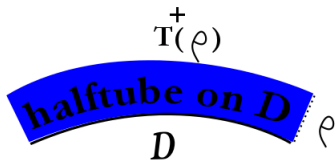


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$$T^+(D) \sim \rho |D|_M \text{ (fig 1)}$$

$$\text{Apply to fig2 : } |T^+(\rho) \cap B_p^{\mathcal{N}}(R)| \sim \rho |M \cap B_p^{\mathcal{N}}(R)|_M$$



Embedded halftube theorem \implies volume comparison

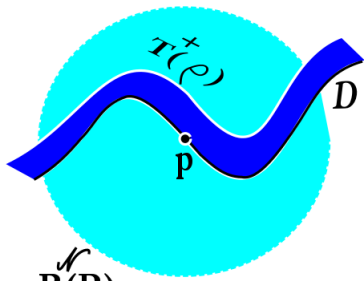
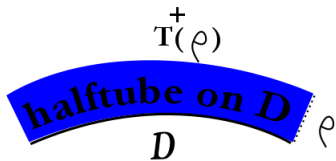
Weyl tube formula [Gray82] & expansion w.r.t. $\rho \implies$

$$T^+(D) \sim \rho |D|_M \text{ (fig 1)}$$

Apply to fig2 : $|T^+(\rho) \cap B_\rho^{\mathcal{N}}(R)| \sim \rho |M \cap B_\rho^{\mathcal{N}}(R)|_M$ EHT

$$\implies |T^+(\rho) \cap B_\rho^{\mathcal{N}}(R)|_{\mathcal{N}} \leq |B_\rho^{\mathcal{N}}(R)| \implies |B_R^{\mathcal{N}} \cap M|_M \sim$$

$$\frac{1}{\rho} |T^+(\rho) \cap B_R^{\mathcal{N}}| \leq \frac{1}{\rho} |B_r^{\mathcal{N}}|$$



Sketch of proof of the embedded halftube theorem

Two cases :

1. either $M \cap T^+(\rho) = \emptyset$ for some ρ
2. or \forall suff. small $\rho > 0$, $T^+(\rho) \cap M \neq \emptyset$.

Sketch of proof of the EHT : case 1

$$M \cap T^+(\rho) = \emptyset \implies T^+(\rho/2) \text{ embedded}$$

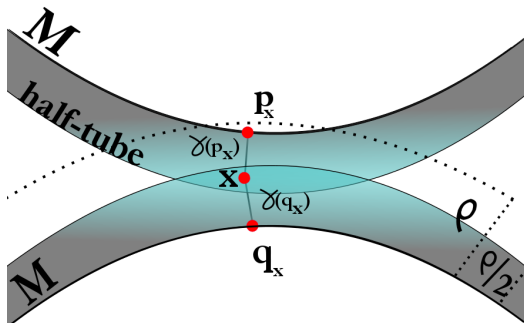


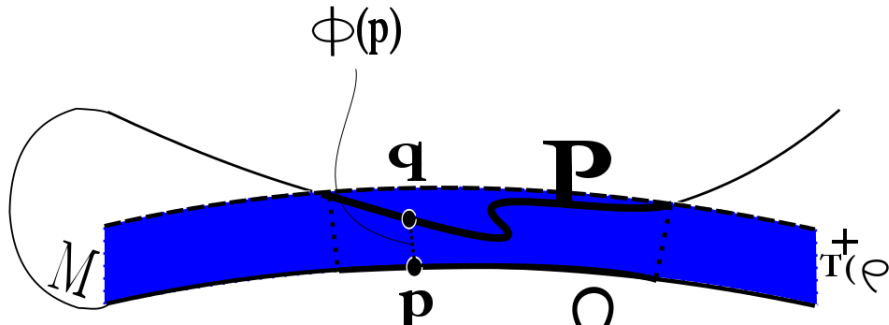
Figure : Self-intersection of a halftube of M in \mathcal{N}

Sketch of proof of the EHT : case 2

Suppose \forall suff. small $\rho > 0$, $T^+(\rho) \cap M \neq \emptyset$; then contradiction

$T^+(\rho) \cap M$ defines a graph of a section ϕ of the normal bundle of M on $\Omega \subset M$. $\Omega := \{p \in M : t \leq \rho\}$ and $\phi(p) = t$.

$P := \{(p, \phi(p)) : p \in \Omega\}$. M properly embedded $\implies \phi(p) > 0$.



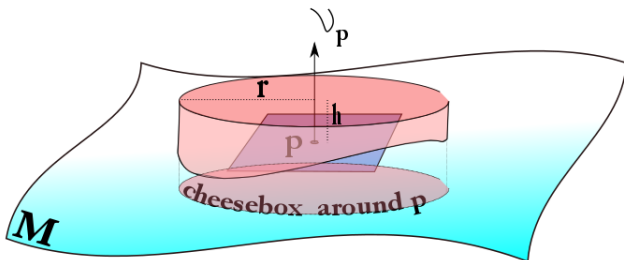
$$\text{Step 1 : } \|\phi\|_1 := \left(\sup_{p \in \Omega} |\phi| + \sup_{p \in \Omega} |\nabla \phi| \right) \leq O(\rho)$$

Cheesebox argument at $p \in M$

$\exists \rho$ M is loc. a graph G_p defined on $D(p, \rho)$ of $T_p M$.

$G_p \subset D(p, \rho) \times [-h/2, h/2]$, of radius ρ and height $h = c\rho^2$

G_p cuts the boundary of the cheesebox in $\partial D(p, \rho) \times [-c\rho^2, c\rho^2]$.



Step 1 : $\|\phi\|_1 \leq O(\rho)$

Compare cheeseboxes at $p \in M$ and $q \in P$

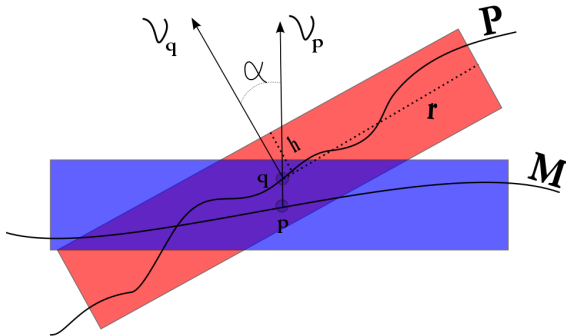


Figure : Intersection of the cheeseboxes at $p \in M$ and at $q \in P$ with $\mathbb{R}\nu_p \oplus \mathbb{R}\nu_q$

A question

Stability of $\Delta + V$

Entropies

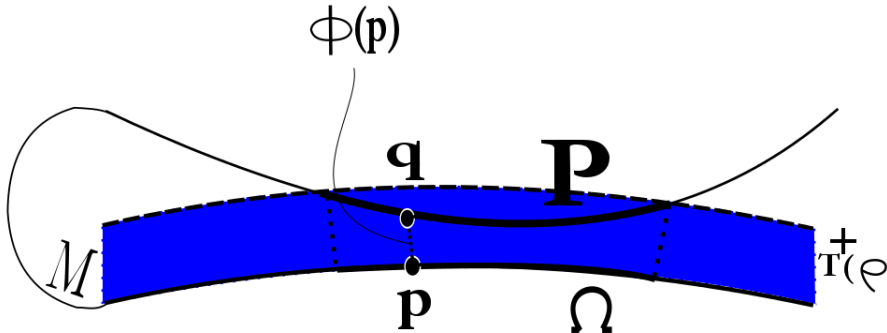
Comparison of volume entropy of M & $\text{Spec}(\Delta_M)$

Comparison of extrinsic and intrinsic volume of submanifolds

Total curvature entropy of cmc hypersurfaces

A question

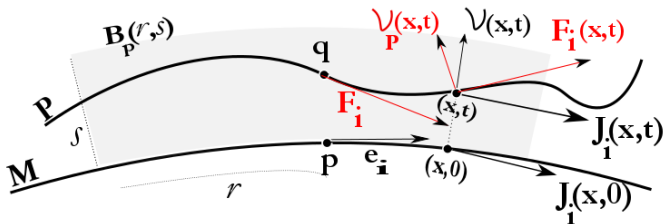
$$\|\phi\|_1 \leq O(\rho) \implies P \text{ is a graph}$$



Step 2 : ϕ satisfies a unif. elliptic quasilin. PDE

0. Mean curvature equation of the section P defined by ϕ

$$nH_P(q) := -\text{div}_P(\nu_P)(q) = -\sum_{i=1}^n g_{\mathcal{N}}(\nabla_{F_i}\nu_P, F_i)(q),$$



Step 2.1 Fermi fields : Local frame on P

$$F_i(x, \phi(x)) := \partial_t | \exp(\gamma_i(t), \phi(\gamma_i(t))), \quad i = 1, \dots, n$$

$$\implies F_i(x, \phi(x)) = J_i(x, \phi(x)) + \phi_i(x) \nu(x, \phi(x)), \quad (\phi_i(p) = \nabla_{e_i} \phi)$$

$$\left\{ g_{\mathcal{N}}(\nu_P, F_i) = 0 \right\}_{i=1, \dots, n}, \quad g_{\mathcal{N}}(J_i, J_{n+1}) = 0, \quad g_{\mathcal{N}}(J_{n+1}, J_{n+1}) = 1,$$

$$\implies \nu_P := \frac{1}{W} \left(\nu - \sum_{i=1}^n g^{ij} \phi_i J_j \right). \quad (2)$$

$$(g_{ij} := g_{\mathcal{N}}(J_i, J_j), \quad W := \|\nu - \sum_{i=1}^n g^{ij} \phi_i J_j\| = \sqrt{1 + \|\nabla \phi\|^2}).$$

Step 2.2 Mean curvature equation in Fermi coordinates

Geodesic coordinates

$$\begin{cases} \tilde{g}_{ij} = g_{\mathcal{N}}(F_i, F_j), \tilde{g}^{ij} = g^{ij} - \frac{1}{W^2} g^{k,n+1} g^{l,n+1} \phi_{,k} \phi_{,l} \\ F_i = P_i^j e_j, P_i^j = \delta_i^j + \phi_i \delta_{n+1}^j \\ (\nabla_{J_\alpha} J_\beta)^\gamma = \Gamma_{\alpha,\beta}^\gamma J_\gamma. \end{cases}$$

$$\Rightarrow nHW = \tilde{g}^{ij} (\phi_{,ij} + (\Gamma_{n+1,n+1}^{n+1} - \Gamma_{n+1,n+1}^m \phi_{,m}) \phi_{,i} \phi_{,j} - \Gamma_{n+1,(j}^k \phi_{,k} \phi_{,i}) + \Gamma_{n+1,(j}^{n+1} \phi_{,i}) - \Gamma_{ij}^k \phi_{,k} + \Gamma_{ij}^{n+1}).$$

Minimal surface equation [ColdingMinicozzi99]

Step 2.2 Mean curvature equation in Fermi coordinates

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$$\Rightarrow nHW = \tilde{g}^{ij} (\phi_{,ij} + (\Gamma_{n+1,n+1}^{n+1} - \Gamma_{n+1,n+1}^m \phi_{,m}) \phi_{,i} \phi_{,j} - \Gamma_{n+1,(j\phi_{,k}\phi_{,i})}^k + \Gamma_{n+1,(j\phi_{,i})}^{n+1} - \Gamma_{ij}^k \phi_{,k} + \Gamma_{ij}^{n+1}).$$

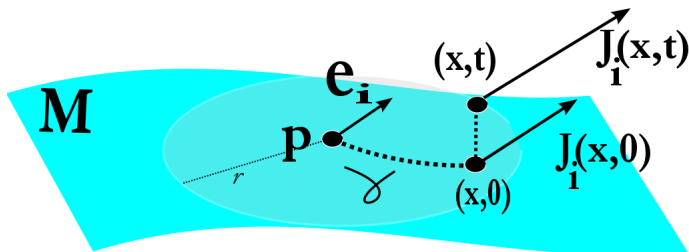
Minimal surface equation [ColdingMinicozzi99]

Remark

This is not the mean curvature equation in harmonic coordinates

Step 2.3 Expansion $H(\phi)$ w.r.t. ρ

$$nH_\rho = nH + \Delta_M \phi + O(\rho).$$



Step 2.4 Proof : Jacobi fields

We extend the vectors $\{e_i\}_{i \neq 1}$ to Jacobi fields $\{J_i\}_{i=1, \dots, n}$ along γ such that $J_1 = T$ on γ . The Jacobi fields $\{J_i\}_{i \neq 1}$ are defined according to the evolution equation

$$J_i''(y) + R_M(y)(J_i, T)T(y) = 0, \quad i \neq 1 \quad \forall y \in \gamma \subset \exp(D(p, \rho)) \quad (3)$$

(where $J_i''(y) := \nabla_T \nabla_T J_i(y)$ and R_M is the curvature tensor of M), with the second order initial conditions at p :

$$\begin{cases} J_i(p) & = e_i \\ \nabla_T J_i(p) & = 0. \end{cases} \quad (4)$$

Step 2.5 Proof : Estimates of Jacobi fields

Since the curvature of M is bounded, Rauch Comparison Theorem

$$\implies J_i(x) = J_i(p) + O(\rho^2), \quad x \in \gamma \subset \exp(D(p, \rho)),$$

where $O(\rho^2)$ depends on bounds of the curvature tensor R_M of M .

Step 2.5 Proof : Estimates of Jacobi fields

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where $O(\rho^2)$ depends on bounds of the curvature tensor R_M of M .

$$\implies g_{ij}(x) := g_M(J_i(x), J_j(x)) = \delta_{ij} + O(\rho^2) \quad x \in \gamma \subset \exp(D(p, \rho))$$

Step 2.6 Proof : Fermi fields

Evolution equation:

$$J_i''(x, s) + R_{\mathcal{N}}(x, s)(\nu, J_i)\nu = 0 \quad x \in \gamma, s \in [0, \sigma] \quad (5)$$

together with the second order initial conditions

$$\begin{cases} J_i(x, 0) &= J_i(x) \\ \nabla_{\nu} J_i(x, 0) &= A(x)J_i(x) \end{cases} \quad i = 1, \dots, n \quad (6)$$

($J_i(x, s) := J_i(\exp_x(s\nu_M))$, $\nu(x, s)$ is the unit tangent to the normal geodesics at $\exp_x(s\nu_M)$, $R_{\mathcal{N}}(x, s) := R_{\mathcal{N}}(\exp_x(s\nu_M))$, $J_i''(x, s) := \nabla_{\nu} \nabla_{\nu} J_i(x, s)$ - and $A(x)$ is the shape operator of M at $x \in \gamma$).

Step 2.6 Proof : Estimates of Fermi fields

Compare $J_i(x, s)$ with $J_i(p, 0)$.

$\|R_{\mathcal{N}}\| \leq c$ and Rauch comparison theorem for Fermi fields

$$\implies J_i(x, s) = J_i(x, 0) + O(\sigma) \quad x \in \gamma, s \in [0, \sigma]. \quad (7)$$

where $O(\sigma)$ depends on the curvature of the ambient space (from the term $R_{\mathcal{N}}$ in Fermi's equation (5)) and also on the principal curvatures of M at x as one can see from the second initial condition of (6).

Step 2.7 Proof : Estimates of $(\nabla_{J_{n+1}} J_\beta)$

Riccati equation :

$$\begin{cases} J'_i(x, s) := \nabla_\nu J_j(x, s) = A(x, s)J_i(x, s) \\ A'(x, s) := \nabla_\nu A(x, s) = -A^2(x, s) + R_\nu(x, s). \end{cases} \quad (8)$$

($R_\nu(x, s)J := R_{\mathcal{N}}(x, s)(J, \nu)\nu$.) Riccati's comparison argument :
and the fact that $s \leq \sigma \leq c\rho^2$

$$\implies \begin{cases} J'_i(x, s) = J'_i(x, 0) + O(\rho^2), & x \in \exp(D(p, \rho), 0) \subset M, 0 \leq s \\ A'(x, s) = A(x, 0) + O(\rho^2), & x \in \exp(D(p, \rho), 0) \subset M, 0 \leq s \end{cases} \quad (9)$$

$O(\rho^2)$ depends on bounds of the shape operator A of M and bounds of $R_{\mathcal{N}}$.

Step 2.8 Proof : estimates of $(\nabla_{J_\alpha} J_\beta)$

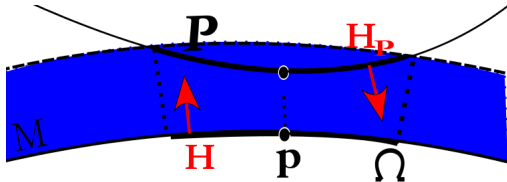
Evolution equation for $\nabla_{J_i} J_j(x, s)$: derivate Fermi equation with second order initial conditions : $\nabla_{J_i} J_j(x)$ and $\nabla_\nu (\nabla_{J_i} J_j)(x)$.
 Riccati comparison argument yields again

$$\nabla_{J_i} J_j(x, s) = \nabla_{J_i} J_j(p) + O(\rho), \quad x \in \exp(D(p, \rho)), 0 \leq s \leq c\rho^2 \quad (10)$$

where $O(\rho)$ depends on the curvatures R_M and R_N and their first derivatives. □

Step 3 : Consequences of $nH_P = nH + \Delta\phi + O(\rho)$

$$H \geq \varepsilon \implies H_P \leq -\varepsilon. \implies \Delta\phi \leq -2\varepsilon.$$



Step 3 : Consequences of $nH_P = nH + \Delta\phi + O(\rho)$

$$H \geq \varepsilon \implies H_P \leq -\varepsilon. \implies \Delta\phi \leq -2\varepsilon.$$

$$\Delta\psi_R \geq -\varepsilon \text{ on } B_R \cap \Omega. \text{ Max. principle } \implies \phi \geq \psi_R.$$

$$R \mapsto \infty \implies T^+(\beta) \text{ is embedded.}$$

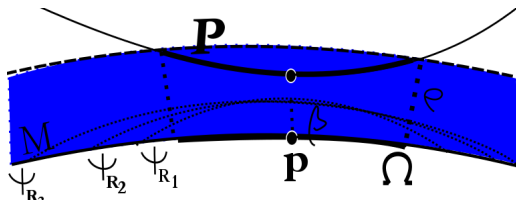


Figure : $\Psi_R := \beta(1 - \frac{r^2}{R^2})$, $\Delta r_p \leq c \implies \Delta_M \Psi_R \geq -\epsilon$ in the weak sense

Remarks on the EHT

$$(v, u) \in \mathbb{R} \times [0, \epsilon], ds^2 = j^2(u, v)du^2 + dv^2, j(u, v) = e^{f(u, v)}$$

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$$\text{for small } v : \phi'' + ((a^2)_u \phi (\phi' + 2\phi'^2) - a^2) \phi = j^2 \left(1 + \left(\frac{\phi'}{j}\right)^2\right)^{3/2}$$

\exists embedded $\kappa = 1$ curve in surface with $1 \geq K \geq 0$ with no embedded half-tube.

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\exists embedded $\kappa = 1$ curve in surface with $1 \geq K \geq 0$ with no embedded half-tube.

But : K is only piecewise continuous

Remarks on Schauder estimates

A counterexample to Schauder estimates for elliptic op. with unbounded coeff.

[Priola01]

$$\begin{cases} \psi - \frac{1}{2}\psi_{,xx} - \frac{1}{2}\psi_{,yy} + y\psi_{,y} = |\cos(y)| & x > 0 \\ \psi(0, y) = 0, & y \in \mathbb{R}. \end{cases}$$

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But : there in some cases there are Schauder estimates with unbounded coefficients.

Total curvature entropy of cmc hypersurfaces

Recapitulation (stated for cmc in \mathbb{R}^n)

- ▶ Complete noncompact stable ends of positive cmc are of exponential volume growth ($nH^2 \leq \frac{\mu_M^2}{4}$).
- ▶ Properly embedded complete noncompact stable ends of bounded curvature are minimal ($nH^2 \leq \frac{\mu_N^2}{4} = 0$)
- ▶ What are cmc with $\mu_{\mathcal{T}_p} = 0$?

Total curvature entropy cmc hypersurfaces

Caccioppoli's inequality of type III - $H \neq 0$ [IliasNelliSoret12]

Theorem

Let M be a complete cmc hypersurface immersed in a manifold of constant curvature c . Assume M has finite index and $n \leq 5$. Then there exist a compact subset K in M and a constant γ such that, for any $\phi \in C_0^\infty(M \setminus K)$

$$\gamma \int_{M \setminus K} |A_0|^{2x} \phi^2 \leq \mathcal{D} \int_{M \setminus K} |A_0|^{2x} |\nabla \phi|^2$$

provided either (1) $c = 0$ or 1 , $x \in [1, \frac{2\sqrt{n-1}}{n} (1 + \sqrt{1 - \frac{n-2}{2\sqrt{n-1}}})]$

or (2) $c = -1$, $\varepsilon > 0$, $x \in [1, x_2 - \varepsilon]$, $H^2 \geq g_n(x)$.

Total curvature entropy of cmc hypersurfaces

Proposition

Let $w \in L^1_{loc}(M; \mathbb{R}^+)$ and $W(R) := \int_{B_R} w$. Assume that the entropy of W is zero. If for some positive constant C , and compact $K \subset M$, w satisfies

$$\int_{M \setminus K} w \psi^2 \leq C \int_{M \setminus K} w |\nabla \psi|^2, \quad \forall \psi \in W_0^{1,2}(M \setminus K),$$

then $\int w < \infty$

With the same hypothesis as in Caccioppoli inequality

$$\int |A_0|^{2x} < \infty \implies |A_0| = 0 \text{ [IliasNelliSoret12]}$$

Total curvature entropy of cmc hypersurfaces

Theorem

There is no complete noncompact stable hypersurface M with constant positive mean curvature H in \mathbb{R}^{n+1} , $n \leq 5$, with $\mu_{\mathcal{T}_p} = 0$ for some $p \in [1, \frac{2\sqrt{n-1}}{n} \left(1 + \sqrt{1 - \frac{n-2}{2\sqrt{n-1}}}\right)$)

Stable CMC vs Stable minimal

Let M be a stable minimal hypersurface of \mathbb{R}^{n+1}





- ▶ $|B(r)|_M \leq r^{5+\epsilon} \implies M$ is a hyperplane
- ▶ But is a prop. emb. minimal hypersurface with bounded curvature and $|B(r)|_M \sim r^{10}$ ($n = 8$).
- ▶ There is no stable minimal hypersurface with bounded curvature and embedded tube in \mathbb{R}^{n+1} , $n \leq 5$

Question




Is a noncompact complete stable constant mean curvature hypersurface properly embedded in \mathbb{R}^{n+1} , for $7 \geq n \geq 3$, necessarily a hyperplane ?

[reference2](#) [reference1](#)





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Entropies

Example

▶ $\mu_v = 0$

$$v(r) = \sum_{p \leq N} a_p r^p, v(r) = e^{r^\alpha}, \alpha < 1$$

▶ $\mu_w = \alpha$

$$w(r) = v(r)e^{\alpha r}$$

◀ retour

A question

Stability of $\Delta + V$

Entropies

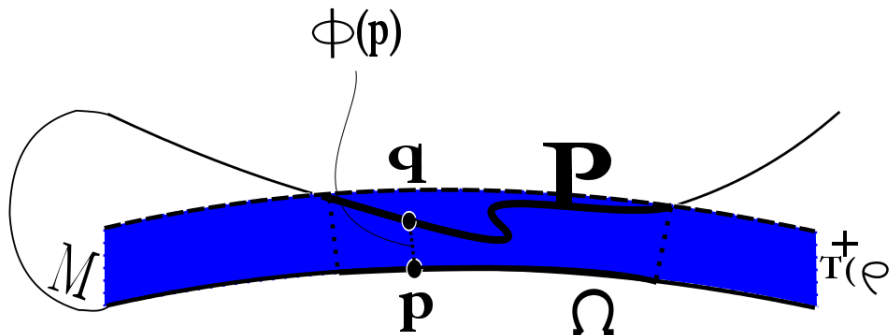
Comparison of volume entropy of M & $\text{Spec}(\Delta_M)$

Comparison of extrinsic and intrinsic volume of submanifolds

Total curvature entropy of cmc hypersurfaces

A question

$$M \cap T^+(M) \neq \emptyset$$



1

2

The cheesebox property : Bounds on the first derivatives of curvature are necessary I

Consider the general case, where the ambient space \mathcal{N} is not necessarily Euclidean. There exists a radius ρ_0 such that for each point $p \in \mathcal{N}$, the exponential chart is a diffeomorphism $f_p : U (:= B_{\mathbb{R}^{n+1}}(0, \rho_0)) \subset \mathbb{R}^{n+1} \longrightarrow V := f_p(U) \subset \mathcal{N}$. Furthermore, since \mathcal{N} has bounded curvature and bounded first derivatives of curvature, the diffeomorphism f_p is a C^1 -norm which is uniformly bounded with respect to p .

The cheesebox property : Bounds on the first derivatives of curvature are necessary II

The previous result of this paragraph concerning Euclidean cheeseboxes applies to $f_p^{-1}(M) \cap V$ and $f_p^{-1}(P) \cap V$ to prove the C^1 -uniformly boundedness $\phi \circ f_p$ with respect to $p \in M$. Since f_p has a C^1 -norm uniformly bounded with respect to p , this is also the case for ϕ . ◀ 1

Sketch of proof: barrier functions on B_R I

Construction of functions ψ_R such that $\Delta\psi_R \geq \varepsilon$ on $B_R \cap \Omega$.

Let r be the distance function from a fixed point of M , and consider the radial test function $\psi_R(x) = f_R \circ r(x)$ where

$$f_R(r) := \begin{cases} \beta \left(1 - \left(\frac{r}{R}\right)^2\right) & \forall r \leq R, \\ 0 & \forall r \geq R. \end{cases}$$

with $\beta = \rho - \delta$, for small positive δ . Notice that ψ_R vanishes on $\partial B_R \cap \Omega$ and $\psi_R \leq \phi$ on $B_R \cap \partial\Omega$, since $\phi|_{\partial\Omega} = \rho$. Therefore $\psi_R \leq \phi$ on $\partial(\Omega \cap B_R)$.

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Sketch of proof: barrier functions on B_R II

Construction of functions ψ_R such that $\Delta\psi_R \geq \varepsilon$ on $B_R \cap \Omega$.

M has bounded curvature $\implies \exists k > 0 : Ric_M \geq -(n-1)k^2$.

Standard comparison theorems $\implies \Delta r \leq \frac{n-1}{r}(1+kr)$

Remark inequality holds outside the cut-locus of M and holds in the weak sense at any point of M .

Computation gives $\Delta\psi_R \geq -\frac{2\beta}{R^2}(n+(n-1)kR) \geq -\varepsilon$, for R large.

$\Delta\phi \leq \Delta\psi_R$ on $B_R \cap \Omega$, for R large. Then, by Corollary ??,

$\phi \geq \psi_R$ on $B_R \cap \Omega$, for R large. Letting $R \rightarrow \infty$ we obtain $\phi \geq \beta$ on Ω . Therefore $\phi \geq \rho - \delta$ in Ω for any $\delta > 0$. Thus $\phi \geq \rho$ in Ω .

Jacobi fields expansion I

$J_1 = T$ on γ . The Jacobi fields $\{J_i\}_{i \neq 1}$ are defined according to the evolution equation

$$J_i''(y) + R_M(y)(J_i, T)T(y) = 0, \quad i \neq 1 \quad \forall y \in \gamma \subset \exp(D(p, \rho))$$

(where $J_i''(y) := \nabla_T \nabla_T J_i(y)$ and R_M is the curvature tensor of M), with the second order initial conditions at p :

$$\begin{cases} J_i(p) & = e_i \\ \nabla_T J_i(p) & = 0. \end{cases}$$

Jacobi fields expansion II

Since the curvature of M is bounded, the classical Rauch Comparison Theorem and equation (62) yield the following expansion of $J_i(x)$ in terms of the distance ρ :

$$J_i(x) = J_i(p) + O(\rho^2), \quad x \in \exp(D(p, \rho)),$$

$$g_{ij}(x) := g_M(J_i(x), J_j(x)) = \delta_{ij} + O(\rho^2) \quad x \in \gamma \subset \exp(D(p, \rho))$$

where $O(\rho^2)$ depends on bounds of the curvature tensor R_M of M .

