# Higher genus helicoids 

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## Main result (joint work with D. Hoffman and B. White)

Theorem For every $g \geq 1$, there exists a properly embedded, genus $g$ minimal surface in $\mathbb{R}^{3}$ that is asymptotic to the helicoid.

## Previously known: the genus 1 helicoid

- Discovered by Hoffman Karcher Wei using Weierstrass Representation (1993)
- Proven to be embedded by Hoffman Weber Wolf (2009)


Picture of a genus 2 helicoid


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2. Prove that for even $g$, these surfaces converge to a genus $g / 2$ helicoid in $\mathbb{R}^{3}$ as $r \rightarrow \infty$.

## Minimal surfaces in $\mathbb{S}^{2}(r) \times \mathbb{R}$

- Model for $\mathbb{S}^{2}(r): \overline{\mathbb{C}}=\mathbb{C} \cup\{\infty\}$ with conformal metric

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- The standard helicoid $H$ :

$$
z= \pm e^{i h}
$$

is minimal in $\mathbb{S}^{2}(r) \times \mathbb{R}$ for all $r$. It has two axes : $0 \times \mathbb{R}$ and $\infty \times \mathbb{R}$

## Main result follows from three theorems

Theorem 1 [Hoffman White] For each $g$ there exists two helicoidal, genus $g$ minimal surfaces $M_{g, r}^{+}$and $M_{g, r}^{-}$in $\mathbb{S}^{2}(r) \times \mathbb{R}$. Both have a top end and a bottom end asymptotic to a vertical translate of $H$.

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Theorem 2 [Hoffman White] Given a sequence $r_{n} \rightarrow \infty$, there exists a subsequence such that $M_{g, r_{n}}^{ \pm}$converges to a minimal surface $M_{g}^{ \pm}$in $\mathbb{R}^{3}$ which is asymptotic to the standard helicoid $H$. Moreover $M_{g}^{+}$has even genus and $M_{g}^{-}$has odd genus.

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Theorem 3 [Hoffman Traizet White] Assume $g$ is even. If $g / 2$ is even then the limit $M_{g}^{+}$has genus $g / 2$. If $g / 2$ is odd then $M_{g}^{-}$has genus $g / 2$.

## Notations

- $M \subset \mathbb{S}^{2}(r) \times \mathbb{R}$ denotes $M_{g, r}^{+}$or $M_{g, r}^{-}$
- The vertical axes $0 \times \mathbb{R}$ and $\infty \times \mathbb{R}$ are denoted $Z$ and $Z^{*}$
- The horizontal axes $\mathbb{R} \times 0$ and $i \mathbb{R} \times 0$ are denoted $X$ and $Y$
- The standard helicoid $H$ divides $\mathbb{S}^{2} \times \mathbb{R}$ in two components denoted $\mathrm{H}^{+}$and $\mathrm{H}^{-}$so that $\mathrm{H}^{+}$contains the positive $Y$ axis
- $S=M \cap H^{+}$

Symmetries of higher genus helicoids in $\mathbb{S}^{2} \times \mathbb{R}$

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2. $M$ intersects $Y$ in $2 g+2$ points. $M$ is invariant by $180^{\circ}$ rotation about the axis $Y$ and the quotient is a disk.
3. if genus $g$ is even : $M$ is symmetric with respect to the vertical cylinder $\mathbb{S}^{1}(r) \times \mathbb{R}$.

## Heuristic ideas for the Proof of Theorem 3

Let $g^{\prime}$ be the genus of say $M_{g}^{+}=\lim M_{g, r_{n}}^{+}$. This means that $g^{\prime}$ handles stay at bounded distance from $Z$ axis.

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Goal : prove that $g-2 g^{\prime} \leq 2$ so at most two handles escape.
Then $\frac{g}{2}-g^{\prime} \leq 1$ so if $\frac{g}{2}$ and $g^{\prime}$ have the same parity, $g^{\prime}=\frac{g}{2}$.

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Then $\frac{g}{2}-g^{\prime} \leq 1$ so if $\frac{g}{2}$ and $g^{\prime}$ have the same parity, $g^{\prime}=\frac{g}{2}$.
Idea : handles which escape interact via some forces which can be explicitely computed in the limit.

## Proof of Theorem 3 in 8 slides

1. Change scale: work in $\mathbb{S}^{2}(1) \times \mathbb{R}$

- $t_{n}=\frac{1}{r_{n}} \rightarrow 0$
- $M_{n}=t_{n} M^{ \pm}\left(g, r_{n}\right) \subset \mathbb{S}^{2}(1) \times \mathbb{R}$
- $H_{n}=t_{n} H$ is a helicoid of vertical period $2 \pi t_{n}$
- $S_{n}=M_{n} \cap H_{n}^{+}$


## 2. Work in universal cover of $\mathbb{R}^{3} \backslash Z$

Work in cylindrical coordinates $(r, \theta, h)$
Metric is still the metric of $\mathbb{S}^{2} \times \mathbb{R}$
$H_{n}^{+}$is the domain $t_{n}(\theta-\pi)<h<t_{n} \theta$


## 3. Limit as $t_{n} \rightarrow 0$

Proposition $S_{n}$ converges to the plane $h=0$. Convergence is smooth outside of a discrete singular set $\mathcal{S}$. Multiplicity of the limit is

$$
\begin{cases}1 & \text { on } \theta<0 \text { and } \theta>\pi \\ 2 & \text { on } 0 \leq \theta \leq \pi\end{cases}
$$

## 4. Formation of catenoidal necks

Proposition Let $p \in \mathcal{S}, p \neq 0, \infty$. There exists $p_{n} \in S_{n}, p_{n} \rightarrow p$, and $\lambda_{n} \rightarrow \infty$ such that $\lambda_{n}\left(S_{n}-p_{n}\right)$ converges to the standard catenoid in $\mathbb{R}^{3}$. Moreover, $p \in Y$.

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Write $\mathcal{S}=\left\{p_{1}, \cdots, p_{N}\right\}$.
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Write $\mathcal{S}=\left\{p_{1}, \cdots, p_{N}\right\}$.
Goal : prove that $N \leq 1$.
Remark: there could be several handles converging to the same singular point. There could also be handles converging to 0 or $\infty$ at this scale but not fast enough to be captured by the limit helicoids.

## 5. Write $S_{n}$ as a graph

Remove vertical cylinders of small radius about $Z$ and $Z^{*}$
Remove small balls centered at $p_{1}, \cdots, p_{N}$
This disconnects $S_{n}$ in two components. The top one is the graph of a function $f_{n}$ on a domain $\Omega_{n} \subset \widetilde{\mathbb{C}^{*}}$


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$f_{n}(z)=t_{n} \arg z-u_{n}(z)$

- $u_{n}=0$ on $\theta=0$
- $0<u_{n}<t_{n} \pi$ on $\Omega_{n}$


## 6. Limit of $u_{n}$

## Proposition

$$
\lim \frac{\left|\log t_{n}\right|}{t_{n}} u_{n}(z)=c_{0} \arg z-\sum_{i=1}^{N} c_{i} \log \left|\frac{\log z-\log p_{i}}{\log z-\log \overline{p_{i}}}\right|
$$

Moreover $c_{i}>0$ for $1 \leq i \leq N$.

## 7. Compute forces

- $N^{3}$ Riemannian mfd, $M \subset N$ minimal surface, $\chi$ Killing field

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\operatorname{Flux}_{\chi}(M, \gamma)=\int_{\gamma}\langle\chi, \nu\rangle
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- $\chi_{Y}(z)=\frac{i}{2}\left(1-z^{2}\right)$ Killing field on $\mathbb{S}^{2}(1) \times \mathbb{R}$ unitary tangent to $Y$
- If $M \subset \mathbb{S}^{2}(1) \times \mathbb{R}$ is the graph of a function $f_{n}$ and $\chi$ is a horizontal Killing field

$$
\operatorname{Flux}_{\chi}(M, \gamma)=-\Im \int_{\gamma} 2\left(f_{z}\right)^{2} \chi(z) d z+O\left(\left|f_{z}\right|^{4}\right)
$$

8. Conclude

$$
\begin{aligned}
F_{i, n} & =\operatorname{Flux}_{\chi y}\left(S_{n}, C\left(p_{i}, \varepsilon\right)\right) \\
F_{i} & =\lim \left(\frac{\log t_{n}}{t_{n}}\right)^{2} F_{i, n}
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- $F_{i, n}=0$ because $S_{n}$ is invariant by $180^{\circ}$ symmetry about $Y$
- On the other hand a residue computation gives

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F_{i}=-c_{1}^{2} \sinh x_{i}-\sum_{j \neq i} \frac{2 \pi^{2} c_{i} c_{j}}{\left(x_{i}-x_{j}\right)\left(\left(x_{i}-x_{j}\right)^{2}+\pi^{2}\right)}
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where $p_{j}=i e^{x_{j}}, x_{1}<x_{2}<x_{N}$

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- If $N \geq 2$ then $F_{1}>0$, contradiction.
- If $N=1$ then $p_{1}=i$.

