Higher genus helicoids

Martin Traizet Joint work with David Hoffman & Brian White

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Main theorem. For every positive integer k, there exists a genus-k helicoid in \mathbb{R}^3 .

► Recall our model for S² is C ∪ {∞} with conformal metric obtained by stereographic projection.

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- Fix some positive even genus g = 2k. Consider a sequence $R_n \to \infty$. Let $M_n \subset S^2(R_n) \times \mathbb{R}$ be one of the two genus-g helicoids that we constructed in previous lectures (asymptotic to the standard helicoid H with pitch 2π).

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- We know : as n → ∞, M_n converges (subsequentially) to a genus-g' helicoid in ℝ³ with g' ≤ k.

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Goal : g' = k.

Recall : g' is even if M_n is positive at O and odd if M_n is negative. We choose the sign of M_n at O so that g' and k have the same parity.

Catenoidal necks

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For large values of R_n , M_n looks like this :



Let 2*N* be the number of handles that are escaping from both *Z* and Z^* (red guys). Then

$$g = 2k = 2g' + 2N \quad \Rightarrow \quad N = k - g'$$

Observe that N is even.

Goal : prove that $N \leq 1$

Change scale

• Let $\widetilde{M}_n = \frac{1}{R_n} M_n$. This is a genus-*g* helicoid in $\mathbb{S}^2(1) \times \mathbb{R}$ asymptotic to the helicoid with pitch

$$t_n=\frac{2\pi}{R_n}\to 0$$

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 Let p_{i,n} ∈ Y be the "center" of the *i*-th catenoidal neck (midpoint of the two intersection points of the catenoidal neck with Y-axis).
 Label the necks so that Im(p_{i,n}) > 0 for 1 ≤ i ≤ N and p_{N+i,n} = -p_{i,n}.

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 Label the necks so that Im(p_{i,n}) > 0 for 1 ≤ i ≤ N and p_{N+i,n} = -p_{i,n}.
- Passing to a subsequence :

$$p_i := \lim p_{i,n} \in Y$$

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First assume that all limit points p_i are distinct and $\neq 0, \infty$.

Write \widetilde{M}_n as a graph.

Remove vertical cylinders of axis Z and Z^* and 2N small balls with centers $p_{i,n}$. This disconnects \widetilde{M}_n into two components which are both vertical graphs over the helicoid and are exchanged by Y-symmetry.

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Consider the component which contains the positive X-axis. We can write it as the graph over the plane of a multivalued function f_n which has the form :

$$f_n = \frac{t_n}{2\pi} \arg(z) + u_n$$

with

• $u_n = 0 \text{ on } \arg(z) = 0$ • $|u_n| < \frac{t_n}{2}$ • $u_n < 0 \text{ on } \arg(z) > 0$ • $u_n(\frac{1}{z}) = u_n(z)$

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Observe : u_n is multivalued in the plane. It is well defined in the universal cover $\widetilde{\mathbb{C}^*}$ where $\arg(z)$ is well defined.

A simpler case

Assume for simplicity that u_n is a single-valued function of z. Geometrically, this means we are considering periodic helicoidal surfaces invariant by a vertical translation :



non-periodic case



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Limit of u_n

Key Proposition. In the periodic case :

$$\widetilde{u} := \lim \frac{|\log t_n|}{t_n} u_n = \sum_{i=1}^{2N} c_i \log |z - p_i|$$

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Moreover $c_i > 0$ for $1 \le i \le N$ and $c_{N+i} = -c_i < 0$.

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In the non-periodic case :

$$\lim \frac{|\log t_n|}{t_n} u_n = c_0 \arg z + \sum_{i=1}^{2N} c_i \log |\log z - \log p_i|$$

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• Minimal graph equation in $\mathbb{S}^2 \times \mathbb{R}$:

$$(1+\frac{f_{y}^{2}}{\lambda^{2}})f_{xx}+(1+\frac{f_{x}^{2}}{\lambda^{2}})f_{yy}-2\frac{f_{x}f_{y}}{\lambda^{2}}f_{xy}+(f_{x}^{2}+f_{y}^{2})(\frac{\lambda_{x}}{\lambda}f_{x}+\frac{\lambda_{y}}{\lambda}f_{y})=0.$$

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- Standard P.D.E. implies ũ_n converges subsequentially to a function which is harmonic in C \ {0, p₁, · · · , p_{2N}}.

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- Standard P.D.E. implies ũ_n converges subsequentially to a function which is harmonic in C \ {0, p₁, · · · , p_{2N}}.
- ▶ Bôcher Theorem implies it has log singularities at *p*₁, · · · , *p*_{2N}.

Flux

 M minimal surface in a Riemannian Manifold, χ Killing field, γ closed curve on M

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• Killing fields of $\mathbb{S}^2(1)$ generated by

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- If M ⊂ S²(1) × ℝ is the graph of a function f and χ is a horizontal Killing field

$$\mathsf{Flux}_{\chi}(\gamma) = -\mathrm{Im} \int_{\gamma} 2(f_z)^2 \chi(z) dz + O(|f_z|^4)$$

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• On the other hand we can compute the limit of $F_{i,n}$:

Claim :
$$F_i := \lim \frac{|\log t_n|^2}{t_n^2} F_{i,n} = -\operatorname{Re} \int_{C(p_i,\varepsilon)} (\widetilde{u}_z)^2 (1-z^2) dz$$

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Write $p_j = i \tan \frac{\theta_j}{2}$:

$$F_i = \pi \sum_{j \neq i} c_i c_j \cot \frac{\theta_j - \theta_i}{2}$$

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Physical model (periodic case)



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Physical model (periodic case)





Conclusion : $N \leq 1$.

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Case where some points $p_{i,n}$ converge to O (or O*)

A blowup at O produces a configuration like



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Cannot be balanced !

Case where all points $p_{j,n}$ for $1 \le j \le N$ converge to *i*

A blowup at *i* produces a configuration like



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Forces in the non-periodic case

$$F_{i} = \frac{y_{i}^{2} + 1}{2y_{i}} \left[c_{i}^{2} \frac{1 - y_{i}^{2}}{y_{i}^{2} + 1} + \sum_{\substack{j \neq i \\ 1 \leq j \leq N}} \frac{-2\pi^{2} c_{i} c_{j}}{(\log y_{i} - \log y_{j}) |\log y_{i} - \log y_{j} + i\pi|^{2}} \right].$$

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where $y_i = \text{Im}(p_i)$.