The ASD deformation complex and a conjecture of Singer.

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International Doctoral Summer School in Conformal Geometry and Non-local Operators IMAG Granada

June 26, 2023

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Geometry in four dimensions

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\star: \Lambda^p \to \Lambda^{4-p}.
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In particular, if $p = 2$ then

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In particular, if $p = 2$ then

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and the eigenvalues of \star are ± 1 . Therefore we have a splitting of Λ^2 into the sub-bundles of self-dual (SD) and anti-self-dual (ASD) two-forms:

$$
\Lambda^2=\Lambda^2_+\oplus\Lambda^2_-
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Rm(e^i \wedge e^j) = \frac{1}{2} \sum_{k,\ell} R_{ijk\ell} e^k \wedge e^{\ell},
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where $R_{ijk\ell} = R$ m $(e_i,e_j,e_k,e_\ell).$ In general, the curvature operator does not preserve Λ^2_\pm .

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• Let W denote the Weyl curvature tensor of (M, g) ; i.e., the fully trace-free part of the curvature tensor. W shares many of the same symmetries as the curvature tensor, and also induces a map $\mathcal{W}:\mathsf{\Lambda}^2\to\mathsf{\Lambda}^2$

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- Fact: W respects the splitting of Λ^2 into SD/ASD two-forms, hence we can define

$$
W^{\pm}=W|_{\Lambda^2_{\pm}}.
$$

ASD four-manifolds

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• Similarly, we say that (M^4, g) is self-dual (SD) if $W^- \equiv 0$. Note that by changing the orientation an ASD manifold becomes SD and vice versa.

Why is the ASD condition interesting?

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1. If $\tau(M)$ is the signature of M, then by the Hirzebruch signature formula

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12\pi^2\tau(M) = \int \left(\|W^+\|^2 - \|W^-\|^2 \right) \, dv.
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2. The splitting of Λ^2 gives a splitting of the space of harmonic two-forms, hence $H^2_{dR}(M^4; \mathbb{R}) = H^2_+ \oplus H^2_-$, and $\tau(M^4) = \dim H^2_+ - \dim H^2_-$.

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2. The splitting of Λ^2 gives a splitting of the space of harmonic two-forms, hence $H^2_{dR}(M^4; \mathbb{R}) = H^2_+ \oplus H^2_{-}$ and $\tau(M^4) = \dim H^2_+ - \dim H^2_{-}$. Also, the Weitzenböck formula for $\omega\in H^2_\pm$ is given by

$$
\frac{1}{2}\Delta|\omega|^2 = |\nabla\omega|^2 - 2W^{\pm}(\omega,\omega) + \frac{1}{3}R|\omega|^2.
$$

In particular, if (\mathcal{M}, g) is ASD with $R > 0$, then $H_+^2 = 0$ and the intersection form is definite.

Why is the ASD condition interesting?

3. Since

$$
\int ||W||^2 dv = \int ||W^+||^2 dv + \int ||W^-||^2 dv
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= $2 \int ||W^+||^2 dv + 12\pi^2 \tau (M^4),$

the signature formula also implies that ASD metrics are global minima of the Weyl functional

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4. If (M, g) is Kähler, then ASD is equivalent to $R = 0$.

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• In particular, the ASD property is conformally invariant. This property is crucial in our work since it allows us to study ASD metrics by using a judicious choice of conformal metric.

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k(S^3 \times S^1) = \underbrace{S^3 \times S^1 \mathop{\#} \cdots \mathop{\#} S^3 \times S^1}_{k-times}
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3. $(-\mathbb{CP}^2, g_{FS})$ is ASD (and is <u>not</u> LCF)

4. Poon: There exist ASD metrics on

 $-C\mathbb{P}^2$ + $-C\mathbb{P}^2$, $-C\mathbb{P}^2$ + $-C\mathbb{P}^2$ + $-C\mathbb{P}^2$

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6. Taubes: Given any oriented four-manifold Y, there is a $k_0 = k_0(Y)$ such that for any $k > k_0$,

$$
k(-\mathbb{CP}^2) \# Y
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admits an ASD metric.

6. Taubes: Given any oriented four-manifold Y, there is a $k_0 = k_0(Y)$ such that for any $k > k_0$,

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7. Donaldson-Friedman: If (M, g) and (N, h) are ASD, then $M+N$ admits an ASD metric...under certain conditions.

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7. Donaldson-Friedman: If (M, g) and (N, h) are ASD, then $M+N$ admits an ASD metric...under certain conditions.

• The construction of Floer and Tabues are based on 'gluing' methods, while the work of Poon, Donaldson-Friedman rely in 'twistor' methods (more on these later).

The linearized equation

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• Given $h \in \Gamma(S^2T^*M)$, define $\mathcal{D}_g : \Gamma(S^2T^*M) \to \Gamma(\mathcal{C}^+(M))$ by

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\mathcal{D}_{g}h=\frac{d}{ds}W^{+}(g+sh)|_{s=0}
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 \bullet The conformal invariance of the Weyl tensor implies that ${\cal D}$ is conformally covariant: if $\widetilde{g} = e^{2u}g$, then
 $\mathcal{D}_{\widetilde{g}}h = e^{2u}\mathcal{D}_{g}$

$$
\mathcal{D}_{\widetilde{g}}h = e^{2u}\mathcal{D}_g(e^{-2u}h).
$$

Unobstructed ASD structures

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• In gluing constructions of ASD metrics, one begins by constructing a metric that is 'approximately' ASD. Roughly speaking, if each manifold is unobstructed, then one can apply an implicit function theorem argument to perturb the approximately ASD metric to an actual ASD metric.

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Question:

When is (M, g) unobstructed?

Conjecture

(Singer) If the scalar curvature $R > 0$, then (M, g) is unobstructed.

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A somewhat involved calculation gives

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(\mathcal{D}^*Z)_{ij} = \nabla^k \nabla^\ell Z_{ikj\ell} + P^{k\ell} Z_{ikj\ell} = 0,
$$

where $P=\frac{1}{2}$ $\frac{1}{2}(Ric-\frac{1}{4}%)^{2}=2(1-k)\sum_{k=0}^{\infty}(Ric-\frac{1}{4})^{k}$ $\frac{1}{4}Rg$) is the *Schouten tensor*.

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where $P=\frac{1}{2}$ $\frac{1}{2}(Ric-\frac{1}{4}%)^{2}=2(2n-1)\left[\frac{1}{2}(Ric-\frac{1}{4})\right] ^{2}$ $\frac{1}{4}Rg$) is the *Schouten tensor*.

• The conformal covariance of D implies that D^* is conformally covariant: if $\widetilde{g} = e^{2u}g$, then $rac{1}{\widetilde{g}}$

$$
\mathcal{D}_{\widetilde{g}}^*(Z) = e^{-2u} \mathcal{D}_{g}^*(e^{-2u}Z).
$$

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Two remarks

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In particular, this identification allows one to use methods of complex geometry to construct examples of ASD manifolds (cf. Poon, Donaldon-Friedman), and to study the deformation of ASD metrics.

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Itoh: If (M, g) is ASD and Einstein with $R > 0$, then it is unobstructed. Itoh gave an explicit calculation of

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Towards the conjecture

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Theorem

(G-Gover, '22) Assume (M, g) is ASD with $Y(M, [g]) > 0$. If

$$
2\chi(M) + 3\tau(M) \geqslant -\frac{1}{24\pi^2} Y(M,[g])^2,
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then (M, g) is unobstructed.

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(G-Gover, '22) Assume (M, g) is ASD with $Y(M, [g]) > 0$. If

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then (M, g) is unobstructed.

(Note:
$$
-\frac{1}{24\pi^2}Y(-\mathbb{CP}^2, [g_{FS}])^2 = -12, (2\chi + 3\tau) (-\mathbb{CP}^2) = 3)
$$

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1. If $Z \in \text{ker } \mathcal{D}^*$, then Z induces a self-dual harmonic two-form with values in the 'tractor bundle' $\mathcal E$ associated to $(M,[g])$. This implies that Z satisfies a 'twisted' version(s) of the usual Bochner formula for (real-valued) harmonic two-forms.

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1. If $Z \in \text{ker } \mathcal{D}^*$, then Z induces a self-dual harmonic two-form with values in the 'tractor bundle' $\mathcal E$ associated to $(M,[g])$. This implies that Z satisfies a 'twisted' version(s) of the usual Bochner formula for (real-valued) harmonic two-forms.

After (long) calculations, we find that Z satisfies

$$
\frac{1}{2}\Delta |Z|^2 = |\nabla Z|^2 + Z \ast \nabla (\delta Z) + \frac{1}{2}R|Z|^2,
$$

where $*$ denotes tensor product with possible contractions, and

$$
(\delta Z)_{ijk} = \nabla^m Z_{mijk}.
$$

It turns out that this formula carries very little information. However, the tractor interpretation also gives us a Weitzenböck formula for δZ :

$$
\frac{1}{2}\Delta|\delta Z|^2=|\nabla\delta Z|^2+P*\delta Z*\nabla Z+\delta Z*Z*\nabla R+\frac{1}{2}R|\delta Z|^2,
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Notice the presence of the Schouten tensor. Therefore, in addition to assuming positivity of the Yamabe invariant, we need to add a condition which gives us some control over the Schouten tensor (in a conformally invariant way).

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Theorem

(Chang-Yang, G-, G-Gover) Suppose (M, g_0) satisfies the assumptions of the theorem. Then there is a conformal metric $g = e^{2u} g_0$ satisfying

$$
\Delta_g R \leqslant -6|P|^2 + \frac{2}{3}R^2,
$$

where $P=\frac{1}{2}$ $\frac{1}{2}(Ric-\frac{1}{6}$ $\frac{1}{6}$ Rg) is the Schouten tensor and R is the scalar curvature. Moreover, the scalar curvature $R > 0$.

To find a conformal metric whose scalar curvature satisfies this differential inequality we first prove the existence of a conformal metric whose scalar curvature satisfies

$$
\Delta R = \frac{1}{8}R^2 - \frac{3}{2}|E|^2 + Y(M^4, [g]) R + \mu,
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where E is trace-free Ricci tensor and μ is a constant.

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where E is trace-free Ricci tensor and μ is a constant. Existence is proved by solving a fourth order variational problem (roughly) of the form

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\inf_{u\in W^{2,2}}\int ((\Delta u)^2+c_1(\Delta u)|\nabla u|^2+c_2|\nabla u|^4+\cdots) dv
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This functional is a modified version of a functional that arises in the study of regularized determinants of conformally covariant operators in dimension four. Existence of minimizers (under certain conditions) follows the work of Chang-Yang in the early 90s. QQ The proof of the main theorem consists of combining the two Weitzenböck formulas with the differential inequality satisfied by the scalar curvature, and integrating by parts in a strategic way.

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