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Title: Automatic quality assessment and peak identification of auditory brainstem responses with fitted parametric peaks

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1 **Automatic quality assessment and peak identification of auditory**
2 **brainstem responses with fitted parametric peaks**

3

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25 **Abstract:**

26 The recording of the auditory brainstem response (ABR) is used worldwide for
27 hearing screening purposes. In this process, a precise estimation of the most
28 relevant components is essential for an accurate interpretation of these signals.
29 This evaluation is usually carried out subjectively by an audiologist. However,
30 the use of automatic methods for this purpose is being encouraged nowadays in
31 order to reduce human evaluation biases and ensure uniformity among test
32 conditions, patients, and screening personnel. This article describes a new
33 method that performs automatic quality assessment and identification of the
34 peaks, the Fitted Parametric Peaks (*FPP*). This method is based on the use of
35 synthesized peaks that are adjusted to the ABR response. The *FPP* is
36 validated, on one hand, by an analysis of amplitudes and latencies measured
37 manually by an audiologist and automatically by the *FPP* method in ABR
38 signals recorded at different stimulation rates; and on the other hand,
39 contrasting the performance of the *FPP* method with the automatic evaluation
40 techniques based on the correlation coefficient, F_{SP} , and cross correlation with a
41 predefined template waveform by comparing the automatic evaluations of the
42 quality of these methods with subjective evaluations provided by 5 experienced
43 evaluators on a set of ABR signals of different quality. The results of this study
44 suggest (a) that the *FPP* method can be used to provide an accurate
45 parameterization of the peaks in terms of amplitude, latency, and width, and (b)
46 that the *FPP* remains as the method that best approaches the averaged
47 subjective quality evaluation, as well as provides the best results in terms of
48 sensitivity and specificity in ABR signals validation. The significance of these
49 findings and the clinical value of the *FPP* method are highlighted on this paper.

50 **Keywords:** Fitted Parametric Peaks (*FPP*); auditory brainstem response (ABR);
51 automatic quality assessment; response detection; F_{SP} ; subjective visual
52 evaluation; template matching; Mexican hat wavelet.

53 **Text body:**

54 1. INTRODUCTION

55 The auditory brainstem response (ABR) is the electrical activity of the auditory
56 nerve generated in the brainstem associated with a stimulus [1]. The recording
57 of the ABR has been extensively used in human and animal studies for both
58 clinical and research purposes due to its noninvasive nature. The recording of
59 this signal is commonly used in hospitals and clinics worldwide as a hearing
60 screening tool, to detect the hearing threshold and to detect peripheral and
61 central lesions. Furthermore, the analysis of the ABR may help understand the
62 underlying mechanisms of the process of hearing [2]–[8]. The ABR comprises a
63 number of waves that occur during the first 10 ms from stimulus onset [9].
64 These waves are indicated by sequential Roman numerals as originally
65 proposed by Jewett and Williston [10]. Although up to seven peaks can be
66 identified in the ABR, the most robust are III and V.

67 The quality of the responses is related to the probability that a response is
68 present, which is usually associated with the amount of noise of the recording
69 [11], [12]. The use of automatic methods for quality assessment and response
70 detection of ABR signals may help improve the process of automatically
71 stopping averaging, avoiding the recording of unnecessary sweeps when there
72 already exists an ABR of sufficient quality and consequently, making a more
73 efficient use of the recording time [13]–[15]. Furthermore, the automated

74 identification of the peaks, i.e., amplitudes and latencies, is also a useful tool to
75 provide an automatic interpretation of the ABR [16]. Additionally, automated
76 methods eliminate the need for subjective interpretations of ABR, reduce
77 human biases, and improve uniformity among test conditions, patients, and
78 screening assistants [17]-[22]. These advantages promote the use of automated
79 response detection in audiology screening in order to help the operator
80 interpretation and decision making [23].

81 A number of methods have been proposed in automatic evaluation of ABR [11].
82 Some of them include the Raileigh test, Watson's U2 test, Kuiper's test,
83 Hodges-Ajne's test, Cochran's Q-test, and Friedman test [24], [25]; automatic
84 computer- assisted recognition of the pattern for ABR latency/intensity functions
85 [26]; MASTER, a Windows-based data acquisition system designed to assess
86 human hearing by recording auditory steady-state responses [27]; zero crossing
87 method [28]; adaptive signal enhancement [29]; multifilters and attributed
88 automaton [30]; single-trial covariance analysis [31]; and automatic analysis
89 methods for peak identification based on a database of ABR signals from a
90 large (>80) number of normal hearing subjects [32], [33]. Despite the large
91 number of automatic evaluation techniques, few of them have been
92 implemented in commercial devices [34]. The most common reported strategies
93 of automated ABR analysis are the correlation coefficient and the F distribution
94 based estimation of the signal to noise ratio (SNR) using a single point of the
95 response (F_{SP}). The correlation coefficient procedure relies on the
96 reproducibility of two consecutive ABR signals obtained in similar conditions to
97 determine the presence or absence of the ABR [35]. F_{SP} provides an estimation
98 of the response SNR evaluated from the distribution of amplitudes of a single

99 point of the response for different sweeps. The power of noise is evaluated by
100 matching the single point distribution of amplitudes with an F distribution, while
101 the power of the signal is estimated from the averaged response [36].

102 This article describes a new method that performs an automatic evaluation of
103 the quality of ABR signals and identification of the peaks based on the use of
104 templates. We have called this method Fitted Parametric Peaks (*FPP*). The
105 *FPP* method can be useful (a) to automatically parameterize the most relevant
106 waves of ABR signals in terms of amplitude, latency, and width, and (b) to
107 provide an automatic estimation of the quality of ABR signals based on the
108 individual assessment of the quality of each wave. Preliminary results of this
109 work were presented in [37].

110 The rest of the paper is organized as follows. Section II describes in detail the
111 Fitted Parametric Peaks (*FPP*) method. In section III, the performance of the
112 described method is assessed by two experiments. Experiment 1 compares the
113 automatic parameterization of the peaks provided by the *FPP* method with a
114 manual procedure performed by an audiologist in a number of ABR signals
115 obtained at different stimulation rates. Experiment 2 compares the automatic
116 quality assessment of the *FPP* method with the automatic quality evaluation
117 techniques based on the correlation coefficient, F_{SP} , and cross correlation with a
118 predefined template in terms of the grade of similarity to a subjective evaluation
119 provided by a number of experts on ABR signals of different quality.
120 Additionally, this experiment includes a comparative study of response
121 validation in terms of sensitivity and specificity. Section IV presents a summary
122 and a discussion of the results. Finally, section V highlights the significance and
123 the main contributions of this article.

124

2. DESCRIPTION OF THE METHOD

125 The most usual approach for assessing the quality of ABR signals is based in
126 subjective evaluations provided by audiologists. However, it is well known that
127 subjective evaluations may differ from one evaluator to another [33], [38], [39].
128 This bias represents a problem that could be solved using automatic quality
129 evaluation techniques [17]-[23]. This section describes the Fitted Parametric
130 Peaks (*FPP*) method, a new technique that provides an automatic evaluation of
131 the quality of ABR signals and parameterization of the peaks in terms of
132 amplitude (A), latency (L), and width (W).

133 2.1. Fitted Parametric Peaks

134 The approach of this method is based on the use of templates that fit the peaks
135 of the ABR. The use of templates for this purpose was first proposed in [40], in
136 which the ABR used for test is cross correlated with a template used as
137 reference. The major disadvantage of this technique is that it requires the
138 compilation of a database of templates corresponding to each stimulation
139 settings (e.g., level, rate, polarity, etc.). In contrast, the *FPP* does not require
140 the use of a database since it uses as template a parametric function. The
141 motivation of the *FPP* quality assessment procedure relies on the subjective
142 criterion usually applied by audiologists for the evaluation of ABR. The most
143 persistent peaks are usually waves III and V, and therefore, an ABR response
144 can be assumed to be valid if at least these two peaks can be identified with
145 reasonable amplitudes at the latencies expected for these waves. Thus, the
146 *FPP* procedure fits a parametric function modeling a peak for both waves (III

147 and V) and evaluates the quality taking into account the similarity of the ABR
 148 signal and the fitted parametric peaks. The parametric function is given by:

$$149 \quad x(t, A, L, W) = A \cdot K_0 \cdot \left(1 - \frac{(t - L)^2}{W^2}\right) \cdot \exp\left(\frac{-(t - L)^2}{2 \cdot W^2}\right)$$

150 This parametric function is generally known as *Mexican hat wavelet*, and
 151 corresponds (except for the sign and normalization constant) to the second
 152 derivative of a Gaussian function with mean L and standard deviation W. K_0 is a
 153 constant that makes $x(t, A, L, W)$ have a peak-to-peak amplitude equal to A.
 154 The value of K_0 that fits this criterion is:

$$155 \quad K_0 = 1 + 2 \cdot \exp(-3/2) = 1.446260320296860$$

156 According to the definition of the parametric function $x(t, A, L, W)$, A is the peak-
 157 to-peak amplitude of the wave, L is the latency, and W is the semi width. Figure
 158 1 shows an ABR signal and the parametric peak that fits wave V. The search of
 159 the parameters that define the fitted parametric peak would involve a 3
 160 dimensional search (for A, L, and W). However, this process can be
 161 computationally optimized to a 1 dimensional search of the width. The optimal
 162 latency (L_0) and amplitude (A_0) of the fitted parametric peak can be directly
 163 estimated for each tested width parameter (W_{test}). The latency is calculated by
 164 cross correlation of the ABR signal with the parametric peak of a specific width.
 165 This step is independent of the amplitude of the parametric peak. The search of
 166 the optimal latency is performed in an interval around a referenced latency. This
 167 referenced latency can be obtained from related literature and will depend on
 168 the stimulation settings, e.g., intensity level and stimulation rate. The interval in
 169 which the optimal latency is searched must be wide enough to consider the

170 normal variations of latencies among subjects, but at the same time, it must be
 171 narrow enough to avoid including adjacent waves. An interval of about 3 ms
 172 was found to be appropriate for this purpose. Given the width W_{test} and the
 173 latency L_0 , the amplitude A_0 is directly estimated by projecting the response $\mathbf{y}(t)$
 174 onto the parametric peak $\mathbf{x}(t, 1, L_0, W_{\text{test}})$, taking into account the properties of the
 175 scalar product of sampled signals:

$$176 \quad \mathbf{x}_1(t) \cdot \mathbf{x}_2(t) = \sum_{n=0}^N x_1(t_n) \cdot x_2(t_n)$$

$$177 \quad \|\mathbf{x}_1(t)\|^2 = \mathbf{x}_1(t) \cdot \mathbf{x}_2(t)$$

178 With these definitions, the projection of an ABR signal $\mathbf{y}(t)$ onto the parametric
 179 function with latency L_0 and width W_{test} can be calculated using the associated
 180 unitary vector:

$$181 \quad \mathbf{u}_x(t, L_0, W_{\text{test}}) = \frac{\mathbf{x}(t, 1, L_0, W_{\text{test}})}{\|\mathbf{x}(t, 1, L_0, W_{\text{test}})\|}$$

$$182 \quad (\mathbf{y}(t) \cdot \mathbf{u}_x(t, L_0, W_{\text{test}})) \cdot \mathbf{u}_x(t, L_0, W_{\text{test}}) = \frac{\mathbf{y}(t) \cdot \mathbf{x}(t, 1, L_0, W_{\text{test}})}{\|\mathbf{x}(t, 1, L_0, W_{\text{test}})\|} \cdot \frac{\mathbf{x}(t, 1, L_0, W_{\text{test}})}{\|\mathbf{x}(t, 1, L_0, W_{\text{test}})\|} = \dots$$

$$183 \quad \dots = \frac{\mathbf{y}(t) \cdot \mathbf{x}(t, 1, L_0, W_{\text{test}})}{\|\mathbf{x}(t, 1, L_0, W_{\text{test}})\|^2} \cdot \mathbf{x}(t, 1, L_0, W_{\text{test}}) = A_0 \cdot \mathbf{x}(t, 1, L_0, W_{\text{test}}) = \mathbf{x}(t, A_0, L_0, W_{\text{test}})$$

184 and therefore, the amplitude can be directly computed as:

$$185 \quad A_0 = \frac{\mathbf{y}(t) \cdot \mathbf{x}(t, 1, L_0, W_{\text{test}})}{\|\mathbf{x}(t, 1, L_0, W_{\text{test}})\|^2}$$

186 Taking into account that the fitting is performed around each wave (i.e., around
 187 wave III or wave V), the computation of the scalar product must be restricted to
 188 an interval around the latency L_0 . An interval of 2 ms can be appropriate since

189 this interval is related to the duration of the peak. Since the latency L_0 and the
 190 amplitude A_0 are directly estimated for each width W_{test} (by cross correlation
 191 and vector projection respectively) as those providing the best fitting of the
 192 parametric function given the ABR signal and the tested width W_{test} , each width
 193 can be evaluated considering the energy of the error between the ABR signal
 194 and the parametric peak evaluated over the interval:

$$195 \quad \mathbf{e} = \sum_{\substack{t_n \leq L_0 + 1ms \\ t_n \geq L_0 - 1ms}} (y(t_n) - x(t_n, A_0, L_0, W_{test}))^2 = \|\mathbf{y}(t) - \mathbf{x}(t, A_0, L_0, W_{test})\|^2$$

196 and therefore, the width W_{test} of the parametric function that best fits the peak
 197 (W_{peak}) is that one minimizing the energy of the error. The optimal values of the
 198 latency L_{peak} and amplitude A_{peak} would be the corresponding L_0 and A_0 of the
 199 W_{peak} .

200 A signal-to-noise ratio associated to each peak can be derived from this fitting
 201 as the ratio between the energy of the parametric peak and the energy of the
 202 error (that can be assumed to be noise):

$$203 \quad SNR_{peak} = \frac{\|\mathbf{x}(t, A_{peak}, L_{peak}, W_{peak})\|^2}{\|\mathbf{y}(t) - \mathbf{x}(t, A_{peak}, L_{peak}, W_{peak})\|^2}$$

204 that can also be expressed in dB:

$$205 \quad SNR_{peak}(dB) = 10 \cdot \log_{10}(SNR_{peak})$$

206 The SNR can be used to evaluate the quality for each wave. Finally, a global
 207 quality parameter can be defined as the minimum SNR for waves III and V.

$$208 \quad Q_{FPP}(dB) = \min \{SNR_{III}(dB), SNR_V(dB)\}$$

209 The *FPP* method could implement an automated response detection paradigm
210 considering (a) whether or not the values of amplitude, width and latency of the
211 parametric peaks are consistent with literature, and (b) if the global quality
212 parameter (Q_{FPP}) exceeds a given threshold. This threshold level represents the
213 minimum quality required for considering a recording as a valid ABR signal.

214 The software routines that implement the *FPP* method are available in
215 MATLAB¹ and GNU Octave² codes as supplementary material (section A).

216 3. ASSESSMENT OF THE METHOD

217 The *FPP* method is validated in this study with two experiments. Experiment 1
218 evaluates the performance of the *FPP* method through a comparison of the
219 latencies and amplitudes of waves III and V measured manually by an
220 audiologist and automatically by the *FPP* method in a number of ABR signals
221 obtained at different stimulation rates. In experiment 2, the performance of the
222 automatic quality evaluation techniques based on the *FPP*, correlation
223 coefficient (r), F_{SP} , and cross correlation with a predefined template function
224 (*Cross Corr*), is contrasted (a) with a subjective evaluation provided by 5
225 experts in a set of ABR signals of different quality, and (b) with a response
226 validation study in terms of sensitivity and specificity. This section gives details
227 about the EEG recording protocol followed on the recording process of the ABR
228 signals and presents the results of both experiments.

¹ The Mathworks, Inc., Natick, MA

² John W. Eaton, University of Wisconsin, Madison, WI

229 3.1. EEG recording and signal processing

230 The procedure for EEG recording consisted on the presentation of auditory
231 stimuli to the subjects and the recording of their associated electrical response
232 (sweep). The stimulation of the auditory system was performed by 0.1 ms
233 duration clicks in condensation polarity in order to evoke a synchronous firing of
234 a large number of neurons [1]. The recording sessions took place in a shielded
235 screening booth in order to minimize the effects of electromagnetic interference.
236 The subjects were seated comfortably to reduce the myogenic noise. The
237 intensity level 0 dBnHL was established considering the threshold level
238 (intensity level at which stimuli are just detectable) in a group of 15 subjects (9
239 male and 6 female) aged from 24 to 31 yr, with no self-reported history of
240 auditory dysfunction (normal hearing subjects). The intensity level used to
241 obtain the ABR signals in this study was 70 dBnHL, which corresponds to
242 103.54 dB peak equivalent sound pressure level (dBpeSPL). The calibration of
243 the intensity level was performed using an Artificial Ear Type 4153³. The EEGs
244 were recorded by Ag/AgCl surface electrodes placed on the skin at different
245 positions of the head. Active, ground, and reference electrodes were situated at
246 the high forehead, low forehead, and ipsilateral mastoid respectively. The
247 interelectrode impedances were always below 10 k Ω at the working
248 frequencies. The recorded EEG was 70 dB amplified and bandpass filtered (100
249 to 3500 Hz). This signal was sampled at 25 kHz and stored using 16 bits of
250 quantization. Digital signals were processed with algorithms implemented in
251 MATLAB. The *FPP* method was implemented in this study using the referenced
252 values of latency shown in table 1 and an interval of SNR assessment of 2 ms.

³ Brüel & Kjær Sound & Vibration Measurement A/S, Nærum, Denmark

253 Table 1 shows the latency for waves III and V at different stimulation rates
254 evoked at an intensity level of 70 dBnHL based on the data published [41]-[43].
255 All subjects explored in this study were volunteers and were informed in detail
256 about the experimental protocol. A consent form was signed by the participants
257 before the beginning of the session, which was carried out at the University of
258 Granada (Granada, Spain) in accordance with The Code of Ethics of the World
259 Medical Association (Helsinki Declaration of 1975, revised 2000) for
260 experiments involving humans. This recording procedure was approved by the
261 Human Research Ethics Committee of the University of Granada and by the
262 Clinical Research Ethics Committee of the San Cecilio University Hospital. An
263 expanded description of the ABR recording system used in this study can be
264 found in [44].

265 **3.2. Experiment 1**

266 3.2.1. Subjects and methods

267 The performance of the *FPP* method to automatically parameterize the most
268 relevant waves of ABR signals is assessed on this first experiment by a
269 comparison of the latencies and amplitudes of waves III and V measured
270 manually by an audiologist and automatically by the *FPP* method in a set of
271 ABR signals obtained from 8 normal hearing subjects (7 males and 1 female;
272 aged between 26 and 35 yr) at the stimulation rates 45, 55, 83, 100, 125, 167,
273 and 250 Hz using the randomized stimulation and averaging technique (*RSA*).
274 The *RSA* technique allows the recording of ABR signals at high stimulation
275 rates using jittered stimuli [43]. The jitter of a stimulation sequence measures
276 the amount of dispersion of the interstimulus interval in contrast to a periodical
277 presentation of stimuli. The stimulation sequences used in this study were

278 generated using a jitter of 4 ms. 5 recordings of 4000 sweeps were recorded
279 from each subject at each stimulation rate, therefore, the number of ABR
280 signals used in this study was 320 (8 subjects, 8 stimulation rates, 5
281 recordings). Latencies were measured manually as the difference in
282 milliseconds between the stimulus onset and the top of the peak, and
283 amplitudes were measured in microvolts as the difference between the top of
284 the peak and the following trough [1], [9]. The latencies and amplitudes
285 measured manually by an audiologist and automatically by the *FPP* method
286 were adjusted to a 3rd order polynomial. The coefficient of determination (R^2)
287 was calculated for each distribution. In addition to the analysis based on the
288 polynomial fitting using the raw data (i.e., estimated amplitudes and latencies),
289 a similar analysis was performed using normalized data. Normalization
290 consisted of subtracting the mean value for each subject and adding the global
291 mean in order to decrease the inter-subject variability. The values of amplitudes
292 and latencies that did not accomplish minimum criteria to be considered as valid
293 waves were excluded from the analysis. The criteria used in this study as
294 threshold to detect auditory waves was $SNR_{\text{peak}} \geq 2\text{dB}$ and $A_{\text{peak}} \geq 0.05\mu\text{V}$.

295 3.2.2. Results

296 Figure 2 shows the values of the latencies and amplitudes of waves III and V
297 measured manually (*MAN*) by an audiologist and automatically by the fitted
298 parametric peaks method (*FPP*) in a set of 320 ABR signals from 8 normal
299 hearing subjects at different stimulation rates. The experimental data were
300 adjusted to a 3rd order polynomial to analyze the behavior of these parameters
301 with the stimulation rate. This analysis shows (a) that the latency of the peaks
302 increases as the stimulation rate increases, with a deeper shift on wave V than

303 on wave III, and (b) that the amplitude of both peaks decreases as stimulation
304 rate increases. These effects are a normal phenomenon, consequence of
305 neural adaptation [8], [45], [46]. The low values of the coefficients of
306 determination (R^2) on the parameters analyzed in this study for waves III and V
307 (figure 2, upper panel), especially in amplitudes, are due to the great
308 intersubject variability. The coefficients of determination increase significantly
309 after normalization. The analysis of the data after normalization (figure 2, lower
310 panel) points out that the coefficients of determination of the latencies and
311 amplitudes of waves III and V are greater when the parameters are estimated
312 automatically by the *FPP* method than when the values are measured manually.
313 Figure 3 shows a comparative analysis of the latencies and amplitudes of
314 waves III and V estimated manually by an audiologist and automatically by the
315 *FPP* method with the same set of ABR signals. First of all, this figure shows that
316 all waves III and V were correctly identified by the *FPP* method. In addition, the
317 linear regression analysis adjusted to the experimental data points out (a) that
318 the automatic parameterization of the peaks by *FPP* in terms of latency and
319 amplitude is strongly related with the manual procedure ($r > 0.9$ in all measures),
320 (b) that latencies estimated by *FPP* are accurate since the linear regression
321 curves are close to the curves $FPP = MAN$ (dotted line), and (c) that a slight bias
322 exists between the amplitudes measured manually and automatically by *FPP*,
323 possibly as a consequence of local noise, which systematically provokes an
324 overestimation of amplitudes by the manual method. Figure 4 shows examples
325 of ABR signals used in this experiment from 5 subjects at different stimulation
326 rates. The parametric peaks adjusted to the waves III and V are highlighted on
327 this figure. In addition, this figure includes the SNR associated with each peak
328 evaluated automatically by the *FPP* method. Table 2 presents the mean and

329 standard deviation of the latencies, amplitudes, widths, and SNRs measured
330 automatically by the *FPP* method on the waves III and V. This table shows the
331 tendency of the parameters as stimulation rate increases: latencies increase,
332 the interpeak latency between waves III and V increases because the shift of
333 wave V is greater than in wave III, the amplitudes of both waves decrease, the
334 widths increase in both waves, possibly as a consequence of neural
335 desynchronization [47], and the SNRs of both waves tend to decrease due to
336 the lower amplitude of the waves. Table 3 presents the mean and standard
337 deviation of the latencies and amplitudes measured manually on waves III and
338 V. The analysis of tables II and III shows that, on average, there are similarities
339 between the values measured manually and automatically by the *FPP* method
340 on the latencies of waves III and V, and on the amplitude of wave III. Regarding
341 the amplitude of wave V, there is a systematic difference of a few tens of
342 nanovolts on the values measured manually and automatically by the *FPP*
343 method. This difference might arise because the trough that follows wave V
344 does not fit perfectly the template. Nonetheless, the values of the latencies,
345 amplitudes, and widths shown on both tables II and III are consistent with those
346 reported in previous studies [4], [48]–[52].

347 **3.3. Experiment 2**

348 3.3.1. Subjects and methods

349 In this second experiment, the performance of the automatic quality assessment
350 based on the *FPP* method is compared to the automatic quality evaluation
351 techniques based on the correlation coefficient (r), the F_{SP} , and the cross
352 correlation with a predefined template method (*Cross Corr*). The ABR signals
353 used in this test consisted of 500 recordings from 10 normal hearing subjects (6

354 males and 4 females; aged between 21 and 37 yr). Each recording was
355 obtained with auditory stimuli periodically presented at a rate of 30 Hz, at a
356 different number of averaged sweeps (100, 300, 900, 1800, and 9500). From
357 these 500 recordings, 40 recordings were obtained without auditory stimulation,
358 so no ABR could be detected.

359 The correlation coefficient (r) analysis was performed on the interval [1 , 10] ms
360 to minimize the effect of the recorded artifacts synchronized with the stimulus.

361 The single point (SP) chosen for the implementation of the F_{SP} method was the
362 sample 100 (corresponding to the 4th ms of the averaging window, considering
363 $f_s = 25$ kHz). The template waveform used on the *Cross Corr* method was built
364 from ABR signals recorded on 30 normal hearing subjects (17 males and 13
365 females; aged between 17 and 34 yr) in the same recording conditions as the
366 test signals, using 2000 averaged sweeps. The template waveform used in the
367 *Cross Corr* method is available as supplementary material (section B). These
368 subjects were different from those analyzed to obtain the ABR signals used for
369 test. Each ABR signal used to build the template waveform was normalized in
370 amplitude according to its RMS value, cosined-tapered with a band pass
371 window of [1 , 8] ms, and scaled in amplitude producing an RMS value equal to
372 the mean of the RMS values of the original recordings. The mean of these
373 signals produced the template waveform used in the *Cross Corr* method.
374 Further details of the implementation of the methods based on the correlation
375 coefficient (r), on the F_{SP} , and on the *Cross Corr* can be found, respectively, in
376 [35], [36], and [40].

377 The results obtained with the automatic methods were compared to a subjective
378 evaluation provided by 5 experts. Each expert had at least 3 years of expertise

379 in the analysis of ABR signals. The experts were asked to rate the quality of a
380 number of ABR signals according to the following criteria: $Q = 0$, no ABR is
381 observed (no auditory response); $Q = 1$, wave V can be hardly detected (highly
382 noisy ABR); $Q = 2$, wave V can be detected but the rest of waves are unclear
383 (noisy ABR); $Q = 3$, waves III and V can be clearly detected (ABR slightly
384 noisy); $Q = 4$, waves I, III, and V can be detected (good quality ABR); and $Q =$
385 5, all components of the ABR can be easily detected (excellent quality ABR). A
386 computer application was programmed to present the test ABR signals to the
387 evaluators and ask for the subjective quality. For each level of quality, two ABR
388 signals were presented to the evaluator as reference. The presentation order of
389 the ABR signals was randomized for each test. Figure 5 shows a screenshot of
390 the computer application for subjective evaluation.

391 This experiment also includes a response validation study carried out by the
392 aforementioned automated methods in terms of sensitivity and specificity with
393 the same set of ABR signals. The validation of responses by the automated
394 methods was implemented considering a threshold level of quality, which varied
395 in all methods from their lowest estimation of the quality to its greatest value.
396 Automatic evaluations greater or equal to such threshold would be a “positive”,
397 and they would be a “negative” otherwise. These automatic “positive” and
398 “negative” evaluations were compared to an objective decision of response
399 validation. This objective decision was made considering the averaged
400 subjective evaluations of the experts greater or equal to 2, which corresponds
401 with the detection of at least the wave V. The sensitivity and specificity
402 parameters for each automated method were estimated at different acceptance
403 thresholds as the true positive rate (TPR: true positives divided by all positives)

404 and as $1 - \text{false positive rate}$ (FPR: false positives divided by all negatives)
405 respectively.

406 3.3.2. Results

407 Some examples of ABR signals used for this experiment, including their
408 associated quality evaluation provided by the automatic and subjective
409 methods, are shown in figure 6 and table 4. In this table, FPP is expressed in
410 dB, r is in the range $[-1, 1]$, F_{SP} is in absolute value, $Cross\ Corr$ is in the range
411 $[-1, 1]$, and subjective evaluations in the range $[0, 5]$. Signals K and L were
412 obtained without any auditory stimuli, thus no ABR can be detected. Figure 7.A
413 represents the regression analysis between the subjective evaluations provided
414 by 5 experts and the automatic quality assessment technique based on FPP .
415 The linear regression analysis for each individual subjective evaluation
416 compared to the FPP method is shown in the figure. The correlation coefficient
417 for the regression analysis that considers all subjective evaluations ($r = 0.72$) is
418 lower in comparison with the mean of the correlation coefficient for the
419 individual evaluations, which suggests that there exists a bias among the
420 evaluations of the experts. On the other hand, the correlation coefficient
421 increases significantly on the regression analysis that considers the average of
422 the subjective evaluations ($r = 0.84$, figure 7.B), which remarks that the model is
423 better described with the averaging of a number of individual subjective
424 evaluations. The correlation coefficient for the rest of the automatic methods
425 compared to the averaged subjective evaluations is $r = 0.78$ for the evaluation
426 based on the correlation coefficient, $r = 0.77$ for the evaluation based on the F_{SP}
427 expressed in dB, and $r = 0.74$ for the evaluation based on the cross correlation
428 with a predefined template waveform. The linear regression analysis between

429 the averaged subjective evaluation and the automatic methods based on the
430 correlation coefficient (r), the F_{SP} , and the cross correlation method with a
431 predefined template (*Cross Corr*) is available as supplementary material
432 (section C).

433 Figure 8 shows the receiver operating characteristics (ROC) space of a
434 response validation study defined by the false positive rate (FPR), or 1-
435 specificity, and the true positive rate (TPR), or sensitivity, for the automated
436 response validation methods based on fitted parametric peaks (*FPP*), on the
437 correlation coefficient (r), on the F_{SP} , and on the cross correlation with a
438 predefined template waveform (*Cross Corr*). This figure shows that the *FPP*
439 method presents the best results determining the existence of response for all
440 evaluated thresholds, in exception for the thresholds corresponding to FPR
441 evaluations lower than 0.006. The advantage of *FPP* with the other methods is
442 especially remarkable for low FPR evaluations (lower than 0.1). The F_{SP} method
443 presents better performance than the r and *Cross Corr* methods for most of the
444 evaluated thresholds. For FPR evaluations greater than 0.55, the performances
445 of the r , F_{SP} , and *Cross Corr* methods are very similar.

446 4. DISCUSSION

447 This paper describes in detail and evaluates the Fitted Parametric Peaks (*FPP*)
448 method, a new approach of automatic quality assessment and peak
449 parameterization based on the use of templates. The use of templates for this
450 purpose was first proposed by C. Elberling in [40]. In his work, a cross
451 correlation method between the ABR signal used for test and a template
452 waveform is described. This method has the limitation of requiring a database of

453 predefined templates for each recording condition, and while a significant match
454 may signify a response, lack of a match do not necessarily means that no
455 response is present, since a response could exist but not match the template
456 [11], [40]. Another similar template-matching detection algorithm was
457 commercially implemented in the *Algo-1 automated evoked response infant*
458 *hearing screener*⁴ (and successive versions). This detection algorithm is based
459 on the weighting of a number of points in a template waveform according to
460 their relative contribution in identifying a response, and evaluating a test signal
461 in terms of likelihood ratio [53]. Clinical studies carried out by the *Algo-1*
462 *screener* show evidences of a high performance in screening applications [19],
463 [53]-[55]. The approach of the *FPP* method consists of the search of the
464 latency, width, and amplitude of a parametric peak, similar in morphology to an
465 ABR wave that best fits the most robust waves of the ABR, waves III and V. The
466 parametric peak waveform used as template in the *FPP* method is commonly
467 known as *Mexican hat wavelet*, which has been successfully used in different
468 applications of related fields, e.g., [56], [57]. The search of the parameters of
469 the fitted peak is computationally optimized to a 1-Dimensional search on the
470 width. The optimal latency and amplitude of the parametric peak are directly
471 estimated for a given width. The *FPP* method described in this paper provides
472 an automatic evaluation of the quality of ABR signals, and parameterizes the
473 most robust waves in terms of amplitude, latency, and width.

474 The performance of the *FPP* method was evaluated in this study by two
475 experiments. In the first experiment, the latencies and amplitudes of waves III
476 and V were estimated manually by an audiologist and automatically by the *FPP*

⁴ Natus Medical Incorporated, San Carlos, CA

477 method in ABR signals obtained from 8 normal hearing subjects at different
478 stimulation rates. This analysis shows that the *FPP* method successfully
479 identified all waves III and V. Additionally, the models for latencies and
480 amplitudes of waves III and V as stimulation rate increases are better described
481 when the values are estimated by the *FPP* method than manually ($R^2_{FPP} >$
482 R^2_{MAN} in all parameters), which suggests that the *FPP* method provides more
483 consistent results than the manual procedure, possibly due to the fact that the
484 *FPP* method bases the estimation of the parameters considering an interval of
485 the response, rather than isolated samples, which makes the *FPP* method less
486 sensitive to noise. In addition, the results of this experiment show that, despite
487 the difference of a few tens of nanovolts on the estimation of the amplitude of
488 wave V, the *FPP* method provides an accurate automatic measure of the
489 latencies, amplitudes, and widths of waves III and V, consistent with previous
490 studies. In the second experiment, the performance of *FPP* was contrasted with
491 the most common automatic quality evaluation procedures: the correlation
492 coefficient (r) [35], the F_{SP} [36], and the cross correlation with a predefined
493 template waveform (*Cross Corr*) [40]. These automatic quality evaluation
494 methods were compared to a subjective evaluation provided by 5 experts. The
495 results of this test revealed that although all automatic methods present high
496 correlation coefficients with the averaged subjective assessment, the *FPP*
497 remains as the method that best approaches an averaged subjective
498 evaluation. Comparing the reliability of the visual judgments provided by the 5
499 experts, this test shows, on one hand, that the correlation coefficient is lower
500 when all evaluations are considered in comparison to individual evaluations,
501 and on the other hand, that the correlation coefficient is greater when
502 considering an averaged subjective evaluation. These results suggest that there

503 is an important bias among the evaluators. All individual evaluations present a
504 similar behavior, but a different scale, which evidences that the reproducibility of
505 visual judgments is not high. This conclusion is in accordance with previous
506 studies [33], [38], [39], and reveals the convenience of using automatic
507 methods. In comparison with the subjective approach, automatic quality
508 assessment methods are uniform, consistent worldwide, and eliminate human
509 inaccuracies. In addition to this, the objective comparison of the aforementioned
510 automated methods in validating ABR signals (figure 8) shows that the *FPP*
511 method presents the best results in most of the thresholds analyzed in the
512 study.

513 The advantages of *FPP* in research applications are numerous. For instance,
514 the automatic parameterization of the peaks could replace the manual labeling
515 of waves in clinical reports, a tedious task which is usually omitted by the
516 clinical personnel [1]. Furthermore, this functionality could be valuable to
517 provide an automatic ABR interpretation based on response tracking (i.e.,
518 analyzing the changes on the morphology of the auditory responses according
519 to a gradual modification of any stimulation setting, such as the intensity level or
520 the stimulation rate). An accurate automatic ABR interpretation might have a
521 significant clinical benefit by helping audiologists on the human decision making
522 [17]-[23]. The online quality assessment and parameterization of the peaks
523 carried out by *FPP* could also be appropriate in many real time clinical
524 applications, such as the on-going evaluation of the recorded signal to
525 automatically stopping averaging, thus eliminating unnecessary recording time
526 [13], [14]. In addition to this, the automatic evaluation of the quality of ABR
527 signals could be useful to carry out objective comparisons between the

528 performances of different stimulation methods (RSA [43], QSD [58], CLAD [59],
529 [60], etc.) and the effectiveness of different artifact rejection techniques.

530 The *FPP* method is not defined for clinical applications, such as screening or
531 diagnosing. Screening and diagnosing systems, like the *Algo-1 infant hearing*
532 *screeener* (Natus Medical Incorporated, San Carlos, CA) [53], are designed to
533 detect waveform abnormalities in very specific recording settings, i.e., nature of
534 the stimuli (clicks, chirps, windowed tones...), polarity, level, rate, hardware
535 equipment, calibration, recording procedure, etc. In screening and diagnosing
536 applications, all parameters involved in the recording process are protocolled
537 and closed, in exception of the subjects. Therefore, screening and diagnosing
538 systems are useful classifying subjects as “normal” (pass) or “pathologic” (fail).
539 The definition of the “pass” criterion requires a strictly protocolled recording
540 procedure (recording system, stimulation and recording settings, etc.) and a
541 clinical study with a large database of explored normal and pathologic subjects.
542 In contrast to these systems, *FPP* can be used in a wide range of scenarios
543 because it adapts to the normal fluctuations in amplitude, latency, width, and
544 morphology among subjects and recording conditions. These features are
545 appropriate in many research applications.

546 The automatic quality evaluation methods based on the correlation coefficient,
547 the F_{SP} , and *FPP* present different approaches. First, the correlation coefficient
548 bases the evaluation of the quality on the grade of reproducibility of two
549 consecutive signals. A high positive correlation coefficient would indicate a high
550 quality ABR if both signals are recorded in similar conditions [61]. This method
551 presents the limitation that requires a second ABR signal to perform the test,
552 which doubles the recording time. Additionally, a strong artifact synchronized

553 with the stimulus would lead to an inaccurately high evaluation of the quality.
554 The F_{SP} method bases the evaluation of the quality on the power of the
555 averaged signal and the power of noise across sweeps. This technique requires
556 the evaluation of all recorded sweeps, thus this method cannot be implemented
557 offline unless the EEG is stored (or at least the single point of each sweep). In
558 addition, this technique may present a lack of reliability when evaluating a signal
559 that could not be a response. For instance, this technique would provide a high
560 evaluation index when the ABR is affected by a strong artifact synchronized
561 with the stimulus. Finally, the FPP method approaches the perspective of expert
562 subjective evaluators, rating the grade of identification and quality of the most
563 important waves, does not require the access to the EEG, and provides
564 information regarding the parameterization of the peaks. We believe that since
565 the correlation coefficient method measures the reproducibility of the response,
566 the F_{SP} method measures the level of noise of the recording, and the FPP
567 method evaluates the existence of ABR waves, the use of a combination of all
568 these automatic methods could improve significantly the accuracy in automatic
569 evaluations and provide a better automatic interpretation of ABR signals.

570 Future research could include the search of appropriate template functions that
571 fit the waves of other auditory evoked potentials, such as compound action
572 potentials (CAPs), middle latency responses (MLRs), or late latency responses
573 (LLRs) using the approach of FPP .

574 **5. CONCLUSION AND SIGNIFICANCE**

575 A novel automatic method for quality assessment and peak identification of
576 ABR signals, the Fitted Parametric Peaks (FPP), is described and evaluated in

577 this article. The approach of *FPP* opens a new paradigm in template-matching
578 algorithms, avoiding the need of a database of templates and including
579 additional information regarding the most relevant components of ABR signals.
580 The computational efficiency of the *FPP* method could be appropriate for its
581 implementation in real time processing applications. The results presented in
582 this article suggest that *FPP* method presents a high level of accuracy
583 identifying the most important waves of the ABR, and estimating their latency,
584 amplitude, and width. The measure of these parameters with the *FPP* method
585 seems to be less sensitive to noise than the manual procedure because it
586 considers an interval of the response rather than isolated samples. The
587 automatic identification of the peaks could facilitate the wave labeling process
588 and could be useful to provide an automatic ABR interpretation, with a
589 significant clinical value by helping the operator with the decision making. In
590 comparison with the automatic evaluation techniques based on the correlation
591 coefficient (r), on F_{SP} , and on the cross correlation with a predefined template
592 waveform (*Cross Corr*), the *FPP* remains as the method (a) that best
593 approaches a subjective evaluation of the quality, and (b) that provides the best
594 results in the validation of ABR signals in most of the analyzed thresholds. This
595 study has also shown that the subjective evaluations provided by different
596 experts were biased among evaluators, i.e., all evaluators had the same criteria
597 but their scales of assessment were different. This bias can be a problem for
598 the reliability of a subjective evaluation, especially when the evaluator is not an
599 expert. The use of the automatic *FPP* method described in this paper could be
600 valuable in this context.

601

601

6. CONFLICTS OF INTEREST

602 The authors declare no conflicts of interest related to this research work.

603

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613

APPENDIX

614 Supplementary data associated with this article can be found, in the online
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616

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815 **Figure Legends:**

- 816 • Figure 1. Parameters involved in the automatic quality evaluation technique
817 based on Fitted Parametric Peaks (*FPP*). The parametric peak fitted to the
818 wave V of an ABR test signal is highlighted.
- 819 • Figure 2. Latencies (L) and amplitudes (A) of waves III and V measured
820 manually (*MAN*) and automatically by the *FPP* method in a set of 320 ABR
821 signals obtained from 8 normal hearing subjects at different stimulation
822 rates. Normalized data in terms of the mean value are also presented on this

823 figure to decrease the intersubject variability. The coefficients of
824 determination (R^2) obtained in this study on each parameter suggest that the
825 model of amplitudes and latencies is better described with the *FPP* method.

826 • Figure 3. Comparative analysis of the latencies and amplitudes of waves III
827 and V estimated manually by an audiologist and automatically by the *FPP*
828 method. The linear regression model of the experimental data is compared
829 with the curve $FPP = MAN$ (dotted line).

830 • Figure 4. Examples of ABR signals from 5 normal hearing subjects obtained
831 at different stimulation rates using the randomized stimulation and averaging
832 (*RSA*) technique [43]. The parametric peaks adjusted to waves III and V are
833 highlighted on this figure and the automatic quality evaluation provided by
834 the *FPP* method for each wave is presented.

835 • Figure 5. Computer application screenshot used on the subjective evaluation
836 of the quality. Two ABR signals are shown as reference for each quality
837 level. The subjective evaluator is asked to rate the quality for each test ABR
838 between 0 (no ABR) to 5 (excellent quality ABR).

839 • Figure 6. Examples of ABR signals of different quality used for test. The
840 signals K and L are obtained without auditory stimulation. The quality
841 evaluation provided for each signal by both automatic and subjective
842 methods is provided in table 4.

843 • Figure 7. (A) Linear regression analysis for each individual subjective
844 evaluation compared to the automatic evaluation provided by the *FPP*
845 method. (B) Linear regression analysis for the averaged subjective
846 evaluation. This figure highlights the existing bias among evaluators. The

847 model is better described when an averaged subjective evaluation is
848 considered ($r = 0.84$).

- 849 • Figure 8. ROC space of a response validation study defined by the false
850 positive rate (FPR), or 1-specificity, and the true positive rate (TPR), or
851 sensitivity, for the automated response validation methods based on fitted
852 parametric peaks (*FPP*), on the correlation coefficient (r), on the F_{SP} , and on
853 the cross correlation with a predefined template waveform (*Cross Corr*).

854 **Table Legends:**

- 855 • Table 1. Referenced latencies (in ms) for waves III and V at different
856 stimulation rates.
- 857 • Table 2. Mean (and standard deviation in parentheses) of the latencies (L),
858 amplitudes (A), widths (W), and SNRs of waves III and V measured
859 automatically by the *FPP* on a set of 320 ABR signals obtained from 8
860 normal hearing subjects at different stimulation rates. Latencies and widths
861 are measured in ms, amplitudes in μV , and SNR in dB.
- 862 • Table 3. Mean (and standard deviation in parentheses) of the latencies (L),
863 amplitudes (A), widths (W), and SNRs of waves III and V measured
864 manually on a set of 320 ABR signals obtained from 8 normal hearing
865 subjects at different stimulation rates. Latencies and widths are measured in
866 ms and amplitudes in μV .
- 867 • Table 4. Evaluation of the quality provided by the automatic evaluation
868 techniques based on *FPP*, r , F_{SP} , and *Cross Corr*, by the individual
869 subjective evaluation of the experts (*Ev1-Ev5*), and by the averaged

870 subjective evaluation (*All Ev*) for the ABR signals shown in figure 6 as
871 examples.

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873 Table 1.

874

Stimulation rate	L _{III}	L _V
30 Hz	3.72	5.68
45 Hz	3.74	5.69
55 Hz	3.80	5.79
72 Hz	3.86	5.90
83 Hz	3.90	5.97
100 Hz	3.94	6.07
125 Hz	4.00	6.21
167 Hz	4.03	6.40
250 Hz	3.99	6.72

875

876 Table 2.

877

Stimulation Rate	L _{III}	L _V	L _V - L _{III}	A _{III}	A _V	W _{III}	W _V	SNR _{III}	SNR _V
45 Hz	3.74 (0.13)	5.71 (0.20)	1.97 (0.15)	0.25 (0.08)	0.26 (0.07)	0.37 (0.05)	0.46 (0.05)	9.19 (2.83)	12.41 (3.03)
55 Hz	3.79 (0.10)	5.80 (0.20)	1.99 (0.15)	0.23 (0.07)	0.25 (0.08)	0.38 (0.04)	0.47 (0.06)	8.30 (3.37)	12.78 (3.55)
72 Hz	3.86 (0.13)	5.91 (0.18)	2.04 (0.15)	0.21 (0.07)	0.22 (0.07)	0.37 (0.05)	0.49 (0.06)	8.58 (3.34)	12.38 (2.68)
83 Hz	3.91 (0.12)	5.98 (0.19)	2.06 (0.14)	0.20 (0.08)	0.21 (0.07)	0.38 (0.05)	0.53 (0.08)	8.05 (3.96)	12.67 (3.37)
100 Hz	3.92 (0.15)	6.09 (0.22)	2.12 (0.15)	0.17 (0.07)	0.19 (0.06)	0.38 (0.06)	0.50 (0.07)	7.62 (3.67)	13.16 (2.91)
125 Hz	4.01 (0.17)	6.21 (0.20)	2.21 (0.16)	0.14 (0.06)	0.18 (0.05)	0.40 (0.08)	0.50 (0.07)	7.64 (3.22)	12.57 (3.14)
167 Hz	4.18 (0.15)	6.41 (0.26)	2.19 (0.23)	0.15 (0.07)	0.15 (0.04)	0.52 (0.14)	0.52 (0.08)	6.59 (4.02)	11.25 (3.05)
250 Hz	4.33 (0.25)	6.77 (0.25)	2.42 (0.15)	0.11 (0.05)	0.13 (0.04)	0.54 (0.12)	0.58 (0.10)	5.42 (2.23)	11.39 (3.06)

878

879

879 Table 3.

880

Stimulation Rate	L _{III}	L _V	L _V - L _{III}	A _{III}	A _V
45 Hz	3.73 (0.15)	5.70 (0.23)	1.97 (0.20)	0.25 (0.10)	0.29 (0.07)
55 Hz	3.78 (0.11)	5.78 (0.22)	1.98 (0.18)	0.23 (0.10)	0.28 (0.09)
72 Hz	3.87 (0.14)	5.90 (0.22)	2.03 (0.19)	0.21 (0.08)	0.25 (0.07)
83 Hz	3.91 (0.16)	5.91 (0.40)	1.97 (0.43)	0.20 (0.09)	0.24 (0.07)
100 Hz	3.90 (0.14)	6.07 (0.24)	2.11 (0.20)	0.17 (0.08)	0.22 (0.07)
125 Hz	4.00 (0.20)	6.21 (0.23)	2.20 (0.24)	0.15 (0.07)	0.21 (0.05)
167 Hz	4.16 (0.19)	6.40 (0.29)	2.22 (0.27)	0.15 (0.06)	0.20 (0.08)
250 Hz	4.36 (0.37)	6.77 (0.30)	2.41 (0.21)	0.12 (0.06)	0.17 (0.04)

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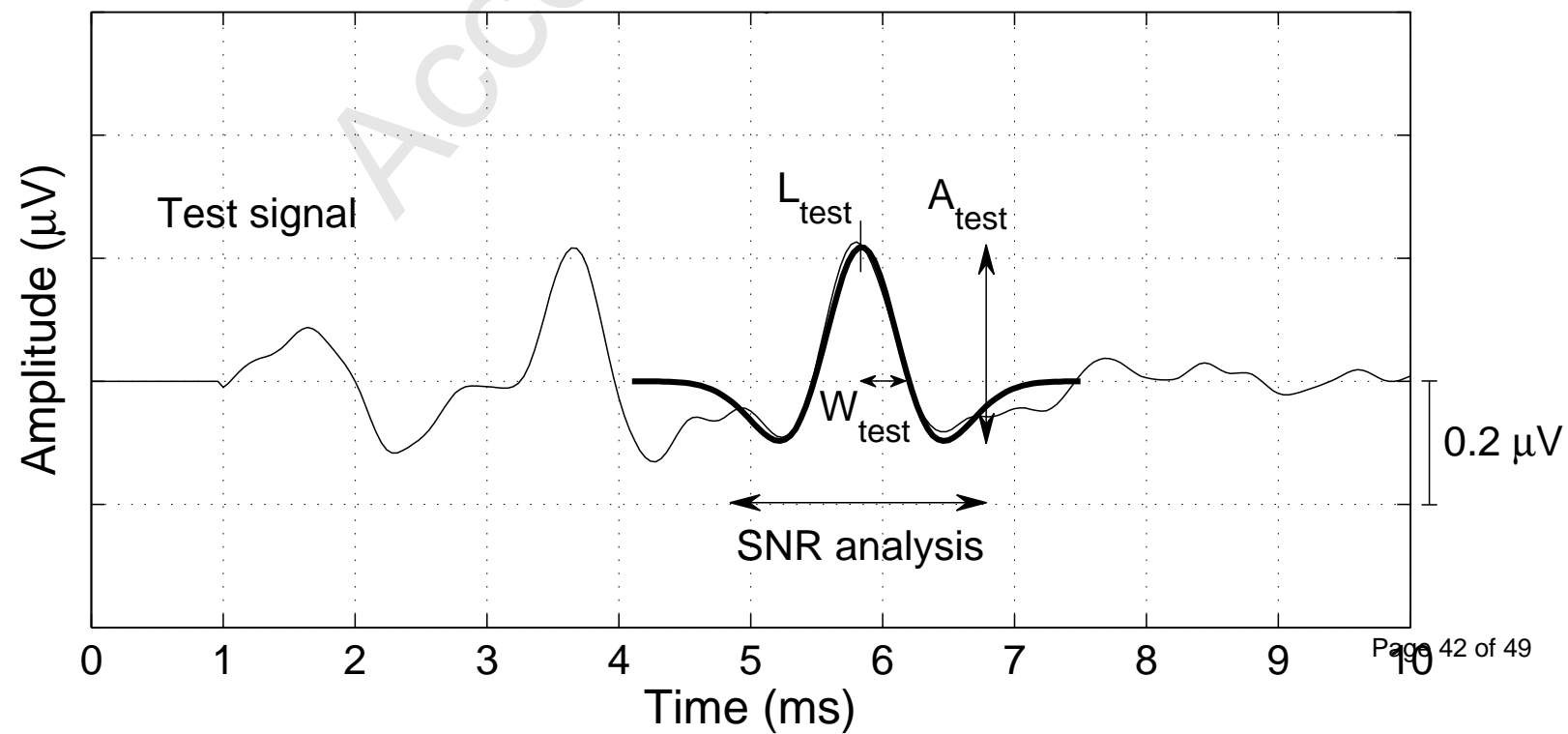
883 Table 4.

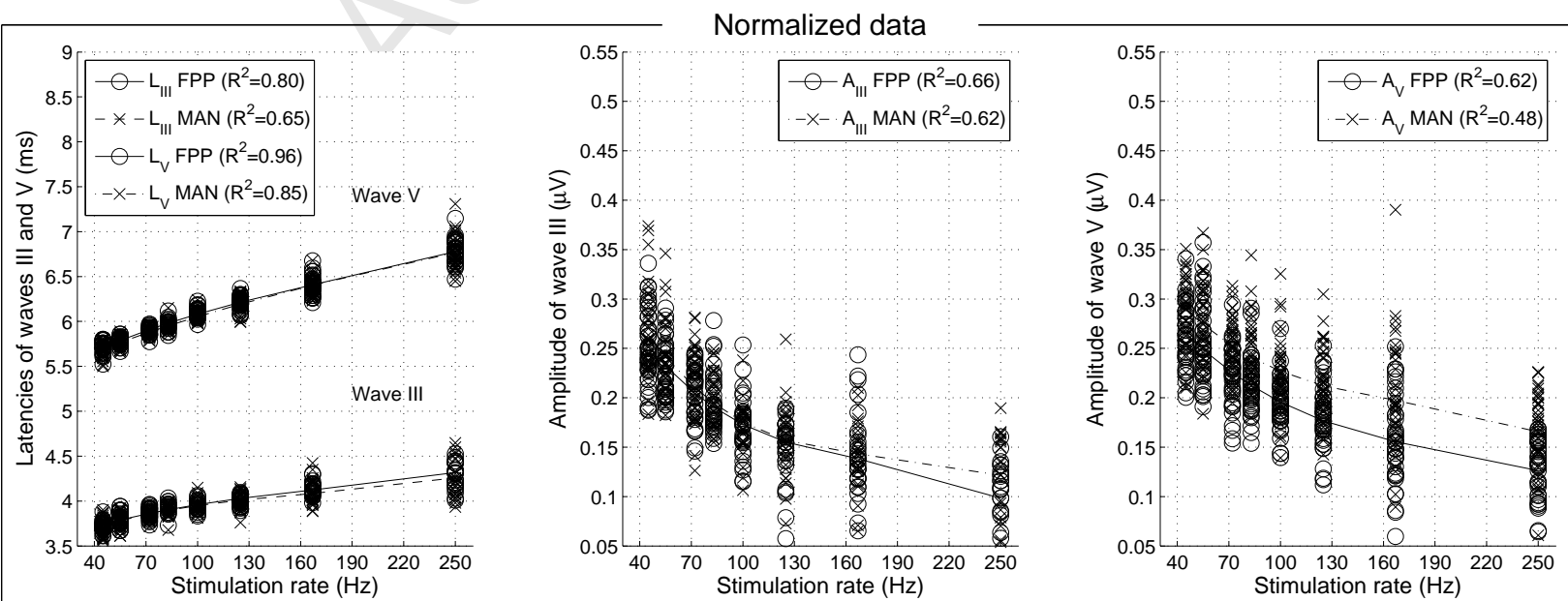
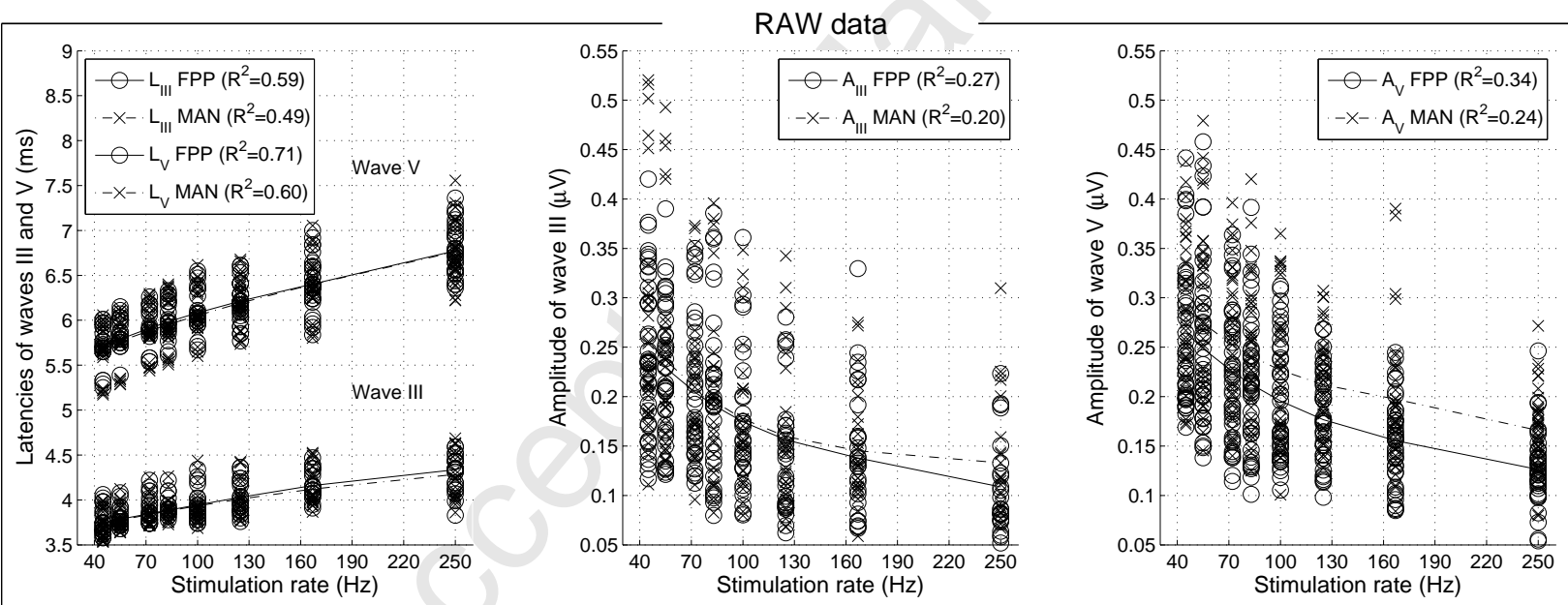
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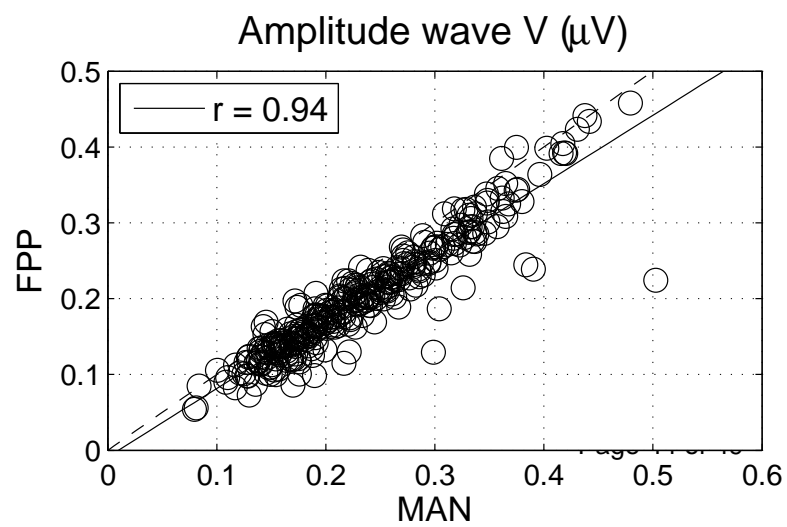
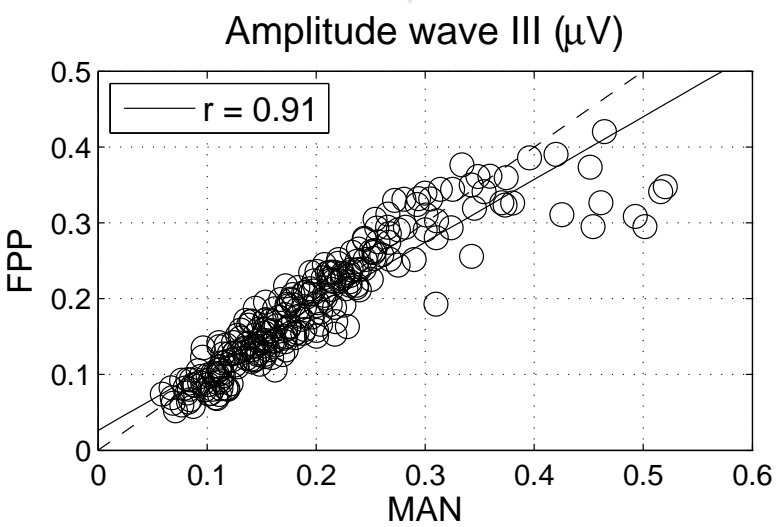
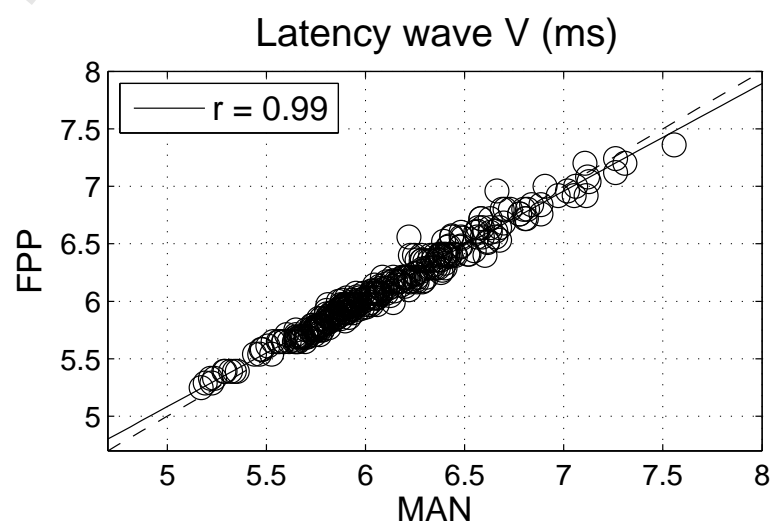
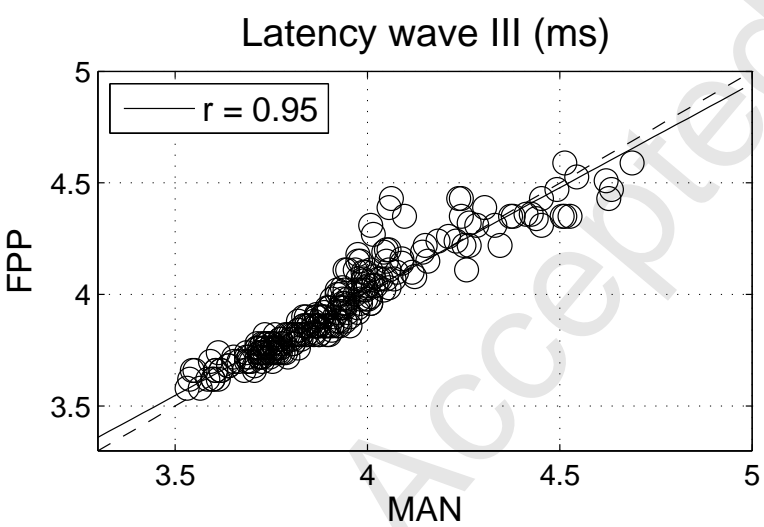
ABR	FPP	r	F _{SP}	Cross Corr	Ev1	Ev2	Ev3	Ev4	Ev5	All Ev
A	8.8	0.97	54.1	0.84	5	5	4	5	5	4.8
B	10.6	0.99	113.8	0.77	5	5	3	5	5	4.6
C	7.6	0.95	12.5	0.86	5	4	3	4	5	4.2
D	14.2	0.54	3.6	0.80	4	4	4	5	4	4.2
E	7.1	0.70	5.6	0.58	4	3	3	5	4	3.8
F	5.8	0.42	2.5	0.61	3	4	3	3	3	3.2
G	6.5	0.53	3.7	0.65	3	1	1	3	4	2.4
H	4.8	0.61	2.1	0.71	4	3	2	4	3	3.2
I	1.4	0.10	1.6	0.64	0	2	1	1	2	1.2
J	1.9	0.27	2.1	0.59	1	3	1	3	2	2.0
K	1.9	0.40	1.7	0.62	0	1	0	0	0	0.2
L	-1.7	-0.17	0.6	0.36	0	0	0	0	0	0.0

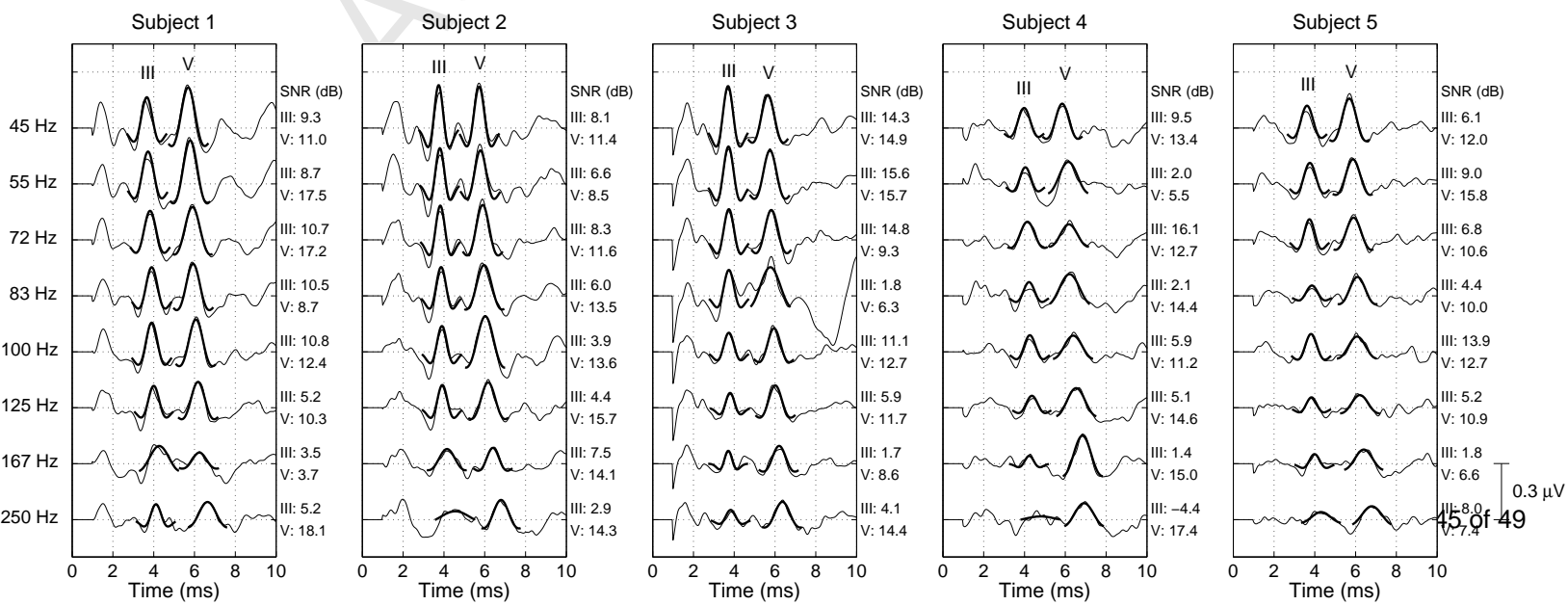
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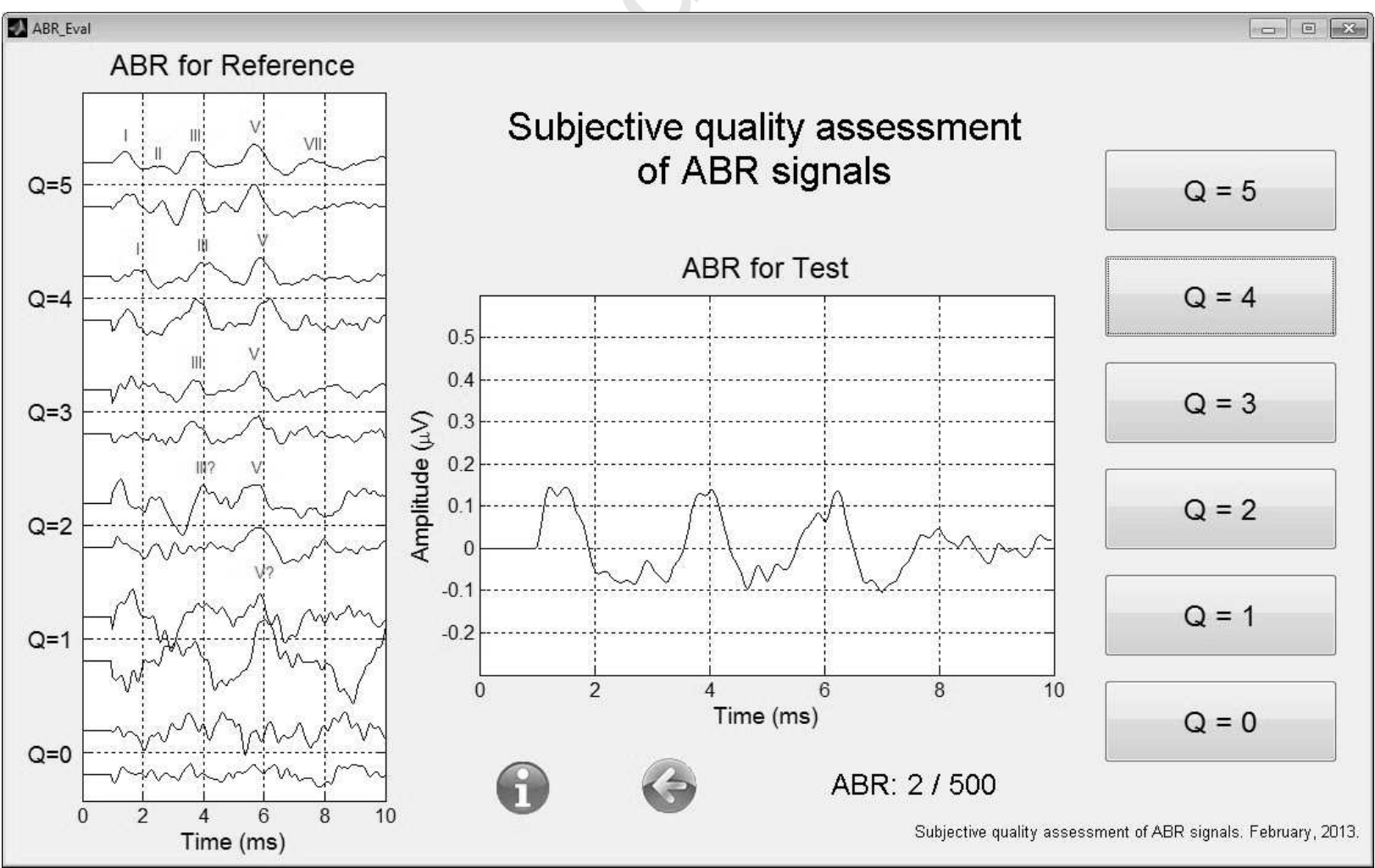


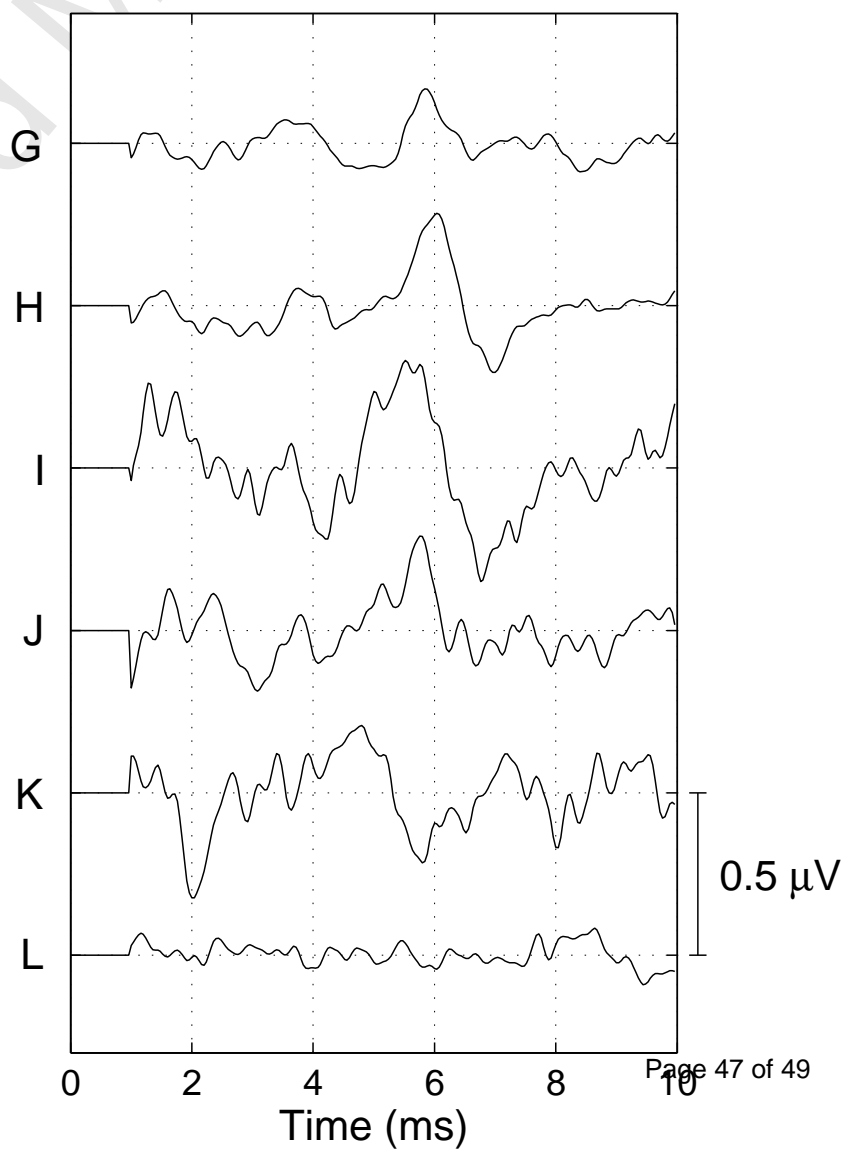
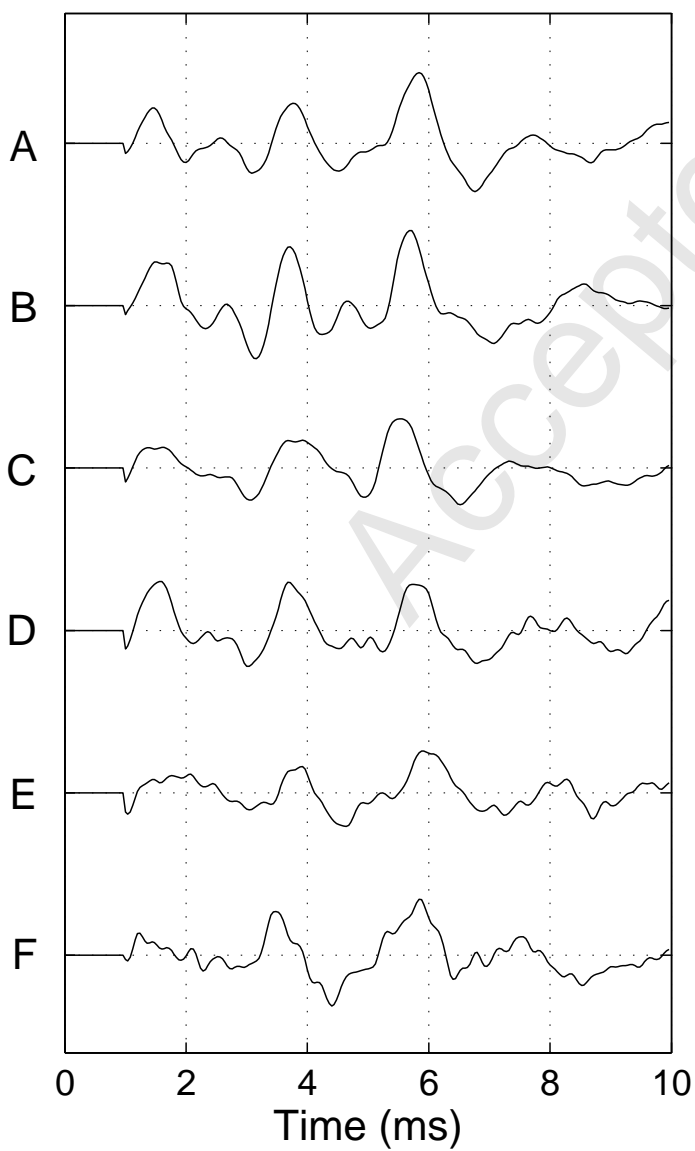




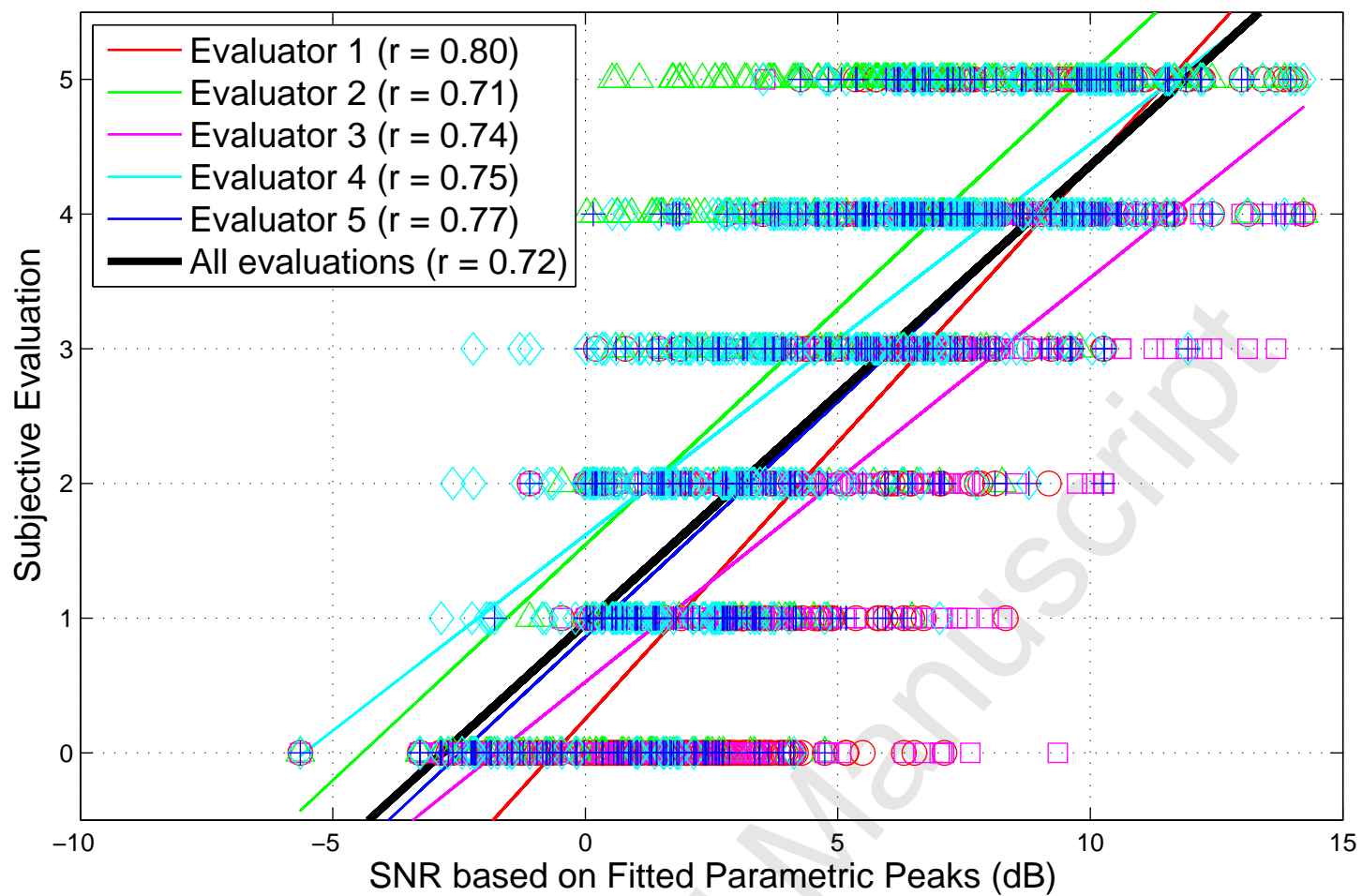


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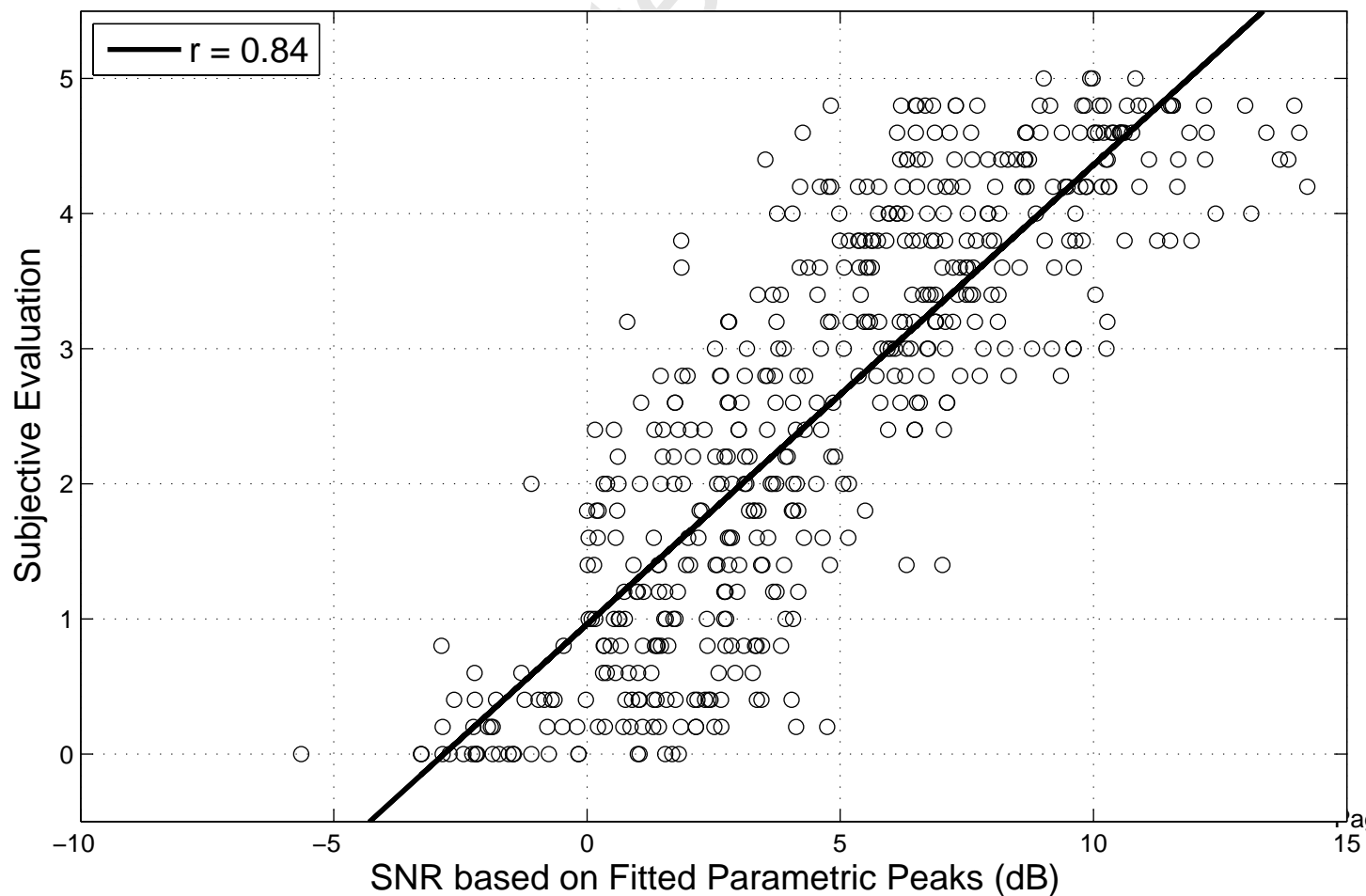


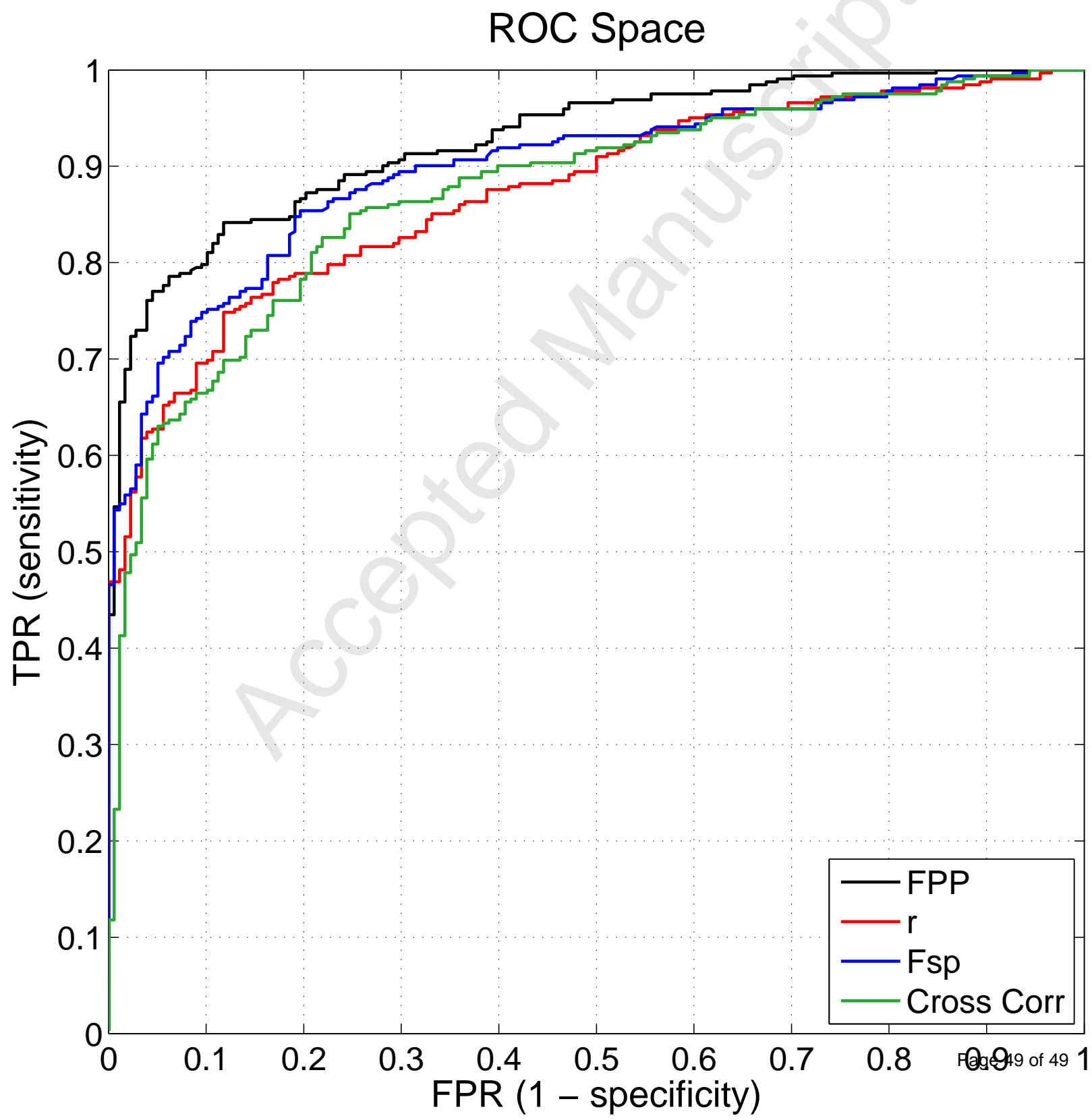


A) Global and individual subjective evaluations



B) Averaged subjective evaluation





Supplementary Material

Section A: MATLAB & GNU Octave ROUTINES (R).

R1: MATLAB & GNU Octave routine that implements the FPP methodology

```
function [x,L0,W0,A0,SNR] = FPP(Linit,ABR,fs)
% Input parameters: Linit (Latency used for initialization in ms)
%                  y (ABR signal used for test)
%                  fs (sampling frequency in Hz)
% Output parameters: x (Peak fitted to the ABR signal)
%                  L0 (Latency of the fitted peak in ms)
%                  W0 (Width of the fitted peak in ms)
%                  A0 (Amplitude of the fitted peak in uV)
%                  SNR (Quality evaluation provided by FPP)

% Initialization
t = (0:length(y)-1)/fs*1e3; % Time axis in ms
W_test = 0.1:0.01:1; % Axis of evaluated widths in ms
Ainit = 1; % Amplitude used for initialization in uV
maxlag = ceil(1.5e-3*fs); % Maximum time displacement allowed = 3 ms
Int_time = 1; % Time interval of 2 ms around the latency
PN_i = 1e10; % Power of noise parameter initialization

% 1 Dimensional search on the width parameter
for i=1:length(W_test)
% Step 1 - Peak initialization
x_test = Peak_Generation(Linit,W_test(i),Ainit,fs,y);

% Step 2 - Search of the optimal latency for the analyzed width
Interval = t>Linit-Int_time & t<Linit+Int_time;
[Corr,lag] = xcorr(y(Interval),x_test(Interval),maxlag,'coeff');
[~,idx] = max(Corr);
lag_max = lag(idx)/fs*1e3; % Time displacement in ms of L for best fit
L0 = Linit+lag_max; % Best latency (L0) of the peak for W_test
x_test = Peak_Generation(L0,W_test(i),Ainit,fs,y); % Updated peak

% Step 3 - Search of the optimal amplitude for the analyzed width
Interval = t>L0-Int_time & t<L0+Int_time;
A0 = dot(y(Interval),x_test(Interval))/... % Optimal amplitude
dot(x_test(Interval),x_test(Interval));
x_test = Peak_Generation(L0,W_test(i),A0,fs,y); % Updated peak

% Step 4 - Evaluation of the error and power of noise
e = y(Interval)-x_test(Interval);
PN = dot(e,e); % Power of noise estimation

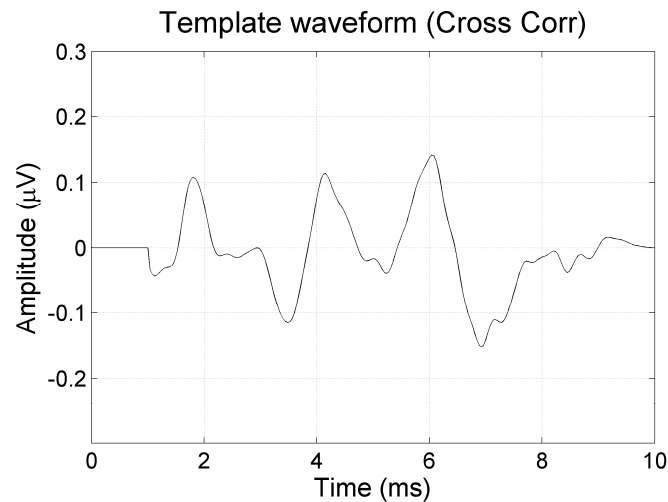
% Step 5 - Optimal approximation of the peak to the ABR signal
if (PN<PN_i)
PN_i = PN; % PN of reference updated
L_peak = L0; % Optimal latency
A_peak = A0; % Optimal amplitude
W_peak = W_test(i); % Optimal width
x = Peak_Generation(L_peak,W_peak,A_peak,fs,y); % Fitted peak
Px = dot(x(Interval),x(Interval)); % Power of the Peak
SNR = 10*log10(Px/PN); % Signal to Noise Ratio
end
end
```

R2: MATLAB & GNU Octave routine that implements Peak Generation

```
function [x] = Peak_Generation(L,W,A,fs,ABR)
% Input parameters: L (Latency in ms), W (Width in ms),
%                  A (Amplitude in  $\mu$ V), fs (sampling frequency in Hz)
%                  ABR (ABR signal used for test)
% Output parameters: x (Peak generated by the function)

t = (0:length(ABR)-1)/fs*1e3;           % Time axis in ms
K0 = 1+2*exp(-3/2);                       % Normalization constant
E = exp(-(t-L).^2/(2*W^2));               % Exponential term of the peak
x = E.*(1-(t-L).^2/(W^2));                % Peak, no amplitude adjusted
x = x/K0;                                  % Normalization of the amplitude
x = x-mean(x);                             % Normalization of the amplitude
x = A*x';                                  % Peak with amplitude adjusted
```

Section B: Template waveform used in the *Cross Corr* method.



Section C: Linear regression analysis between the averaged subjective evaluations of the quality provided by 5 experienced audiologists and the automatic methods based on the FPP, on the correlation coefficient (r), on the F_{SP} , and on the cross correlation (Cross Corr) in a set of ABR signals of different quality.

